

# Modeling Chemo-Mechanics with Electrolyte Infiltration to Quantify Degradation of Cathode Particles.

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# GrainCDM Overview



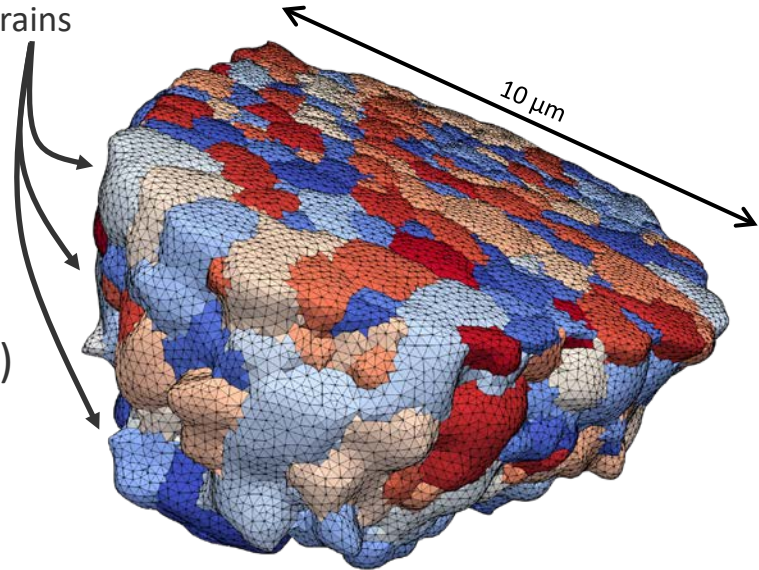
<https://fenicsproject.org/>

- Grain-scale Continuum damage model
- Couples electrochemistry and mechanics
- Perform CC-CV charge/discharge cycles
- Parallel implementation:
  - 1 Cycle, 1.67 hours, 926 K DOFS, 72 Procs
  - 1.3x to 24x real time (depending on C-rate)
- Collaborators

NREL: VTO

- |                             |                   |
|-----------------------------|-------------------|
| – Andrew M. Colclasure      | – Ankit Verma     |
| – Donal P. Finegan          | – Peter J. Weddle |
| – Weijie Mai                | – Sheila Whitman  |
| – Anudeep Mallarapu         | – Volker Schmidt  |
| – Kandler Smith             | – Orkun Furat     |
| – Francois Usseglio-Viretta | – Tanvir Tanim    |

Grains



Reconstructed Cathode Particle

Ulm

INL

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# Cathode Electrochemistry

- Domain: single cathode particle
- Bulk equations: Li concentration, Potential
- Boundary condition: Butler-Volmer, CC, CV
- Small modification for anisotropic diffusion within grains:

$$D_s \rightarrow -\mathbf{R}D_s\mathbf{R}^{-1}$$

$$D_s = \begin{bmatrix} s_{xy} & 0 & 0 \\ 0 & s_{xy} & 0 \\ 0 & 0 & s_z \end{bmatrix} D_s$$

$$\mathbf{R} = \mathbf{R}_z\mathbf{R}_y\mathbf{R}_x$$

CC:  $j_s \cdot \vec{n} = I_{app}F$ , or CV:  $\phi_s = \phi_{cv}$ .

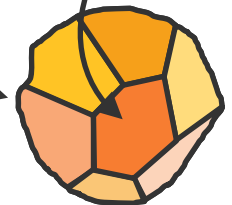
Cathode:

$$\frac{\partial c_s}{\partial t} = -\nabla \cdot N_s$$

$$N_s = -D_s \nabla c_s$$

$$0 = -\nabla \cdot j_s$$

$$j_s = -\kappa_s \nabla \phi_s$$



Butler-Volmer Interface Condition:

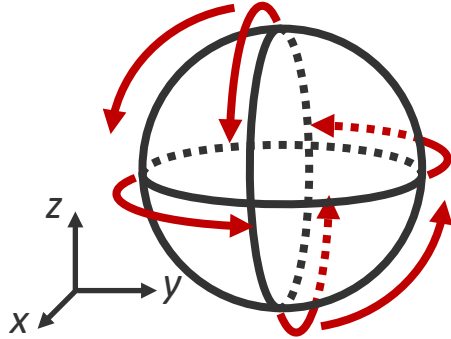
$$N_s \cdot \mathbf{n} = N_e \cdot \mathbf{n} = \frac{i_{se}}{F} \quad \text{and} \quad j_s \cdot \mathbf{n} = j_e \cdot \mathbf{n} = i_{se}$$

$$i_{se} = k_0 \sqrt{c_s c_e} (c_s - c_{smax}) \left( e^{\frac{F}{2RT}(\phi_s - \phi_e - U_0)} - e^{-\frac{F}{2RT}(\phi_s - \phi_e - U_0)} \right)$$

