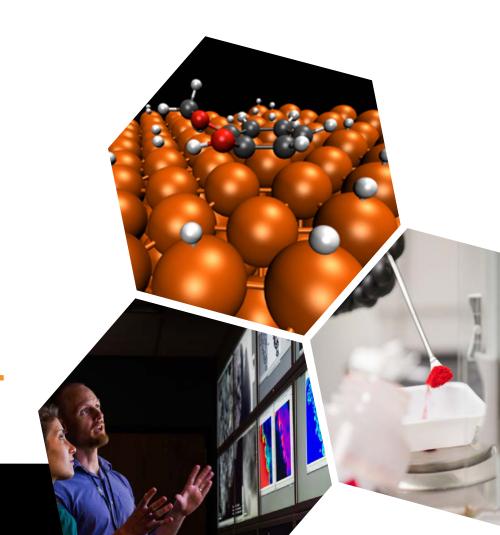


BETO 2021 Peer Review: Catalytic Upgrading of Pyrolysis Vapors 2.3.1.314

Mike Griffin March 9, 2021



Project Overview: ChemCatBio

Catalytic Technologies

Catalytic Upgrading of Biochemical Intermediates

(NREL, PNNL, ORNL, LANL)

Upgrading of C1 Building Blocks (NREL)

Upgrading of C2 Intermediates (PNNL, ORNL)

Catalytic Fast Pyrolysis (NREL, PNNL)

Electrocatalytic CO₂ Utilization (NREL)

Enabling Capabilities

Advanced Catalyst Synthesis and Characterization (NREL, ANL, ORNL)

Consortium for Computational
Physics and Chemistry
(ORNL, NREL, PNNL, ANL, NETL)

Catalyst Deactivation Mitigation for Biomass Conversion (PNNL)

Industry Partnerships (Phase II Directed Funding)

Opus12 (NREL)

Visolis (PNNL)

Sironix (LANL)

Cross-Cutting Support

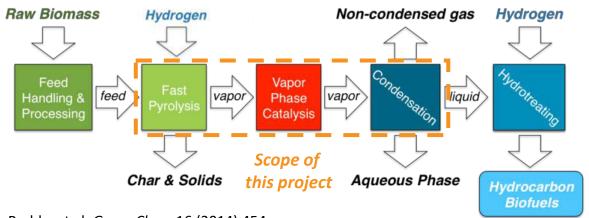
ChemCatBio Lead Team Support (NREL)

ChemCatBio DataHUB (NREL)

Project Overview

Catalytic fast pyrolysis is a versatile technology pathway for the direct liquefaction of biomass and waste carbon sources

- Potential for high carbon yields to fuel blendstocks
- Ability to control the product slate through vapor phase catalytic upgrading
- Opportunities for co-processing using existing refinery infrastructure



Advantage over petroleum fuels:

Reduces greenhouse gas emissions and qualifies for advanced regulatory incentives

Advantage over non-catalytic fast pyrolysis:

Generates a stabilized bio-oil with lower acidity, lower viscosity, and reduced oxygen content

D. Ruddy, et al. *Green Chem* 16 (2014) 454

ChemCatBio Bioenergy Technologies Office |

Project Overview

Project Objectives

- Maximize yields and minimize costs through integrated catalyst and process development
- Expand market responsiveness by developing routes to produce co-products
- Provide experimental data to inform process modelling and scale-up activities
- Support BETO goals of meeting 2022 verification cost and carbon intensity targets: ≤\$3/GGE MFSP, ≥60% reduction in GHG emissions.

Vision:

A circular carbon economy in which biomass and waste carbon sources can be readily recycled into renewable fuels, chemicals, and materials.

GGE: Gasoline gallon equivalent, MFSP: Minimum fuel selling price, GHG: Greenhouse gas





Management Plan: Structure and Implementation

The management plan leverages an integrated task structure that spans key elements of CFP catalyst and process development

Task 1: Project Management
Lead: Mike Griffin

Task 2: Catalyst Synthesis and Characterization Lead: Susan Habas

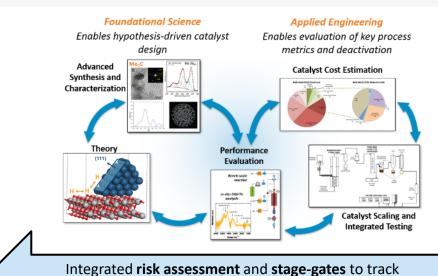
> Task 3: Performance Evaluation Lead: Calvin Mukarakate

