

# Instability of Rock-salt Cubic NbN in Density Functional Calculations

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Resource: NREL HPC

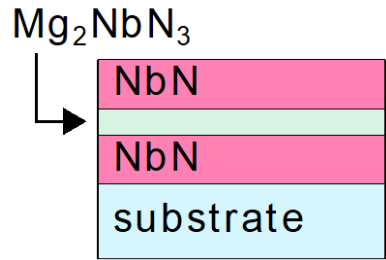


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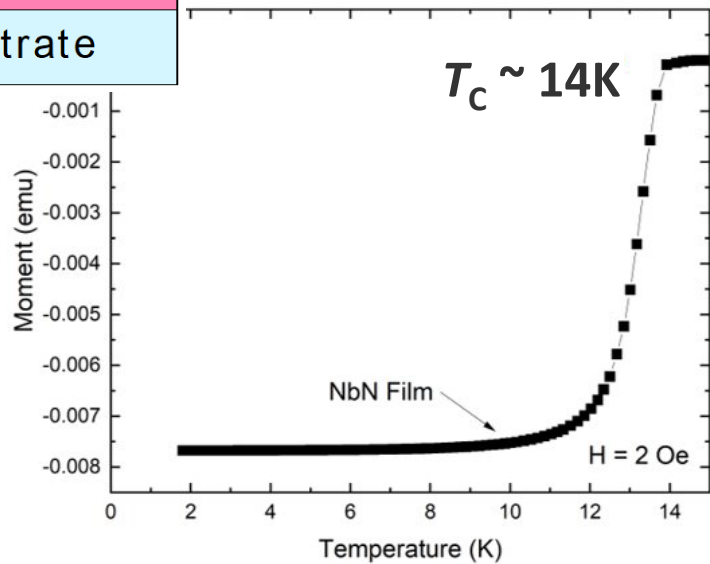
NREL LDRD program

# Cubic NbN for Superconducting Quantum Circuits

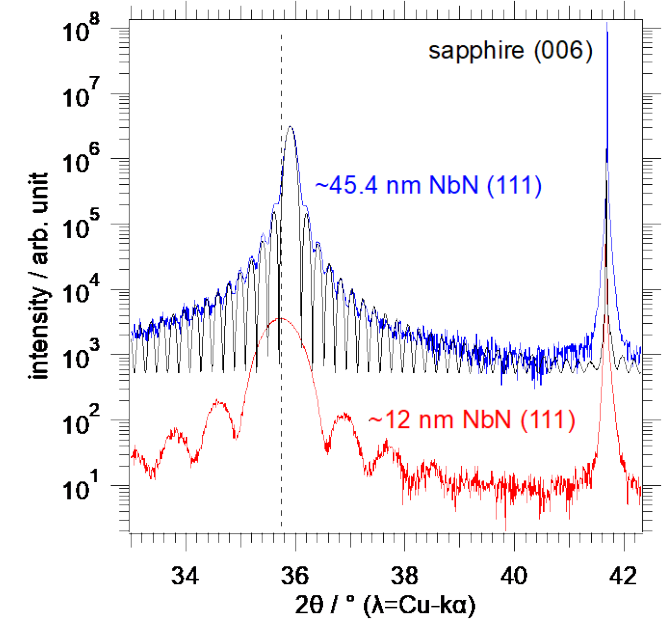
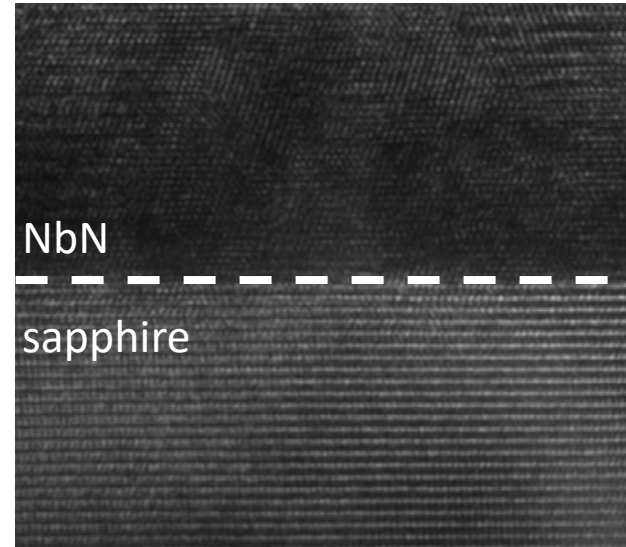
All nitride semi-/super-conductor heterojunctions<sup>1</sup> for Josephson Junction (superconducting quantum circuit)



- Structurally commensurate (cubic)
- Chemically compatible (TMNs)



Experimental results courtesy Sage Bauers (NREL)



**In thin-films, superconducting NbN stabilizes in the cubic (rock-salt) phase**

<sup>1</sup>S. R. Bauers et al., PNAS 45, 116, 14829 (2019)