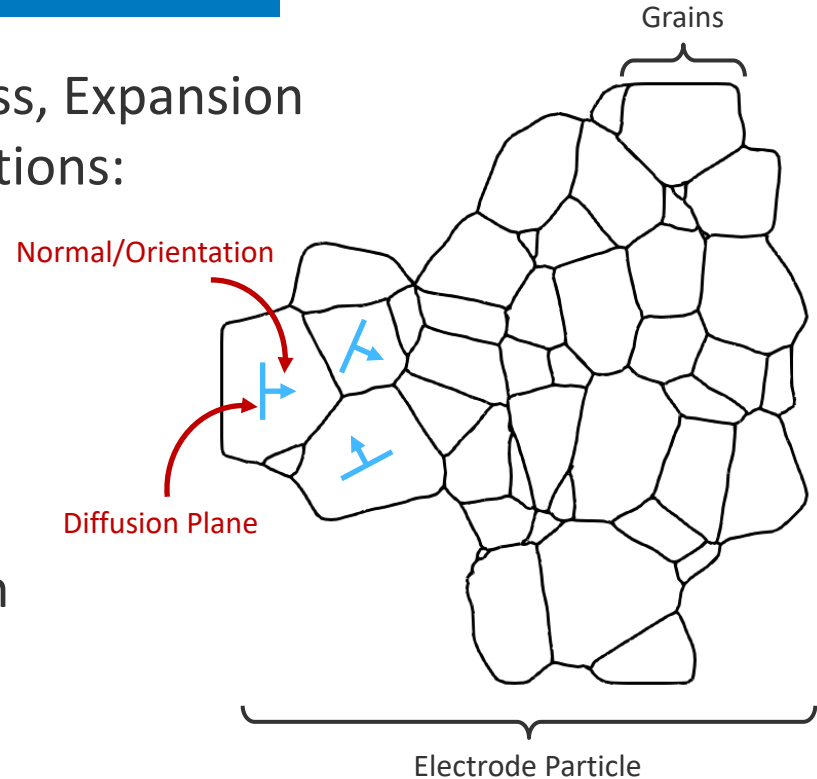
# Anisotropic Properties

- Anisotropic Values: Diffusion, Stiffness, Expansion
- Achieved by applying a series of rotations:

- Roll
- Pitch
- Yaw



- Different values defined in each grain
- Currently random
- Eventually empirically determined



# Mechanical Model

- Mechanics (Linear elasticity with infinitely small-strain theory)

$$\nabla \cdot \sigma = 0, \quad \text{and} \quad \bar{\sigma} = C[\bar{\varepsilon} - \bar{\beta}\Delta c_s], \quad \varepsilon = \frac{1}{2} (\nabla u + (\nabla u)^T)$$

- **Anisotropic** Stiffness and Expansion Tensors:

$$C = \begin{bmatrix} C_{11} & C_{12} & C_{13} & 0 & 0 & 0 \\ C_{12} & C_{11} & C_{13} & 0 & 0 & 0 \\ C_{13} & C_{13} & C_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & C_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & C_{44} & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{C_{11}-C_{12}}{2} \end{bmatrix} \quad \beta = \begin{bmatrix} \beta_t & 0 & 0 \\ 0 & \beta_t & 0 \\ 0 & 0 & \beta_n \end{bmatrix}$$

$$\beta_t = \frac{a_s - a_0}{a_0}$$

$$\beta_n = \frac{c_s - c_0}{c_0}$$



# Damage Calculation

- Damage based Equivalent Strain (**Cracks when expanding**)

$$\varepsilon_{eq}^e = \sqrt{\sum_{i=1}^3 (\langle \varepsilon_i^e \rangle)^2}$$

- Damage Thresholding (**does not recover**)