Task 4: Catalyst and Process Durability
Lead: Matt Yung

Task 5: CFP-Oil Production using FCC-Systems
Lead: Kim Magrini

Task 6: CFP-Oil Fractionation Lead: Kristiina lisa

Task 7: Co-Product Formation Lead: Mark Nimlos The implementation strategy combines advancements in foundational science and applied engineering to meet overarching project objectives



Risk Assessment: March 2020 Comprehensive Pathway Review

progress and inform strategy

Stage Gate: June 2020 Verification Go No-Go Decision Point

Bioenergy Technologies Office

Management Plan: Collaboration

Collaboration across projects, consortia, and industry partners promotes integrated R&D

Feedstock-Conversion Interface Consortium

Establishing critical feedstock attributes and pre-processing strategies for FP and CFP

ChemCatBio Enabling Projects

Improving catalyst performance and durability with support from enabling projects

Consortium for Computational Physics and Chemistry

Informing process development and scale-up through atomistic, particle, and reactor-scale modeling

ChemCatBio Industrial Advisory Board

Guiding R&D towards commercially impactful outcomes

ExxonMobil CRADA

Advancing biomass pyrolysis technologies through collaborative R&D

Johnson-Matthey CRADA

Advancing CFP catalyst and process development through collaborative R&D

Streamlined communication enabled through the development of a multi-lab organizational structure

TEA/LCA

Abhijit Dutta
Thermochemical TEA
2.1.0.302

Damon Hartley Feedstock Analysis 1.1.1.2

Hao Cai Lifecycle Analysis 4.1.1.10

Yuan Jiang Hydrotreating Analysis

Catalyst Development and Charaterization

Susan Habas ACSC Lead 2.5.4.303/304/305 Fred Baddour

Engineering of Scale Up 3.2.2.701

Huamin Wang Catalyst Deactivation 2.5.4.501

Feedstock Supply and Logistics

Jordan Klinger Biomass Preprocessing 1.2.3.3

> FCIC 1.2.2.804

Mangement and Coordination

Trevor Smith BETO TM

Mike Griffin CFP Principal Investigator

> Josh Schaidle NREL Platform Lead

Zia Abdullah NREL LRM

Hydrotreating and Refinery Integration

Huamin Wang Hydrotreating 2.3.1.312

Kristiina Iisa Co-Hydrotreating

2.3.1.314

Kim Magrini
FCC Co-Processing

Michael Talmadge Co-Processing Analysis 3.4.3.306,307,308

3.4.3.307

Computational Modeling Jim Parks

CCPC Lead

Bruce Adkins

Regeneation Modeling

2.5.1.301
Peter Ciesielski
Mesoscale

2.5.1.307

Carrie Farberow

Atomic Scale

2.5.1.307

Pilot Scale Points of Contact

David Robichaud Process Scale Up for Production Environments 3 4 2 302

> Kristin Smith TCPDU Operations 2.4.1.301





MREL





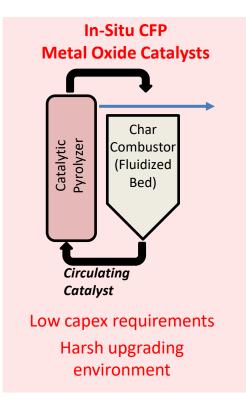


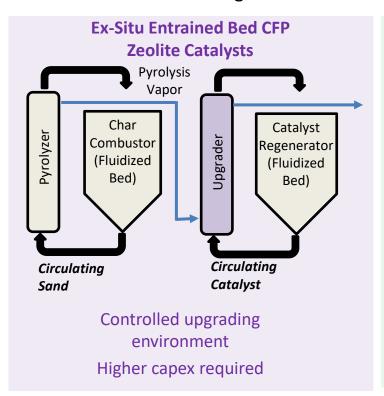
CRADA: Cooperative Research and Development Agreement

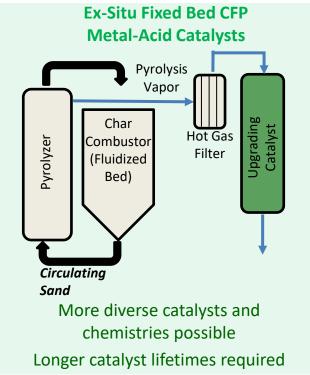
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Approach: Pathway Assessment

Early efforts within this project focused on benchmarking performance for several CFP catalysts and reactor configurations





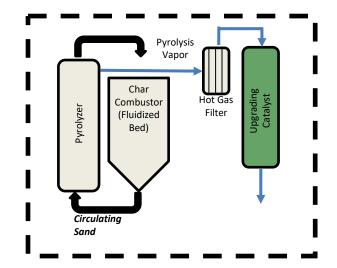


Approach: 2017 Down-Selection

A down-selection was informed by a first-of-its kind performance evaluation under a controlled set of conditions

