

Improvement of Front-Junction GaInP by Point-Defect Injection and Annealing

Preprint

Ryan M. France,¹ Manuel Hinojosa,² S. Phil Ahrenkiel,³ Matthew R. Young,¹ Steve W. Johnston,¹ Harvey L. Guthrey, 1 Myles A. Steiner, 1 and John F. Geisz 1

1 National Renewable Energy Laboratory

2 Universidad Politecnica de Madrid

3 South Dakota School of Mines and Technology

Presented at the 48th IEEE Photovoltaic Specialists Conference (PVSC 48) June 20-25, 2021

NREL is a national laboratory of the U.S. Department of Energy Office of Energy Efficiency & Renewable Energy Operated by the Alliance for Sustainable Energy, LLC

Conference Paper NREL/CP-5900-80242 October 2021

This report is available at no cost from the National Renewable Energy Laboratory (NREL) at www.nrel.gov/publications.

Contract No. DE-AC36-08GO28308

Improvement of Front-Junction GaInP by Point-Defect Injection and Annealing

Preprint

Ryan M. France,¹ Manuel Hinojosa,² S. Phil Ahrenkiel,³ Matthew R. Young, 1 Steve W. Johnston, 1 Harvey L. Guthrey,¹ Myles A. Steiner,¹ and John F. Geisz¹

1 National Renewable Energy Laboratory

2 Universidad Politecnica de Madrid

3 South Dakota School of Mines and Technology

Suggested Citation

France, Ryan M., Manuel Hinojosa, S. Phil Ahrenkiel, Matthew R. Young, Steve W. Johnston, Harvey L. Guthrey, Myles A. Steiner, and John F. Geisz. 2021. *Improvement of Front-Junction GaInP by Point-Defect Injection and Annealing: Preprint*. Golden, CO: National Renewable Energy Laboratory. NREL/CP-5900-80242. [https://www.nrel.gov/docs/fy22osti/80242.pdf.](https://www.nrel.gov/docs/fy22osti/80242.pdf)

© 2022 IEEE. Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.

NREL is a national laboratory of the U.S. Department of Energy Office of Energy Efficiency & Renewable Energy Operated by the Alliance for Sustainable Energy, LLC

Conference Paper NREL/CP-5900-80242 October 2021

This report is available at no cost from the National Renewable Energy Laboratory (NREL) at www.nrel.gov/publications.

Contract No. DE-AC36-08GO28308

National Renewable Energy Laboratory 15013 Denver West Parkway Golden, CO 80401 303-275-3000 • www.nrel.gov

NOTICE

This work was authored in part by the National Renewable Energy Laboratory, operated by Alliance for Sustainable Energy, LLC, for the U.S. Department of Energy (DOE) under Contract No. DE-AC36-08GO28308. Funding provided by the U.S. Department of Energy Office of Energy Efficiency and Renewable Energy Solar Energy Technologies Office. The views expressed herein do not necessarily represent the views of the DOE or the U.S. Government. The U.S. Government retains and the publisher, by accepting the article for publication, acknowledges that the U.S. Government retains a nonexclusive, paid-up, irrevocable, worldwide license to publish or reproduce the published form of this work, or allow others to do so, for U.S. Government purposes.

> This report is available at no cost from the National Renewable Energy Laboratory (NREL) at [www.nrel.gov/publications.](http://www.nrel.gov/publications)

U.S. Department of Energy (DOE) reports produced after 1991 and a growing number of pre-1991 documents are available free via [www.OSTI.gov.](http://www.osti.gov/)

Cover Photos by Dennis Schroeder: (clockwise, left to right) NREL 51934, NREL 45897, NREL 42160, NREL 45891, NREL 48097, NREL 46526.

NREL prints on paper that contains recycled content.