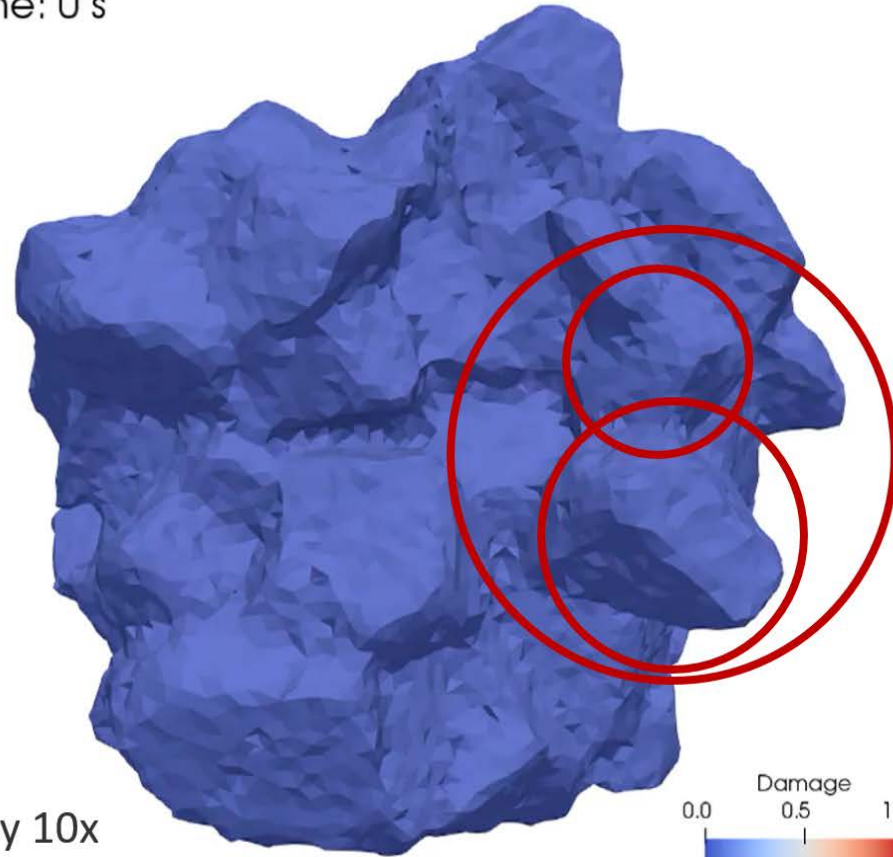
$$D = \begin{cases} 0 & \text{if } \varepsilon_{eq}^e < k_i \rightarrow \text{Crack Initiation} \\ \frac{k_f}{\varepsilon_{eq}^e} \frac{\varepsilon_{eq}^e - k_i}{k_f - k_i} & \text{if } k_i < \varepsilon_{eq}^e < k_f \quad \text{and} \quad D_{n+1} = \max(\{D, D_n\}) \\ 1 & \text{if } \varepsilon_{eq}^e > k_f \rightarrow \text{Complete Failure} \end{cases}$$

- Coupling by attacking **Stiffness** and **Diffusion**

$$\hat{C} = \max((1 - D), 0.1) C \quad \text{and} \quad \hat{\mathbf{D}}_s = (1 - D) \mathbf{D}_s$$