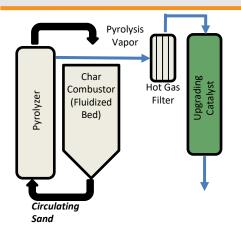
Process	In-situ CFP	<i>Ex-situ</i> Riser CFP	Ex-situ Fixed-Bed CFP
Catalyst (Conditions)	Red Mud (400°C)	ZSM-5 (550°C)	2 wt% Pt/TiO ₂ (400°C, H ₂ co-feed)
Reactor	Utah State's Fluidized Bed Pyrolyzer		ized Bed Pyrolyzer + ograding System
CFP Carbon Efficiency* (%)	42	33	42
CFP O Content (wt%)	28	17	17
HT Carbon Efficiency* (%)	85	96	93
HT Oil O Content (wt%)	0.9	1.2	0.4
Overall Carbon Efficiency* (%)	36	32	38

Due to the comparatively high CFP yields, low oil-oxygen content, and improved overall carbon efficiency, the ex-situ fixed bed CFP approach was down-selected as a leading pathway for the BETO 2022 Verification



^{*}Normalized carbon efficiencies based on >500mL of CFP oil generated

Approach: 2018 Baseline



Standard Conditions

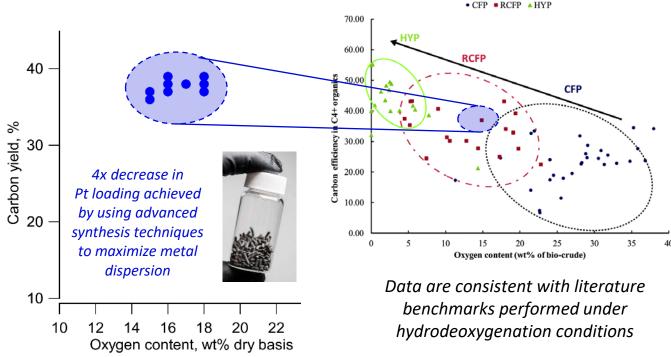
Feedstock: Loblolly Pine Catalyst: 0.5 wt% Pt/TiO₂ Support: 1.7 mm TiO₂ Pellets Pyrolysis Temperature: 500 °C Upgrading Temperature: 435 °C

Catalyst Mass: 100 g

WHSV: 1.4 g biomass/gcat*h Near Atmospheric Pressure Hydrogen Concentration: 83% Biomass:Catalyst Ratio: 3-13.2

Griffin, M. et al., Energy Environ Sci, 2018

Integrated experiments demonstrate potential for high carbon yields using 0.5 wt% Pt/TiO₂



K. Wang, et al., Green Chem. 19 2017

Approach: FY19-FY20 Research Priorities

With the potential benefits of the chemistry established, research in FY19-FY20 targeted technical objectives associated with reducing risks, diversifying feedstocks, and informing scale up:



Reducing analytical uncertainty by improving material balances



Assessing catalyst and process durability



Increasing catalyst cycle length and regeneration efficiency



Demonstrating compatibility with waste feedstocks (e.g., forest residues)



Informing process scale up and supporting BETO

Verification goals

Impact

Impact Section Will Follow Progress and Outcomes (Slides 20-21)



ChemCatBio

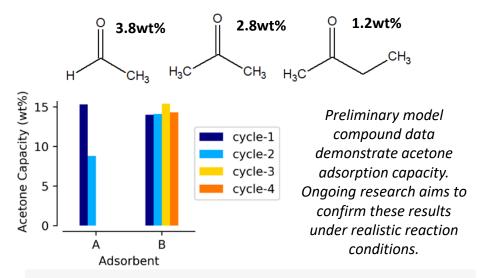
Progress and Outcomes: Improved Analytics

Progress: modifications to the system and methods resulted in improved carbon balance closure and reduced uncertainty in the product distribution

	FY18	FY19		
CFP Carbon Balance (%)	88	100		
CFP Oil Carbon Yield	45	35		
CFP Oil Oxygen (wt%, dry)	19	15		
HT Carbon Yield (%)	89	95		
CFP + HT Carbon Yield (%)	36	33		
Co-Product Credit	-	\$0.52		
MFSP, \$/GGE	3.50*	3.33		

