

Evaluation of Models and Measurements to Estimate Solar Radiation for 1-Axis Tracking Modules at NREL's SRRL

Preprint

Yu Xie, Manajit Sengupta, and Aron Habte

National Renewable Energy Laboratory

Presented at the 48th IEEE Photovoltaic Specialists Conference (PVSC 48) June 20–25, 2021

NREL is a national laboratory of the U.S. Department of Energy Office of Energy Efficiency & Renewable Energy Operated by the Alliance for Sustainable Energy, LLC

Conference Paper NREL/CP-5D00-80260 August 2021

This report is available at no cost from the National Renewable Energy Laboratory (NREL) at www.nrel.gov/publications.

Contract No. DE-AC36-08GO28308

Evaluation of Models and Measurements to Estimate Solar Radiation for 1-Axis Tracking Modules at NREL's SRRL

Preprint

Yu Xie, Manajit Sengupta, and Aron Habte

National Renewable Energy Laboratory

Suggested Citation

Xie, Yu, Aron Habte, and Manajit Sengupta. 2021. *Evaluation of Models and Measurements to Estimate Solar Radiation for 1-Axis Tracking Modules at NREL's SRRL*. Golden, CO: National Renewable Energy Laboratory. NREL/CP-5D00-80260. [https://www.nrel.gov/docs/fy21osti/80260.pdf.](https://www.nrel.gov/docs/fy21osti/80260.pdf)

© 2021 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.

NREL is a national laboratory of the U.S. Department of Energy Office of Energy Efficiency & Renewable Energy Operated by the Alliance for Sustainable Energy, LLC

This report is available at no cost from the National Renewable Energy

Laboratory (NREL) at www.nrel.gov/publications.

Contract No. DE-AC36-08GO28308

Conference Paper NREL/CP-5D00-80260 August 2021

National Renewable Energy Laboratory 15013 Denver West Parkway Golden, CO 80401 303-275-3000 • www.nrel.gov

NOTICE

This work was authored by the National Renewable Energy Laboratory, operated by Alliance for Sustainable Energy, LLC, for the U.S. Department of Energy (DOE) under Contract No. DE-AC36-08GO28308. Funding provided by the U.S. Department of Energy Office of Energy Efficiency and Renewable Energy Solar Energy Technologies Office. The views expressed herein do not necessarily represent the views of the DOE or the U.S. Government.

> This report is available at no cost from the National Renewable Energy Laboratory (NREL) at [www.nrel.gov/publications.](http://www.nrel.gov/publications)

U.S. Department of Energy (DOE) reports produced after 1991 and a growing number of pre-1991 documents are available free via [www.OSTI.gov.](http://www.osti.gov/)

Cover Photos by Dennis Schroeder: (clockwise, left to right) NREL 51934, NREL 45897, NREL 42160, NREL 45891, NREL 48097, NREL 46526.

NREL prints on paper that contains recycled content.

Evaluation of Models and Measurements to Estimate Solar Radiation for 1-Axis Tracking Modules at NREL's SRRL

Yu Xie *National Renewable Energy Laboratory* Golden CO, USA yu.xie@nrel.gov

Manajit Sengupta *National Renewable Energy Laboratory* Golden CO, USA manajit.sengupta@nrel.gov

Aron Habte *National Renewable Energy Laboratory* Golden CO, USA aron.habte@nrel.gov

*Abstract***—Solar radiation reaching photovoltaic (PV) modules on a 1-axis tracking system can be measured by reference cells or thermopiles. The former is often biased due to the reflection of solar radiation by the glass cover of the PV. The uncertainty can be moderated by applying a correction factor, as a function of solar incident angle and the refractive index of the glass, to the measurement. On the other hand, solar radiation on the inclined PV panels can be computed by transposition models using global horizontal irradiance (GHI) observations from thermopiles. This study examines the models and measurements to estimate solar radiation for 1-axis tracking modules at the National Renewable Energy Laboratory's (NREL's) Solar Radiation Research Laboratory (SRRL). The 1-minute planeof-array (POA) irradiances from 2019 are computed using the observed GHIs and a transposition model developed by Perez et al. The POA irradiances are compared with the observation by an IMT reference cell and a Kipp & Zonen CM Pyranometer 22 (CMP22) thermopile. For the SRRL's 1-axis tracking system with an annual solar energy of 2323.9 kWh/m2, the POA irradiance is overestimated by ~70 kWh/m2 using the transposition model. This bias is reduced by more than 50% using the IMT measurements calibrated by a correction factor for a PV surface of antireflection coated glass.**

Keywords—solar radiation, POA irradiance.