# Large Grain at 6C

Time: 0 s



Displacement Amplified by 10x

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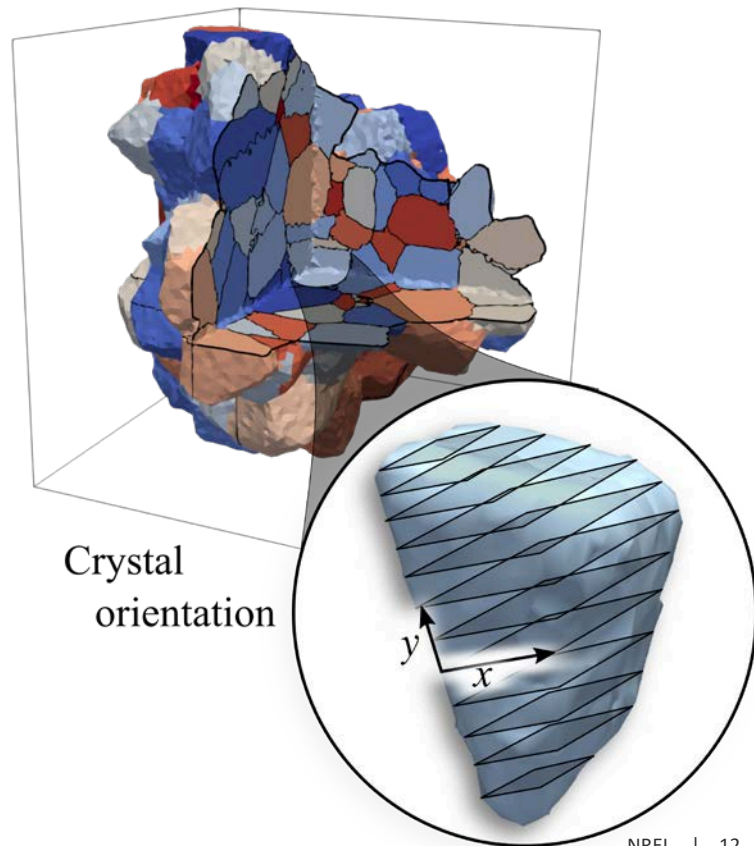
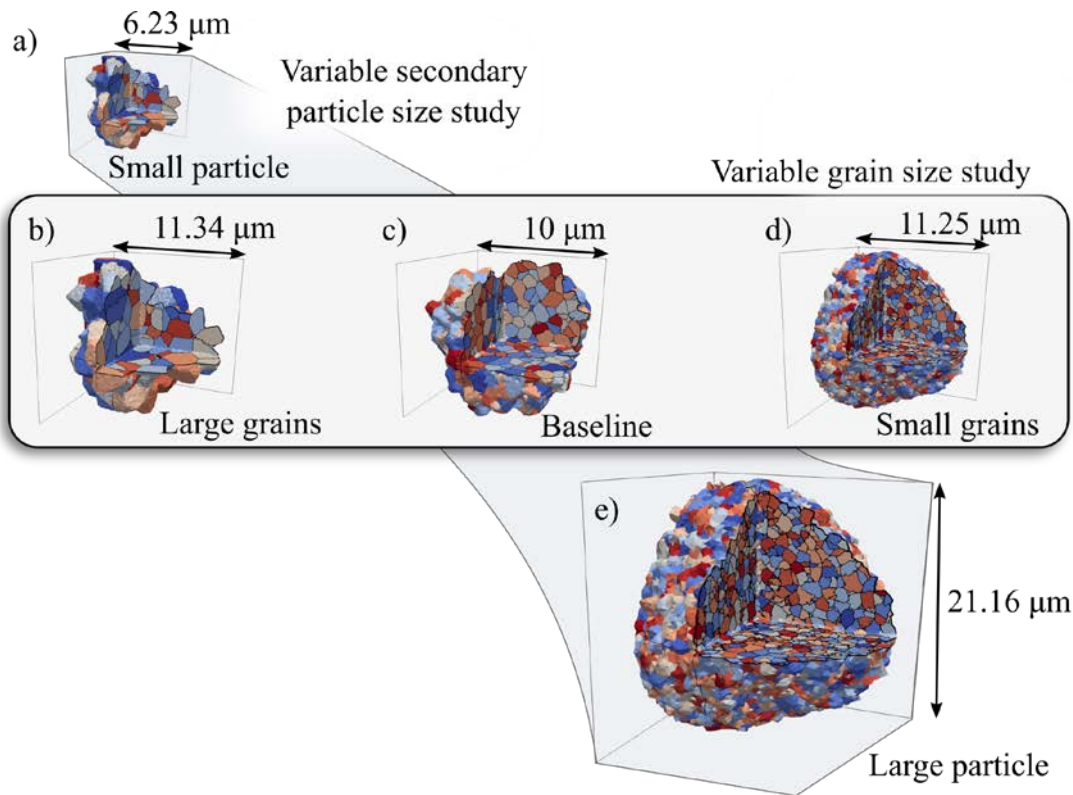
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# Generated Particles