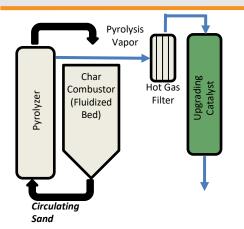
^{*}This added level of analytical detail resulted in downward revisions to the 2018 normalized CFP oil carbon yield and increase in MFSP to \$3.80

Co-Product Opportunity: high yields were observed for acetaldehyde, acetone, and 2-butanone



Outcome: Reduction in risk and analytical uncertainty, \$0.30/GGE increase in MFSP, potential for ~\$0.50/GGE reduction through valorization of acetone and 2-butanone

Progress and Outcomes: Process Durability



Standard Conditions

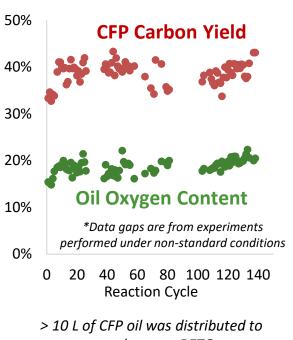
Feedstock: Loblolly Pine Catalyst: 0.5 wt% Pt/TiO₂ Pyrolysis Temperature: 500 °C Upgrading Temperature: 435 °C

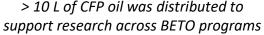
Catalyst Mass: 100 g

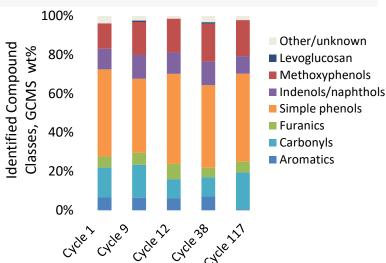
WHSV: 1.4 g biomass/gcat*h Near Atmospheric Pressure Hydrogen Concentration: 83%

Biomass: Catalyst Ratio: 3

Progress: integrated experiments performed for 100+ reaction cycles reveal minimal impact on yields, oil-quality, and product composition

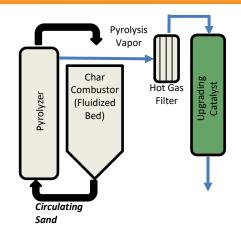




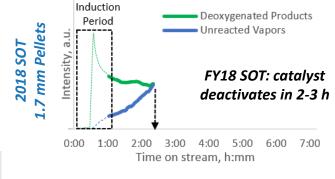


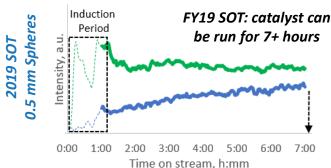
Outcome: improved confidence in catalyst and process durability, reduced risk for process model inputs, and support for technology transfer efforts

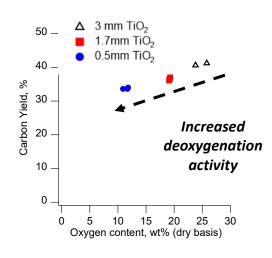
Progress and Outcomes: Increased Cycle Length



Progress: reducing the size of the catalyst support reveals potential for improved deoxygenation activity and increased cycle length







Outcome: 3.5 MM reduction in capital costs and improved process efficiency

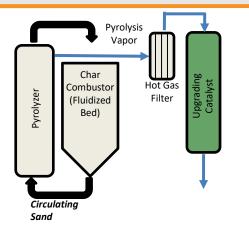
Conditions

Feedstock: Loblolly Pine Catalyst: 0.5 wt% Pt/TiO₂ Pyrolysis Temperature: 500 °C Upgrading Temperature: 435 °C

Catalyst Mass: 100 g

WHSV: 1.4 g biomass/gcat*h
Near Atmospheric Pressure
Hydrogen Concentration: 83%
Biomass:Catalyst Ratio: 3-12

Progress and Outcomes: Waste Feedstocks



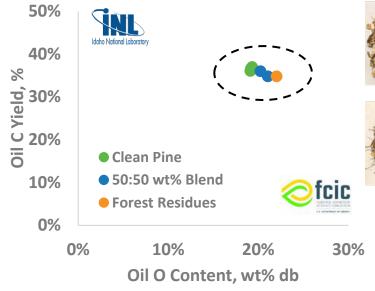
Standard Conditions

Feedstock: Loblolly Pine + Forest Residues

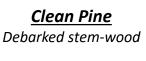
Catalyst: 0.5 wt% Pt/TiO₂ Pyrolysis Temperature: 500 °C Upgrading Temperature: 435 °C

Catalyst Mass: 100 g

WHSV: 1.4 g biomass/gcat*h Near Atmospheric Pressure Hydrogen Concentration: 83% Biomass:Catalyst Ratio: 3 **Progress:** reaction testing data demonstrates minimal impact of waste feedstocks on carbon yield or oil quality