Improvement of front-junction GaInP by point-defect injection and annealing

Ryan M. France¹, Manuel Hinojosa², S. Phil Ahrenkiel³, Matthew R. Young¹, Steve W. Johnston¹, Harvey L. Guthrey¹, Myles A. Steiner¹, John F. Geisz¹

¹National Renewable Energy Laboratory, Golden, CO 80401, United States

²Universidad Politecnica de Madrid, Madrid, Spain

³Nanoscience and Nanoengineering, South Dakota School of Mines and Technology, Rapid City, SD 57701, United States

*Abstract***— Traditional front junction GaInP solar cells are radiation tolerant and can have good diffusion length, but have limited voltage and thus efficiency. Here, we investigate the impact of annealing on GaInP device performance. First, Zn-doped GaInP/AlGaInP double heterojunction structures are studied in order to investigate the impact of annealing in a simple structure. While standard anneals lower diffusion length and lifetime, annealing after injecting point-defects improves material quality, presumably by eliminating sources of non-radiative recombination. Atomic ordering is reduced by the anneal, which raises the device bandgap and requires consideration. These results can be used to improve front junction device performance while controlling the bandgap. Then, GaInP front-junction devices are created using anneals with and without point-defect injection. Voc and EQE trends follow the diffusion length and lifetime trends from the DH structures. Baseline devices have Woc of 0.484 V, raising to 0.504 V after a high temperature anneal. However, injecting point defects prior to the anneal results in a front junction device with Woc of 0.405 V and a diffusion length greater than 8 µm. Optimized front-junction GaInP devices with an ARC have ~20% efficiency without a rear reflector.**

Keywords—III-V multijunction, GaInP, anneal

I. INTRODUCTION

Although rear-heterojunction GaInP solar cells have excellent voltage,[1] they have limited carrier collection due to diffusion length limitations and have poor radiation hardness.[2] Record efficiency GaInP devices with rear-heterojunctions rely on a back reflector to achieve complete carrier collection, which is not present in a multijunction device. On the other hand, front junction GaInP devices are radiation hard and have longer diffusion length, but typically have lower efficiency. In addition, the performance of both types of solar cells can be negatively impacted by annealing. With improved voltage and long diffusion lengths, a traditional front junction GaInP device could outperform a rear-heterojunction device in a multijunction cell, and provide its well-known radiation hardness.[3] Here we investigate the impact of annealing on front junction GaInP performance with and without prior point-defect injection. The

annealing behavior is complex, but can be controlled to result in excellent front junction devices.

II. EXPERIMENTAL

Front-junction GaInP devices, and GaInP/AlGaInP double heterostructures were grown using atmospheric pressure OMVPE and standard precursors on (001) GaAs substrates miscut 2° towards (111)B. Growth was in the inverted direction, and devices and double heterostructures were both followed by point-defect injection layers and GaAs annealing layers. Device layers were grown at 720 °C, point-defect injection layers were grown at 620 °C, and GaAs annealing layers were grown at either 620 °C or 725 °C. The point-defect injection layers were simply AlGaAs:C/GaAs:Se tunnel junctions (TJ), and so simulates a structure used in multijunction solar cells. The structures are shown in Fig. 1a and Fig. 2a and described later in the text. After growth, solar cell samples were electroplated with a Au back contact, inverted onto a Si handle, the substrate and GaInP stop etch were removed, front Au grids were electroplated, and devices were isolated with selective etchants. External quantum efficiency (EQE) and current-voltage (IV) curves were measured on solar cell devices using custom-built instruments. Double heterostructures were tested for diffusion length and emission energy using cathodoluminescence (CL), lifetime using time resolved photoluminescence (TRPL), and atomic ordering using X-ray diffraction (XRD).

III. : RESULTS

A. Annealing tests of GaInP/AlGaInP double heterostructures

 Zn -doped Al_{0.3}Ga_{0.2}In_{0.5}P/Ga_{0.5}In_{0.5}P/Al_{0.3}Ga_{0.2}In_{0.5}P (0.2 μ m/1 μ m/ 0.2 μ m) double heterostructures are grown with varying annealing layers after the DH structure:

- No growth after DH (baseline structure)
- 1-µm-thick undoped GaAs annealing layer, grown for 30 min at 620 \degree C or 725 \degree C
- An AlGaAs:C/GaAs:Se TJ for point defect injection, followed by a 1-µm-thick undoped GaAs annealing layer, grown for 30 min at 725 °C.