I. INTRODUCTION

Solar radiation is routinely measured or computed on horizontal surfaces; however, for greater solar energy gain, photovoltaic (PV) modules are often inclined with respect to the horizontal plane to reduce the solar incident angle [1]. In contrast to PV modules with fixed tilt angles, they can also be mounted on a solar tracking system, either rotating along a single axis or having two degrees of freedom to more closely follow the sun's daily east-west motion as well as the seasonal north-south motion. The global solar irradiance reaching the inclined PV modules are often referred to as the plane-of-array (POA) irradiance.

Plane-of-array (POA) irradiance can be simulated by transposition models in various orientations using measurements of global horizontal irradiance (GHI) and

direct normal irradiance (DNI) [2]. An obvious drawback of such models is that the regression functions determined by long-term observations of surface radiation are challenged by the complexity of diffuse radiation that is scattered by air molecules, aerosols and clouds in the atmosphere and partially reflected by the land surface [3-19]. To overcome this bias, Xie and Sengupta [3] and Xie et al. [4] proposed a physics-based solution of POA irradiances in 2002 wavelengths using the precomputed cloud transmittance of solar radiance and satellite estimated atmospheric properties [20, 21].

POA irradiance can be observed at the land surface by thermopiles or reference cells. The accuracy of the latter is affected by the energy reduction as the reflection/scattering of the glass surface varies with the solar incident angles. To compensate for this reduction in the observation, a correction factor was introduced as a function of solar incident angle and type of PV coating. Marion [22] provided a numerical solution to compute the angle-of-incidence (AOI) correction factor for diffuse radiation from the atmosphere and land surface. The AOI correction factor for direct radiation was computed on the basis of Snell's law and Fresnel equations. With the assumption of isotropic diffuse radiation from the sky and land surface, the overall AOI correction factor for diffuse radiation was given by integrating radiances in differential solid angles within the field of view.

To understand the performance of the models and measurements by PV modules on the estimation of POA irradiance, this study analyzes 1-year data at the National Renewable Energy Laboratory's (NREL's) Solar Radiation Research Laboratory (SRRL). The models and measurements by PV modules are evaluated using POA irradiance observed by a thermopile mounted on a 1-axis tracking system.

II. EVALUATION OF POA IRRADIANCE USING SRRL DATA

2.1 Data and algorithm

Figure 1 A flowchart of computing POA irradiance and compared it with surface observations.

To examine the transposition models and measurements of POA irradiance by reference cell, we collected 1-minute resolution observations from a Kipp & Zonen CM Pyranometer 22 (CMP22) thermopile and an IMT reference cell on a 1-axis tracking system at NREL's SRRL. For the model simulation, the 1-minute surface pressure and GHI data observed by a horizontal CMP22 were also collected from 2019. Figure 1 shows a flowchart of computing the POA irradiances and compared them with surface observations. The Direct Insolation Simulation Code (DISC) [23] is first applied to the measured GHI to compute DNI. The GHIs, DNIs and surface pressure are then used by the Perez model to numerically calculate the POA irradiance for a PV module on a 1-axis tracking system. The POA irradiance is observed by the IMT reference cell. Following the numerical model reported by Marion [22], the energy reduction by the PV surface reflection is taken into account by using the AOI correction factors for diffuse radiation associated with uncoated and antireflection (AR) coated glass. The model simulation and corrected observation are compared with the observation from the CMP22 thermopile on the 1-axis tracker.

2.2 Evaluation using SRRL data

Figure 2 POA irradiances measured by a CMP22 and their differences from those provided by the Perez model and IMT.

Figure 2 compares the POA irradiances from the model simulation and observation. Compared to the IMT data for the selected 4 days, the computation by the Perez model shows greater deviation than the more precise observation by the CMP22 instrument deployed in the POA, especially under cloudy-sky conditions. It can also be seen that the IMT observation without a correction of the PV surface reflection underestimates the POA irradiance. The underestimation becomes more obvious at noon compared to the other time as PV surface reflection is determined by the solar incident angle and the magnitude of the POA irradiance. The noon time is usually associated with smaller correction factors for PV surface reflection and greater solar radiation. With the correction of the PV surface reflection, the energy reduction is moderated, so the correction factor for the AR coated glass leads to a smaller bias.