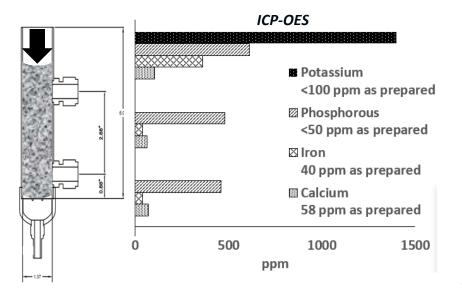
<u>Forest Residues</u>
Harvest waste including bark, needles, branches

Ongoing Research: establish critical feedstock attributes for CFP. FCIC: 1.2.2.804

Outcome: 20% reduction in feedstock costs, translating to a \$0.33/GGE improvement in MFSP

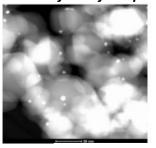
Progress and Outcomes: Tracking Inorganic Deposition

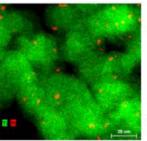
Progress: catalyst characterization after reaction with forest residues tracks potassium deposition at the leading edge of the catalyst bed

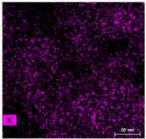


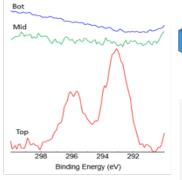
Experiments performed with a 50:50 wt% blend of clean pine and forest residues for a cumulative time on stream of 32 h

Dark field STEM images and EDS maps indicate well-dispersed K on the surface of the post-reaction samples from the top of the bed









XPS Spectra of K 2p Region confirm K deposition



Ongoing Research:

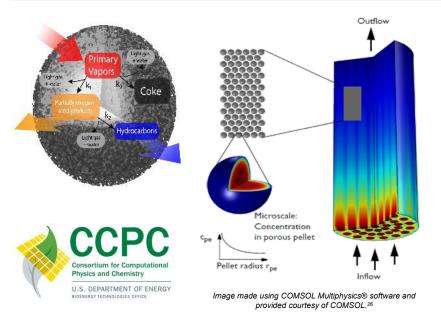
CDM: 2.5.4.501

ACSC: 2.5.4.303/304/305

Outcome: building foundational knowledge of critical deactivation mechanisms and mitigation strategies for biomass conversion pathways

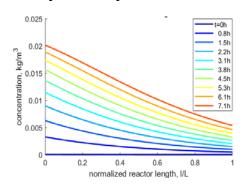
Progress and Outcomes: Informing Scale Up

Progress: collaborative development of a new simulation frameworks for multiscale modeling to inform in-silico optimization and process scale up

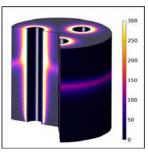


Pecha, B.; et al. *Reaction Chemistry and Engineering*, 2020 Adkins, B. D.; et.al, *Reaction Chemistry and Engineering*, *Submitted*

Predicted catalyst coke profile as a function of time on stream



Thermal excursions during regeneration at pilot-scale



 ∇ T, °C/cm

Outcome: early identification of potential process disruption at the pilot scale. Ongoing efforts target improve heat transfer capabilities through catalyst development and reactor design: *CCPC*: 2.5.1.301

Progress and Outcomes: Verification Go/No Go

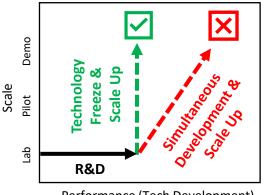
Progress: data from this project informed a comprehensive pathway review performed with an independent engineering team to serve as a scale-up stage gate for the 2022 BETO Verification

A detailed **block flow diagram** which clearly defines all inputs/outputs for pilot scale unit operations

A process indicator matrix that provides a row-by-row comparison across scales

An overarching risk assessment to identify research needs and inform forward looking decision making

Determination: successfully meeting the verification goals by 2022 would require simultaneous technology development and scale-up. This exceeded risk tolerances and motivated a no-go decision for the pathway.