Table I: Zn-doped GaInP/AlGaInP DH annealing. Including a thin layer to inject point defects into the structure prior to an anneal dramatically influences the material quality.

After the growth, the TJ and annealing layers were selectively etched, and the remaining DH structure was characterized with CL to extract diffusion length (Fig. 1b), TRPL to measure lifetime, and XRD for observing changes to atomic ordering. The structures are shown in Fig. 1a.

The lifetime and diffusion length results are shown in Table I. The Zn-doped GaInP/AlGaInP DH structure initially has high material quality, but is quickly impacted by a low temperature anneal at 620 °C, and further impacted as the anneal temperature is raised to 725 °C. Lifetime decreases from 40 ns to 30 ns and 13 ns, respectively, and diffusion length decreases from 11 to 8 to 5 µm, respectively. However, implementing a TJ prior to annealing improves diffusion length and lifetime dramatically, back to their initial values prior to degradation. Speculation about possible point defect interactions leading to this result is included in the discussion section.

Annealing with a TJ reduces GaInP atomic ordering of the wrt annealing without a TJ, shown in XRD diffraction of the $\frac{1}{2}$ (113) peak in Fig. 1c. Controlling atomic ordering is necessary to control the bandgap in GaInP devices and optimize multijunction current balancing. In addition, the variation of atomic ordering gives some insight into the type of point defect interactions that occur upon annealing, discussed later.

B. GaInP solar cell annealing

Inverted, front-junction GaInP devices with 2-µm-thick GaInP:Zn bases and varying annealing layers are grown and compared. The device structures are identical until after the growth of the AlGaInP:Zn BSF, after which varying annealing layers are added:

- AlGaAs:C contact layer (baseline device)
- 1-µm-thick GaAs:C annealing layer, grown for 30 min at 620 °C or 725 °C, then an AlGaAs:C contact layer
- AlGaAs:C/GaAs:Se tunnel junction (point-defect injection layer), then 1-µm-thick GaAs:Se annealing layer, grown for 30 min 725 °C, then an GaAs:Se contact layer

The structure is shown in Fig. 2a. The baseline device has a Woc of 0.484 V. Notably, the EQE benefits from the Au contact, which acts as a rear-reflector, leading to interference fringes and an improved absorption near the bandedge. The high Woc indicates a low radiative efficiency, and so the voltage is not appreciably impacted by photon recycling. Adding annealing at 620 °C and 725 °C increasingly degrades the device performance, raising Woc to 0.501 and 0.504, respectively. Notably, these devices have a 1-µm-thick absorbing layer after the cell, lowering the EQE near the bandedge and absorbing any

Figure 1: (a) Illustration of Zn-doped GaInP/AlGaInP double heterostructure experiment. Annealing is performed with and without various thin layers prior to the anneal. (b) XRD of the ½ (113) peak, which is used to observe changes in atomic ordering due to annealing.

light that passes through the base. Including a tunnel junction prior to the anneal improves device performance above that of the baseline device, improving the EQE and lowering Woc to 0.405, which is very good for a device with an absorbing layer behind the base. The external radiative efficiency improves from 0.1% to 4% at 20 mA/cm2 (not shown). The general trends in diffusion length and lifetime from the DH experiment are thus confirmed in solar cell devices.

To further test the diffusion length of annealed devices, we increased the thickness of the GaInP: Zn base from 2 um to 4 µm. Even at a base thickness of 4 µm, no carrier loss is observed. EQE modeling predicts the diffusion length is $8-10 \mu m$. When the diffusion length is longer than the base thickness, the error in diffusion length modeling from EQE is significant. However, the results quantitatively agree with the diffusion length measurement of the DH structures, and the near bandedge absorption is sharp.