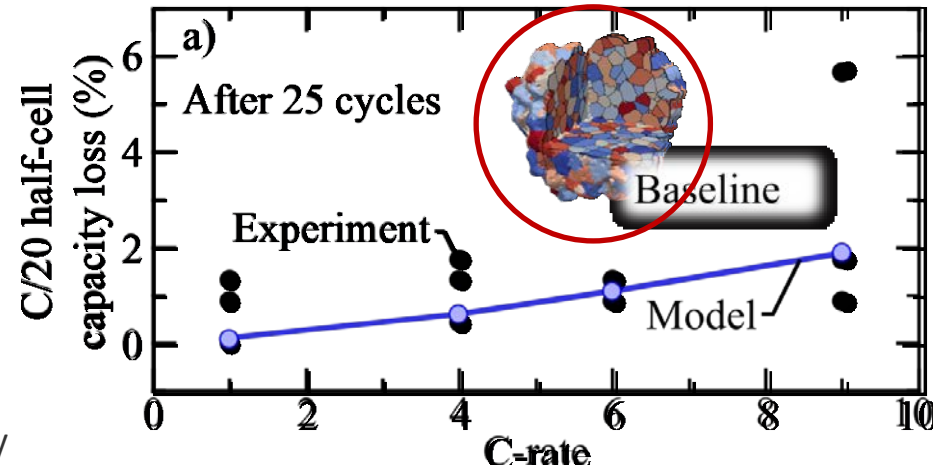
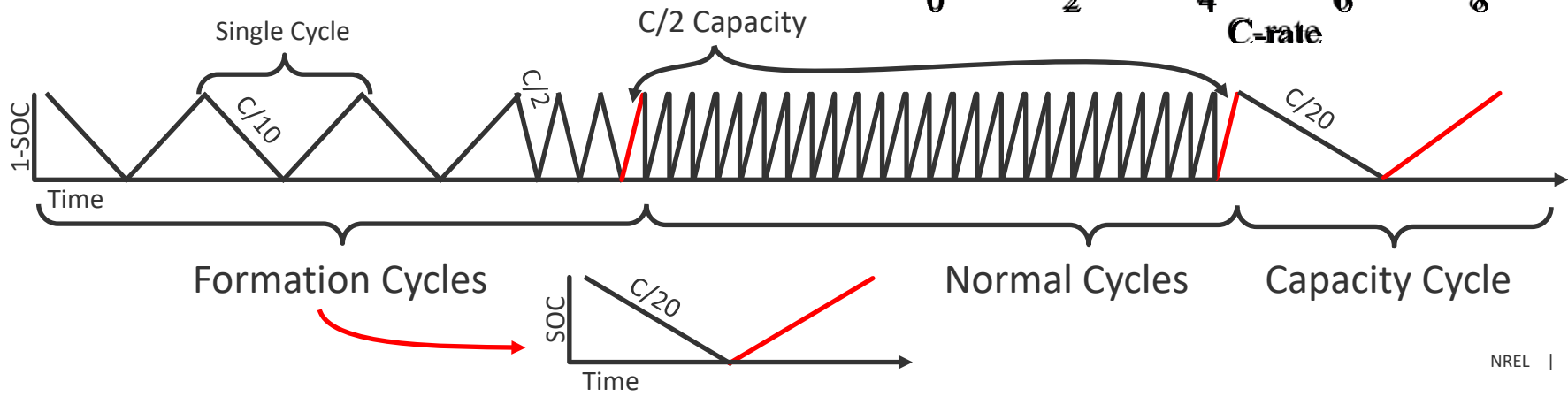


# Data Calibration for $k_i$ (NMC532)

(data from T. Tanim, et. al., 2021)