Needed: additional experimental data to meet \$3/GGE cost target and derisk process scale-up

Performance (Tech Development)

Outcome: early risk assessment and proactive project management to guide decision making for the BETO 2022 Verification

Progress and Outcomes: Project Direction

Near term research addresses technical risks and data gaps through four targeted experimental campaigns:

Feedstock Risks

Establish critical material attributes for CFP feedstocks and identify pre-processing requirements

FCIC: 1.2.2.805

Integration Risks

Link CFP reaction conditions to bio-oil quality and downstream processing requirements PSUPE: 3.4.2.302

Catalyst Risks

Tailor catalyst support morphology to increase cycle length and minimize pressure drop ACSC: 2.5.4.303/304/305

EOS: 3.2.2.701

Durability Risks

Assess durability during prolonged exposure to reaction environments *PSUPE*: 3.4.2.302

Assessment of Co-Product Recovery and Separation

Project Direction

FY21: facilitate a constructure closeout of the fixed bed CFP + standalone hydrotreating pathway





Stand Alone Hydrotreating to Fuel Blendstocks

Outcome:

communicating advancements and R&D needs through a comprehensive closeout report

FY22+: produce application specific CFP-oil for refinery integration





Co-Processing with Fossil Streams

Outcome:

adapting CFP to address emerging demand for biogenic refinery feedstocks

Impact: Pathway to Market

Opportunity

>\$2 billion invested to produce renewable diesel from fats, oils, and greases (FOG)





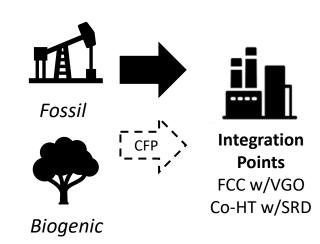


However, the supply of FOG is limited, and further growth in this sector will be inhibited by feedstock availability

CFP can help fill this gap by proving a stable biogenic liquid for refinery co-processing

FCC: Fluid Catalytic Cracking VGO: Vacuum Gas Oil

HT: Hydrotreating SRD: Straight Run Diesel



CFP Co-Processing Targets:

Increase biogenic carbon incorporation

Reduce carbon intensity

Mitigate potential for process disruption

Impact: establishing a **pathway to market** that allows refiners and chemical companies to diversify feedstock sources, leverage existing capital, and reduce the cost of regulatory compliance

Impact: Science and Partnerships

Impact: Development of Industrial Partnerships

Johnson Matthey Inspiring science, enhancing life

CRADA: Catalyst Development



CRADA: Biomass Pyrolysis

Impact: Spin-Off Projects (TCF, SBIR, DOE, USDA)









Carbon Co-Products For Energy Storage Applications



Chemical Co-Products for Bioinsecticide Applications



Chemical Co-Products for Biopolymer Applications

Impact: Generation of Scientific Knowledge



14 Peer Reviewed Publications Since 2019

Average Journal Impact Factor of 7
See Supporting Slides 26-27



18+ External Presentations Since 2019

Spanning CFP Catalyst and Process Development See Supporting Slides 28-29



2 Issued Patents 6 Pending Patent Applications

Novel catalysts, processes, and co-products

CRADA: Cooperative Research and Development Agreement TCF: Technology Commercialization Fund SBIR: Small Business Innovation Research

Acknowledgements

<u>CFP</u>

Joshua Schaidle (NREL) Calvin Mukarakate (NREL) Kristiina Iisa (NREL) Richard French (NREL) Kellene Orton (NREL) Scott Palmer (NREL) Fred Baddour (NREL) Dan Ruddy (NREL) Susan Habas (NREL) Connor Nash (NREL) Matt Yung (NREL) Mark Nimlos (NREL) Anne Starace (NREL) Kim Magrini (NREL) Jessica Olstad (NREL) **Brady Petersen (NREL)** Mike Sprague (NREL)

<u>CFP</u>

David Robichaud (NREL) Kristin Smith (NREL) Katie Gaston (NREL) Matt Oliver (NREL)

Computational Modeling

Vivek Bharadwaj (NREL)
Meagan Crowley (NREL)
Tom Foust (NREL)
Aaron Lattanzi (NREL)
Peter Ciesielski (NREL)
Brennan Pecha (NREL)
Carrie Farberow (NREL)
Sean Tacey (NREL)
Bruce Adkins (ORNL)
Zach Mills (ORNL)
Austin Ladshaw (ORNL)
James Parks II (ORNL)

TEA/LCA

Abhijit Dutta (NREL) Michael Talmadge (NREL) Kurt van Allsburg (NREL) Sue Jones (PNNL) Yunhua Zhu (PNNL) Yuan Jiang (PNNL) Hao Cai (ANL) Damon Hartley (INL) **Feedstocks** Jordan Klinger (INL) Danny Carpenter (NREL) Oil Analysis Jack Ferrell (NREL) Steve Deutch (NREL) Renee Happs (NREL) Anne Starace (NREL) Nolan Wilson (NREL) Earl Christensen (NREL) Lisa Fouts (NREL)