Devices using optimized anneals including point-defect injection were measured after coating with a MgF_2/ZnS antireflective coating, shown in Fig. 3b. The device structure includes an absorbing GaAs layer (Fig 2a), and so the efficiency simulates performance in a multijunction device. Voc of 1.45 V and efficiency of 19.8% (not NREL-certified) are shown.

IV. DISCUSSION

The behavior of Zn-doped GaInP is clearly complex. Here, we speculate that the introduction of Zn into GaInP creates point defects (pX) that result in non-radiative recombination. Annealing the sample without a TJ either introduces more

defects or increases the recombination associated with these defects. Including a TJ injects a complementary point defect (pY), which, upon anneal, passivates pX. This type of passivation could occur if pX is a group III vacancy and pY is a group III interstitial, or vise versa. In this case, we don't know what type of point defect interactions are occurring. However, we get some information from the difference in atomic ordering with and without a TJ. Highly doped layers such as TJs are known to inject point defects such as Ga interstitials into the previously grown material, which then enhances Zn diffusion through the kick-out mechanism.[4-6] The kick-out mechanism involves group III interstitials swapping place with an substitutional Zn atom, resulting in more mobile interstitial Zn. Rearrangement of the group III sublattice also occurs, which leads to the observed reduction in atomic ordering. Therefore, we have some confidence that group III interstitials are being injected by the TJ due to the reduction in atomic ordering. It is possible that group III interstitials also passivate pX.

investigated. Standard inverted GaInP cells are compared to devices with 30 min anneals at 620 °C and 725 °C. Then a device with point defects injected using a tunnel junction is annealed at 725 \degree C. (b) IV and (c) EQE of these devices.

Figure 3: Front-junction GaInP cells with the structure shown in Fig. 1a, including a point defect injection and anneal at 725 °C. (a) The base thickness is varied from $2 - 4 \mu m$ as a test of diffusion length. (b) EQE and (c) IV results from 2-µm thick GaInP device including a GaAs absorbing annealing layer after ARC.

Alternatively, multiple types of point defects could be injected by the TJ. More investigation is needed to determine the type of point defect interaction occurring in these devices, which could be performed through a detailed study of DH structures or solar cells with varying point defect injection layers. Despite not fully understanding the underlying mechanisms, we have shown that GaInP:Zn is very sensitive to point defects, have shown some of the important parameters impacting performance, and have shown effective ways of improving devices. Final devices with optimized anneals have \sim 20% efficiency with an ARC, despite having an absorbing GaAs layer behind the cell.

V. REFERENCES

- [1] J. F. Geisz, M. A. Steiner, I. Garcia, S. Kurtz, and D. Friedman, "Enhanced external radiative efficiency for 20.8% efficient single-junction GaInP solar cells," *Appl. Phys. Lett.,* vol. 103, p. 041118, 2013.
- [2] M. A. Steiner *et al.*, "Radiation effects on luminescent coupling in III-V solar cells," in *IEEE Photovoltaic Specialists Conference*, New Orleans, LA, 2015.
- [3] M. Yamaguchi, "Radiation resistance of compound semiconductor solar cells," *J. Appl. Phys.,* vol. 78, no. 3, pp. 1476-1480, 1995.
- [4] T. Takamoto, M. Yumaguchi, E. Ikeda, T. Agui, H. Kurita, and M. Al-Jassim, "Mechanism of Zn and Si diffusion from a highly doped tunnel junction for InGaP/GaAs tandem solar cells," *J. Appl. Phys.,* vol. 85, no. 3, pp. 1481-1486, 1999.
- [5] K. L. Schulte, M. A. Steiner, M. R. Young, and J. F. Geisz, "Internal Resistive Barriers Related to Zinc Diffusion During the Growth of Inverted Metamorphic Multijunction Solar Cells," *J. Photovolt.,* vol. 9, no. 1, pp. 167-173, 2018.
- [6] L. R. Weisberg and J. Blanc, "Diffusion with interstitialsubstitutional equilibrium. Zinc in GaAs," *Phys. Rev.,* vol. 131, p. 1548, 1963.