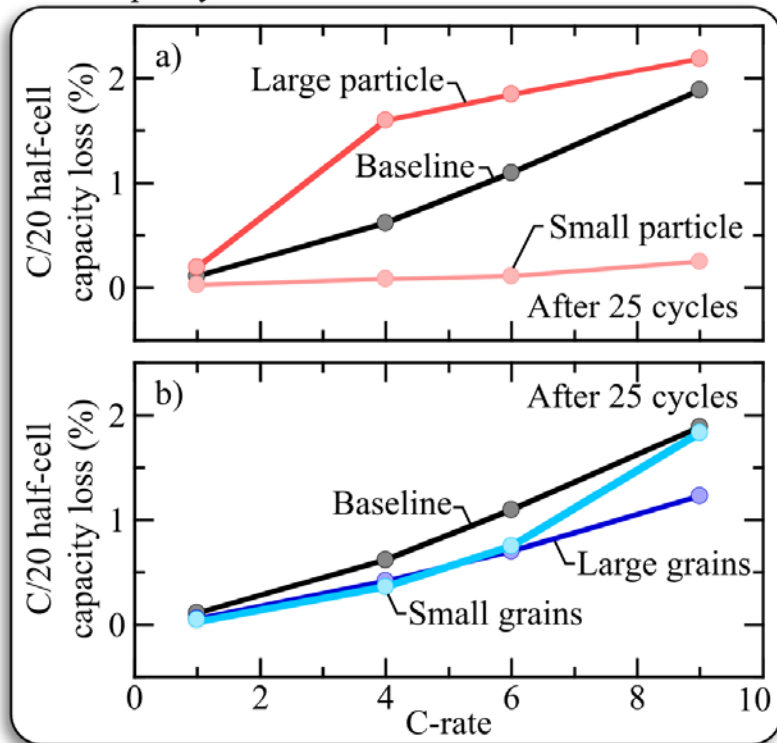
Structure of Simulation:

1. Performed Formation Cycle
2. Cycled 25 time at 1, 4, 6, 9 C
3. Calculate C/20 capacity loss

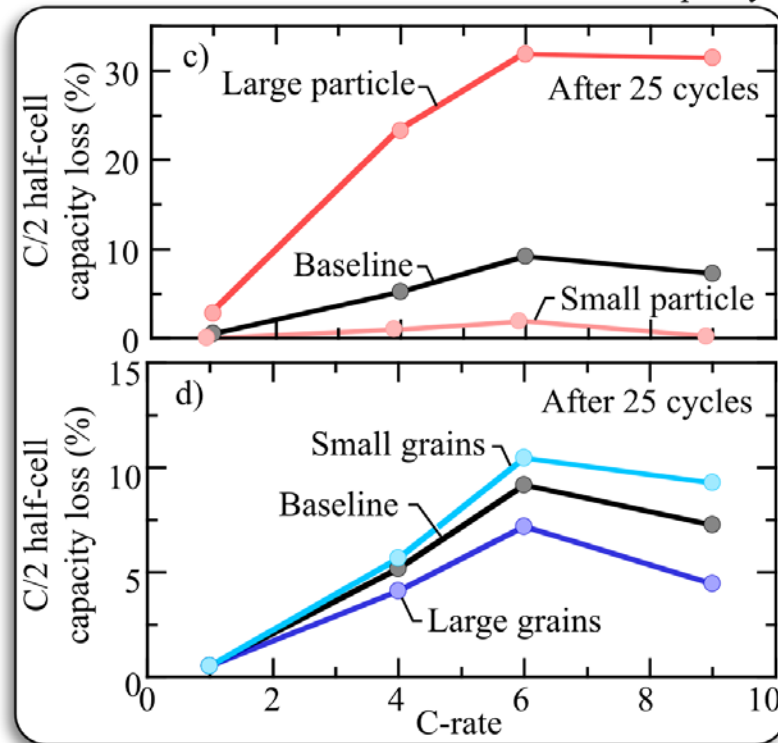


# Capacity Loss

C/20 capacity



C/2 capacity



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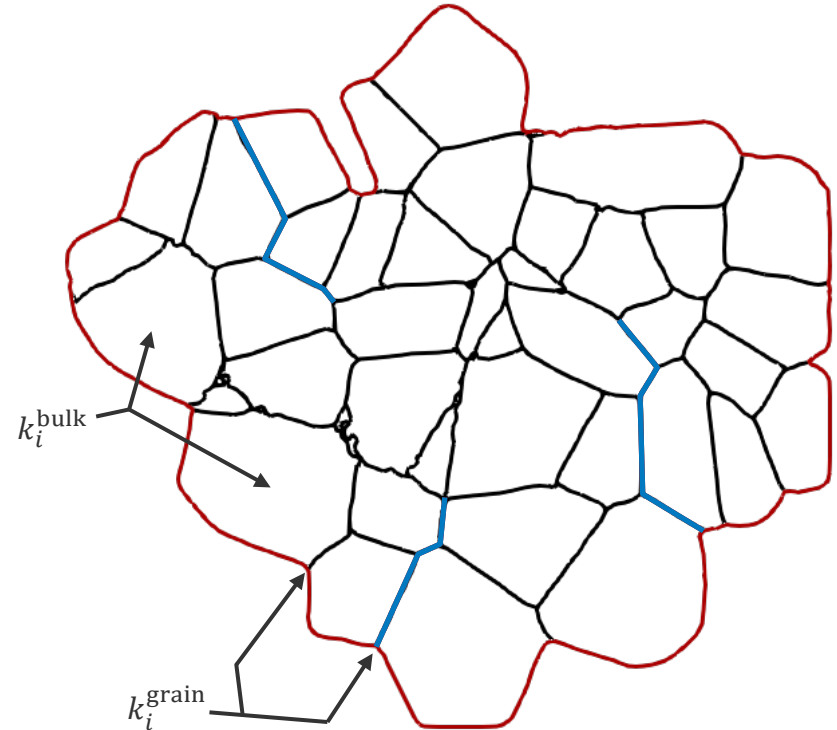
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# Modeling Electrolyte Infiltration