Hydrotreating (PNNL)

Huamin Wang (PNNL)
Mike Thorson (PNNL)
Daniel (Miki) Santosa (PNNL)
Suh-Jane Lee (PNNL)
Igor Kutnyahov (PNNL)
Douglas C. Elliott (PNNL)
Kristiina Iisa (NREL)





Bioenergy Technologies Office











Rebecca Jackson (NREL)

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NREL/PR-5100-79330

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Bioenergy Technologies Office

Summary/Q&A

Management

- Clear management plan with implementation strategy that advances foundational science and applied engineering
- Established avenues for collaboration including a well-defined multi-lab organizational structure to streamline communications
- Active project management through integration of risk identification and mitigation (comprehensive pathway review + go/no-go)

Approach

- Advances the state-of-the-art through innovative catalyst and process development
- Builds on previous data with clear objectives that reduce technical risk, diversify feedstock opportunities, and inform process scale-up
- Supports BETO 2022 Verification goals by evaluating pathways to meet cost and GHG reduction targets

Progress and Outcomes

- Reduced analytical uncertainty by closing carbon balances to 100 +/- 1%
- Improved process efficiency by achieving a 4x increase in catalyst cycle length
- Demonstrated process durability for 100+ reaction cycles (~275 h)
- Demonstrated compatibility with waste feedstocks (e.g., forest residues)
- Identified risks and research needs for process scale up to inform a proactive pivot for the 2022 verification

Impact

- Generated broadly enabling scientific knowledge (14 publications, 18+ presentations, 8 IP positions)
- Considerable industry engagement through partnerships across the value chain (e.g., CRADAs with Johnson Matthey and ExxonMobil)
- Promising pathway to market that addresses an emerging demand for biogenic refinery feedstocks

Supporting Information



Project Quad Chart

Timeline

Project start date: October 1st, 2019 Project end date: September 30th, 2021

Percent complete: 44%

	FY20	Active Project
DOE Funding	3.4 MM	6.8 MM

Project Partners

Industry: ExxonMobil, Johnson Matthey

Academia: University of Southern California (FY20)

Barriers addressed

Ot-B: Cost of Production

Reducing MFSP for CFP technology platform

Ct-F: Increasing the Yield from Catalytic Processes
Developing catalysts and process operations to enhance
carbon efficiency

Project Goal

Develop CFP as a versatile deconstruction technology that is compatible with biomass and waste carbon sources and enables the production of application specific bio-oils with properties that can be tailored to meet dynamic market needs.

End of Project Milestone

Develop refinery integration approaches and feasible co-products from catalytic fast pyrolysis pathways. Establish CFP-oil quality specifications and blend ratios for FCC and/or co-hydrotreating integration points to meet an overall minimum fuel selling price of \$3/GGE in \$2016 dollars.

Funding Mechanism

National Laboratory AOP Project

Publications Since 2019 (1 of 2)

- French, R. J.; Iisa, K.; Orton, K. A.; Griffin, M. B.; Christensen, E.; Black, S.; Brown, K.; Palmer, S. E.; Schaidle, J. A.; Mukarakate, C.; Foust, T. D.,
 Optimizing Process Conditions during Catalytic Fast Pyrolysis of Pine with Pt/TiO2—Improving the Viability of a Multiple-Fixed-Bed Configuration. ACS
 Sustainable Chemistry & Engineering 2021, 9, 1235–1245.
- Pecha, M. B.; Iisa, K.; Griffin, M.; Mukarakate, C.; French, R.; Adkins, B.; Bharadwaj, V. S.; Crowley, M.; Foust, T. D.; Schaidle, J. A.; Ciesielski, P. N., Ex situ upgrading of pyrolysis vapors over PtTiO2: extraction of apparent kinetics via hierarchical transport modeling. *Reaction Chemistry & Engineering* **2021**, **6**, 125-137.
- Peterson, B.; Engtrakul, C.; Evans, T. J.; Iisa, K.; Watson, M.J.; Jarvis, M. W.; Robichaud, D. J.; Mukarakate, C.; Nimlos, M. R. Optimization of Biomass Pyrolysis Vapor Upgrading Using a Laminar Entrained-Flow Reactor System Energy, *Energy Fuel*, **2020**, 34, 5, 6030–6040.
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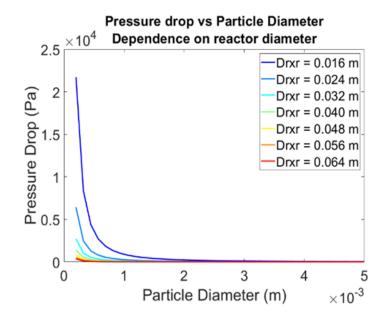
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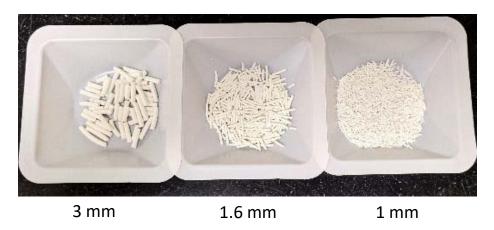
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Other Research: Optimizing Catalyst Support Formulations