Figure 3 (a) Daily averaged solar energy measured by a CMP22 on a 1-axis tracker; and (b) their differences with those provided by the Perez model and IMT.

The consequence is consistent in long-term data as shown in Fig. 3 where the daily averaged solar energy is compared over 2019. The 1-minute resolution data from the model simulation and the IMT measurements are compared with the CMP22 in Fig. 4, where the green line represents the regression of the data. Based on the mean bias error (MBE), mean absolute error (MAE), percentage error (PE), and absolute percentage error (APE), the Perez model slightly overestimates POA irradiance and leads to a greater deviation from the CMP22 measurements. The correction factors for the PV surface reflection effectively reduce the measurement uncertainty from the IMT reference cell. The correction factor related to the AR coated glass reduces the IMT measurements by approximately 50% according to the MBE and PE values.

Figure 4 Comparisons of POA irradiances measured by a CMP22 with those provided by the Perez model and IMT.

III. CONCLUSIONS

This study evaluates the models and measurements by a reference cell to estimate POA irradiance for a 1-axis tracking system. The DNI data were computed using the DISC model and 1-minute resolution GHI measured using a horizontal CMP22 at NREL's SRRL. The GHI, DNI, and surface pressure were used by the Perez model to compute POA irradiance for a 1-axis tracking system. The computed POA irradiance is compared with the measurement from an IMT reference cell at NREL's SRRL. To reduce the bias from PV surface reflection, a correction method for two types of PV surface coating was applied to the IMT data. The model simulation and measurements by the IMT reference cell were further evaluated using the more accurate observation by measurements from a CMP22 deployed in the POA. It was observed that the corrected reference cell provides more accurate POA estimates compared to the POA irradiance calculated using a GHI measurement.

ACKNOWLEDGEMENTS

This work is authored by the National Renewable Energy Laboratory, operated by Alliance for Sustainable Energy, LLC, for the U.S. Department of Energy under Contract No. DE-AC36-08GO28308. Funding provided by U.S. Department of Energy Office of Energy Efficiency and Renewable Energy Solar Energy Technologies Office. The views expressed in the article do not necessarily represent the views of the Department of Energy or the U.S. Government. The U.S. Government retains and the publisher, by accepting the article for publication, acknowledges that the U.S. Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this work, or allow others to do so, for U.S. Government purposes.

This research was performed using computational resources sponsored by the Department of Energy's Office of Energy Efficiency and Renewable Energy and located at the National Renewable Energy Laboratory.

REFERENCES

- [1] Y. Xie, M. Sengupta, and M. Dooraghi, "Assessment of uncertainty in the numerical simulation of solar irradiance over inclined PV panels: New algorithms using measurements and modeling tools," *Sol. Energy,* vol. 165, pp. 55-64, 2018.
- [2] R. Perez, R. Seals, P. Ineichen, R. Stewart, and D. Menicucci, "A new simplified version of the Perez diffuse irradiance model for tilted surfaces," (in English), *Sol. Energy,* vol. 39, no. 3, pp. 221-231, 1987.
- [3] Y. Xie and M. Sengupta, "A Fast All-sky Radiation Model for Solar applications with Narrowband Irradiances on Tilted surfaces (FARMS-NIT): Part I. The clearsky model," *Sol. Energy,* vol. 174, pp. 691-702, 2018.
- [4] Y. Xie, M. Sengupta, and C. Wang, "A Fast All-sky Radiation Model for Solar applications with Narrowband Irradiances on Tilted surfaces (FARMS-NIT): Part II. The cloudy-sky model," *Sol. Energy,* vol. 188, pp. 799-812, 2019.
- [5] Y. Xie, M. Sengupta, and J. Dudhia, "A Fast All-sky Radiation Model for Solar applications (FARMS): Algorithm and performance evaluation," *Sol. Energy,* vol. 135, pp. 435-445, 2016.
- [6] Y. Xie *et al.*, "A physics-based DNI model assessing allsky circumsolar radiation," *iScience,* vol. 22, p. doi.org/10.1016/j.isci.2020.100893, 2020.
- [7] Y. Xie, P. Yang, G. W. Kattawar, P. Minnis, Y. X. Hu, and D. Wu, "Determination of ice cloud models using MODIS and MISR data," *Int. Remote Sens.,* vol. 33, pp. 4219-4253, 2012.
- [8] Y. Xie, P. Yang, K. N. Liou, P. Minnis, and D. P. Duda, "Parameterization of contrail radiative properties for climate studies," *Geophys. Res. Lett.,* vol. 39, no. L00F02, p. doi:10.1029/2012GL054043, 2012.
- [9] Y. Xie, P. Yang, G. W. Kattawar, P. Minnis, and Y. X. Hu, "Effect of the inhomogeneity of ice crystals on retrieving ice cloud optical thickness and effective particle size," *J. Geophys. Res.,* vol. 114, pp. D11203, doi:10.1029/2008JD011216, Jun 5 2009.
- [10] Y. Xie, P. Yang, G. W. Kattawar, B. Baum, and Y. X. Hu, "Simulation of the optical properties of ice particle aggregates for application to remote sensing of cirrus clouds," *Appl. Opt.,* vol. 50, pp. 1065-1081, 2011.
- [11] Y. Xie, P. Yang, B. C. Gao, G. W. Kattawar, and M. I. Mishchenko, "Effect of ice crystal shape and effective size on snow bidirectional reflectance," (in English), *J. Quant. Specrtrosc. Radiat. Transfer* vol. 100, no. 1-3, pp. 457-469, Jul-Aug 2006.
- [12] Y. Xie and Y. G. Liu, "A new approach for simultaneously retrieving cloud albedo and cloud