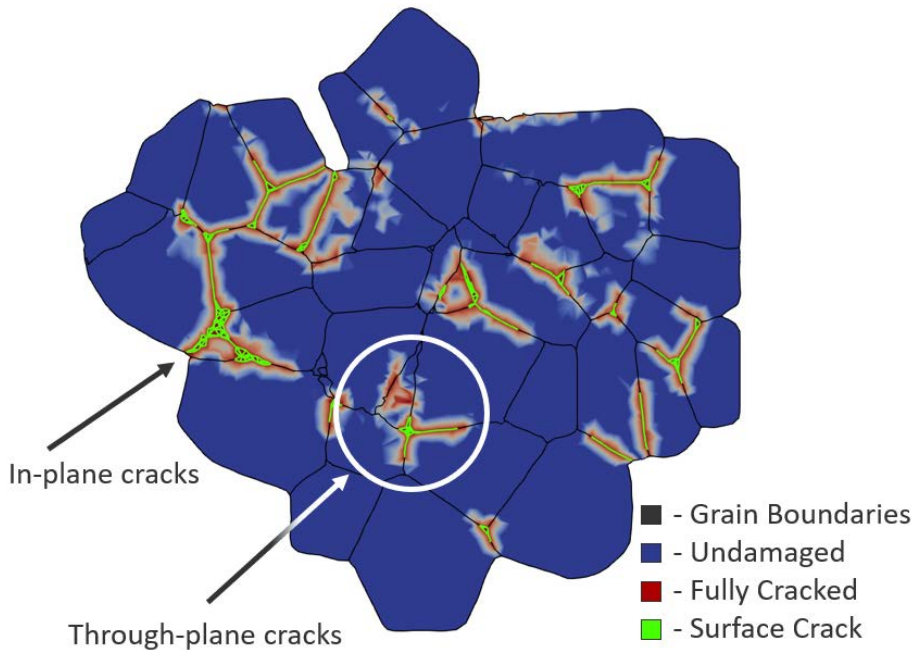
- Limit cracks to grains boundaries using  $k_i^{\text{bulk}}$  and  $k_i^{\text{grain}}$
- Track which cracks are connected to surface
- Treat surface cracks as new boundary conditions (scaled by new surface area)
- Assumptions:
  - Electrolyte instantly fills cracks
  - $\text{Li}^+$  immediately available
  - $\text{Li}^+$  Does not deplete