Utilizing smaller TiO₂ supports improves deoxygenation performance but increases pressure drop and necessitates the use of low L/D reactors with limited heat transfer capabilities

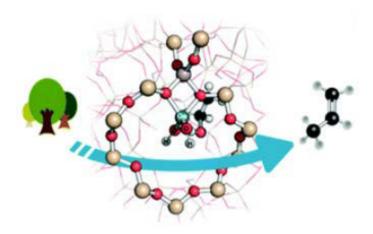
Ongoing collaborative research focuses on optimizing catalyst size and porosity using custom technical supports prepared at NREL (Engineering of Catalyst Scale Up: 3.2.2.701)



Target outcome: achieve high catalyst activity while minimizing pressure drop to enable the use of reactor dimensions with improved heat transfer capabilities

Other Research: Zeolite and Metal Carbide Catalyst Development

Catalyst development within this project has led to impactful outcomes for a wide range of CFP approaches and biomass conversion technologies

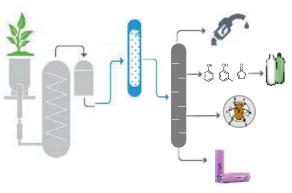


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Other Research: Developing Co-Product Pathways with Commercial Partners

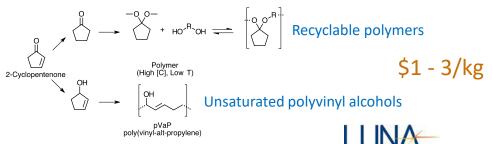


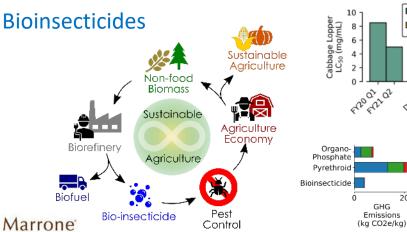
ENSYN BIC **High Value Carbon** ADITYA BIRLA Voltage (vs Li/Li⁺) BIRLA CARBON \$5 - 10/kg

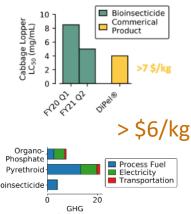
Anodes for Lithium and Sodium Ion Batteries

Specific capacity (mAh/g)

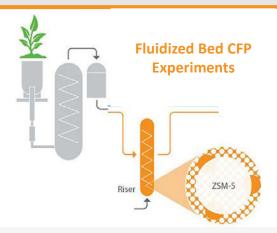
Chemicals for Polymers



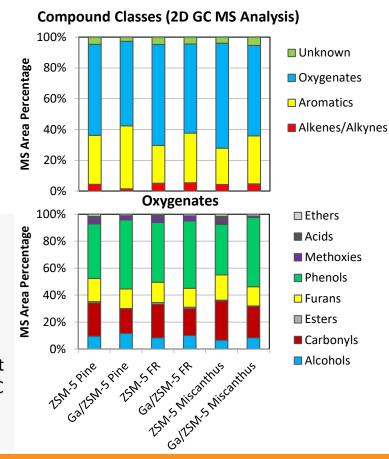




Other Research: Fluidized Bed CFP



Approach: Develop modified zeolites with Johnson Matthey that target biomass conversion and are compatible with refinery fluidized catalytic cracking (FCC) catalysts; prepare CFP oils using a coupled pyrolyzer/FCC plant; evaluate catalyst impact on oil composition; assess FCC co-processability to biogenic carbon containing fuels.



Feedstocks and Catalysts

- Pine (baseline feed)
- Pine forest residues (FR)
- Miscanthus
- ZSM-5
- Ga/ZSM-5

Feedstocks and ZSM-5

FR and Miscanthus

 Increased oxygenates, reduced aromatics
 Miscanthus: reduced phenolics

(less lignin)

Feedstocks and Ga/ZSM-5

- Increased aromatics, phenols for all feedstocks
- Reduced furans and carbonyls from cellulose deoxygenation