fraction from surface-based shortwave radiation measurements," *Environ. Res. Lett.,* vol. 8, pp. doi:10.1088/1748-9326/8/4/044023, 2013.

- [13] Y. Xie, Y. G. Liu, C. N. Long, and Q. L. Min, "Retrievals of cloud fraction and cloud albedo from surfacebased shortwave radiation measurements: A comparison of 16 year measurements," *J. Geophys. Res. Atmos.,* vol. 119, no. 14, pp. 8925-8940, Jul 27 2014.
- [14] R. Lawless, Y. Xie, and P. Yang, "Polarization and effective Mueller matrix for multiple scattering of light by nonspherical ice crystals," (in English), *Opt. Express,* vol. 14, no. 14, pp. 6381-6393, Jul 10 2006.
- [15] S. G. Ding *et al.*, "Estimates of radiation over clouds and dust aerosols: Optimized number of terms in phase function expansion," (in English), *J. Quant. Specrtrosc. Radiat. Transfer* vol. 110, no. 13, pp. 1190-1198, Sep 2009.
- [16] H. Jiang, G. Y., Y. Xie, R. Yang, and Y. Zhang, "Solar irradiance capturing in cloudy sky days: A convolutional neural network based image regression approach," *IEEE Access,* vol. 8, pp. 22235-22248, 2020.
- [17] Y. Xie, "Study of ice cloud properties from synergetic use of satellite observations and modeling capabilities," Ph. D., Department of Atmospheric Sciences, Texas A&M University, College Station, TX, 2010.
- [18] C. Gueymard, V. Lara-Fanego, M. Sengupta, and Y. Xie, "Surface albedo and reflectance: Review of definitions, angular and spectral effects, and intercomparison of major data sources in support of advanced solar irradiance modeling over the Americas," *Sol. Energy,* vol. 182, pp. 194-212, 2019.
- [19] F. Mejia *et al.*, "Coupling sky images with radiative transfer models: a new method to estimate cloud optical depth," *Atmos. Meas. Tech.,* vol. 9, pp. 4151- 4165, 2016.
- [20] M. Sengupta, Y. Xie, A. Lopez, A. Habte, G. Maclaurin, and J. Shelby, "The National Solar Radiation Data Base (NSRDB)," *Renew. Sustain. Energy Rev.,* vol. 89, pp. 51-60, 2018.
- [21] A. Habte, M. Sengupta, C. Gueymar, A. Golnas, and Y. Xie, "Long-term spatial and temporal solar resource variability over America using the NSRDB version 3 (1998–2017)," *Renew. Sustain. Energy Rev.,* vol. 134, p. 110285, 2020.
- [22] B. Marion, "Numerical method for angle-of-incidence correction factors for diffuse radiation incident photovoltaic modules," *Sol. Energy,* vol. 147, pp. 344-348, 2017.
- [23] E. Maxwell, "A quasi-physical model for converting hourly global horizontal to direct normal insolation," presented at the Solar Energy Research Inst., Golden, CO, 1987.