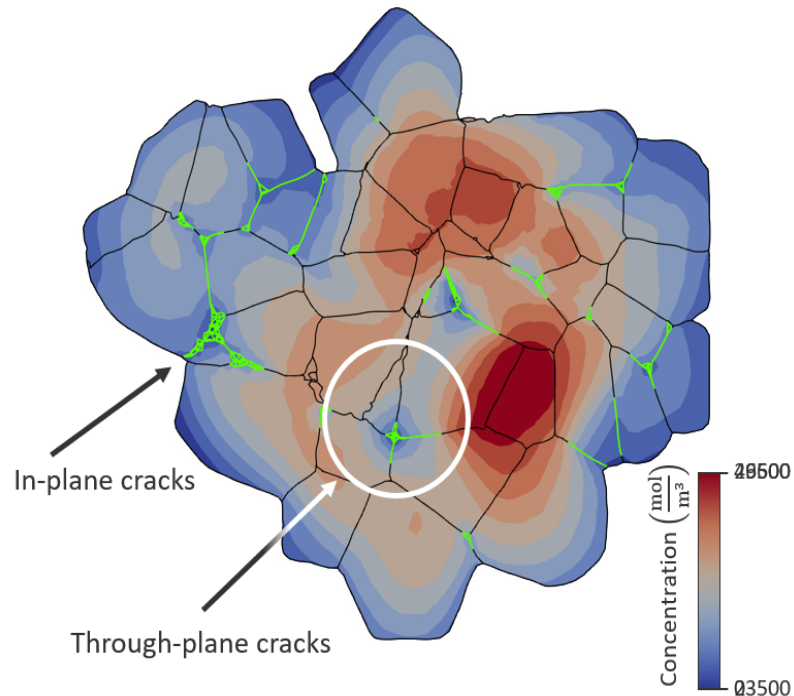


# Modeling Electrolyte Infiltration

Time: 420.0 s



Time: 420.0 s

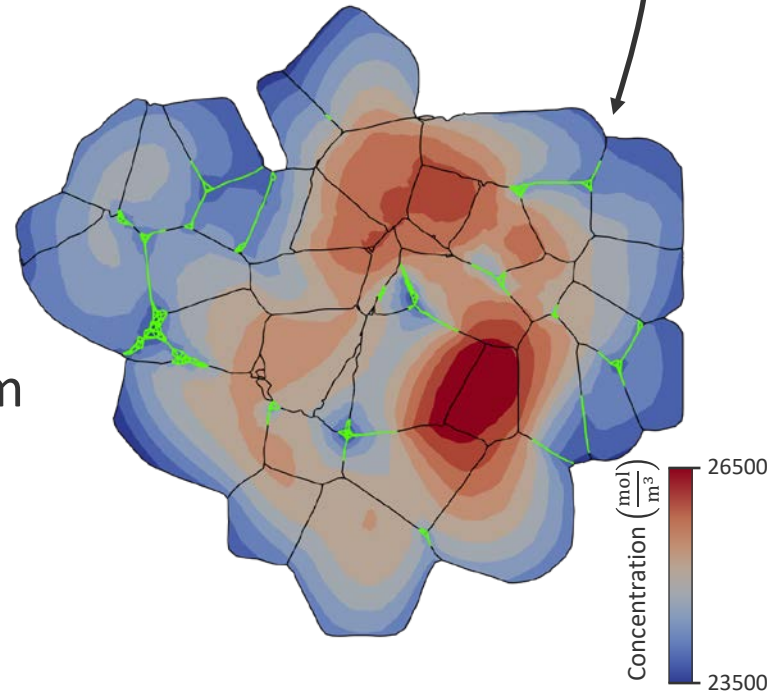


Rescale colorbar for more detail

# Unexpected Complications

Within Cracks:  
Li+: Available  
e-: Limited

- Adding EI significantly reduces resistances
- Damage is now a benefit
- More damage leads to higher capacity
- To remedy this, we are investigating Electrical Isolation:
  1. Use realistic conductivity, e-
  2. Remove the potential flux BC from surface cracks
  3. Damage affects conductivity
- We are currently working on implementing this



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# Conclusions & Future Work

- Conclusions:
  - Without EI, smaller particles with larger grains experience reduced capacity loss
  - EI allows Lithium to penetrate deeper into the bulk of a particle
- Future Work:
  - Investigate if EI can explain why NMC811 has resilient capacity despite significantly cracking
  - Looking to couple this high-fidelity model to a reduced-order model to facilitate full life-time simulations.

# Thanks for coming!

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Questions?

NREL/PR-2C00-83018

# Our Papers

Funded Through  
VTO



- [1] **J. Allen**, P. Weddle, A. Verma, A. Mallarapu, F. L. E. Usseglio-Viretta, D. Finegan, A. Colclasure, O. Schmidt, V. Furat, D. Diercks, and K. Smith. *Quantifying the influence of charge rate and cathode-particle architectures on degradation of Li-ion cells through 3D continuum-level damage models*. Journal of Power Sources, (2021, under review).
- [2] **J. Allen**, J. Chang, F. L. E. Usseglio-Viretta, P. Graf, and K. Smith. *A segregated approach for modeling the electrochemistry in the 3-D microstructure of Li-ion batteries and its acceleration using block preconditioners*. Journal of Scientific Computing, 10.1007/s10915-021-01410-5, (2021).