# NbN Cubic Phase is Unstable in DFT Calculations

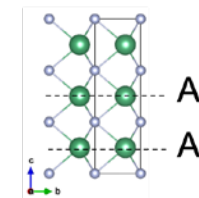
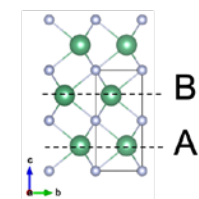
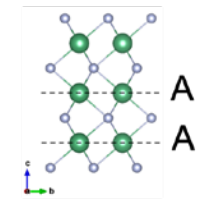
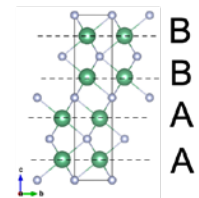
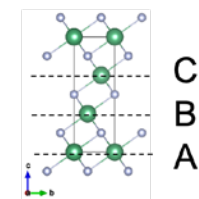
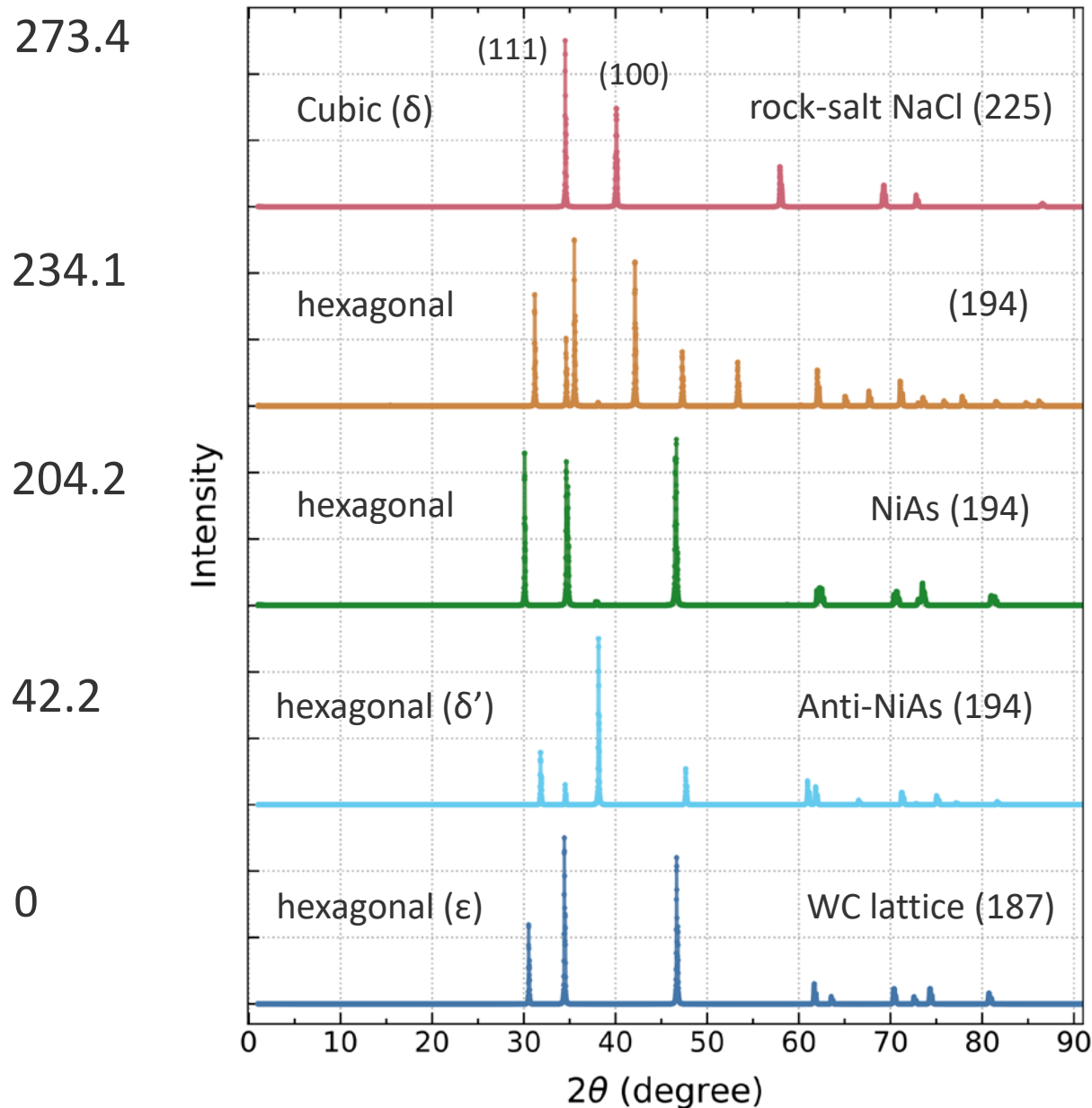
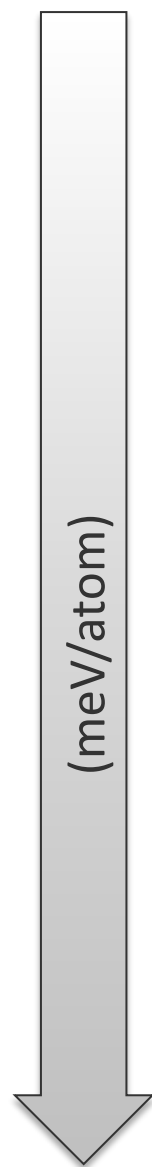
Bulk experiments<sup>1</sup>:

- Stoichiometric hexagonal  $\epsilon$ -NbN stable below  $T < 1330^\circ\text{C}$
- $\delta$ -NbN<sub>x</sub>,  $0.72 < x < 0.86$ , stable at  $T > 1070 - 1225^\circ\text{C}$

Similar energy ordering reported in DFT literature<sup>2,3</sup>

Energy ordering remains the same across different DFT functionals (PBE+*U*, SCAN) and Hybrid-DFT)

Modeled XRD patterns of known (ICSD) polymorphs of NbN



<sup>1</sup>G. Oya et al., J. Appl. Phys. 45, 3 (1974)

<sup>2</sup>V. I. Ivashchenko et al., Phys. Rev. B 82, 054109 (2010)

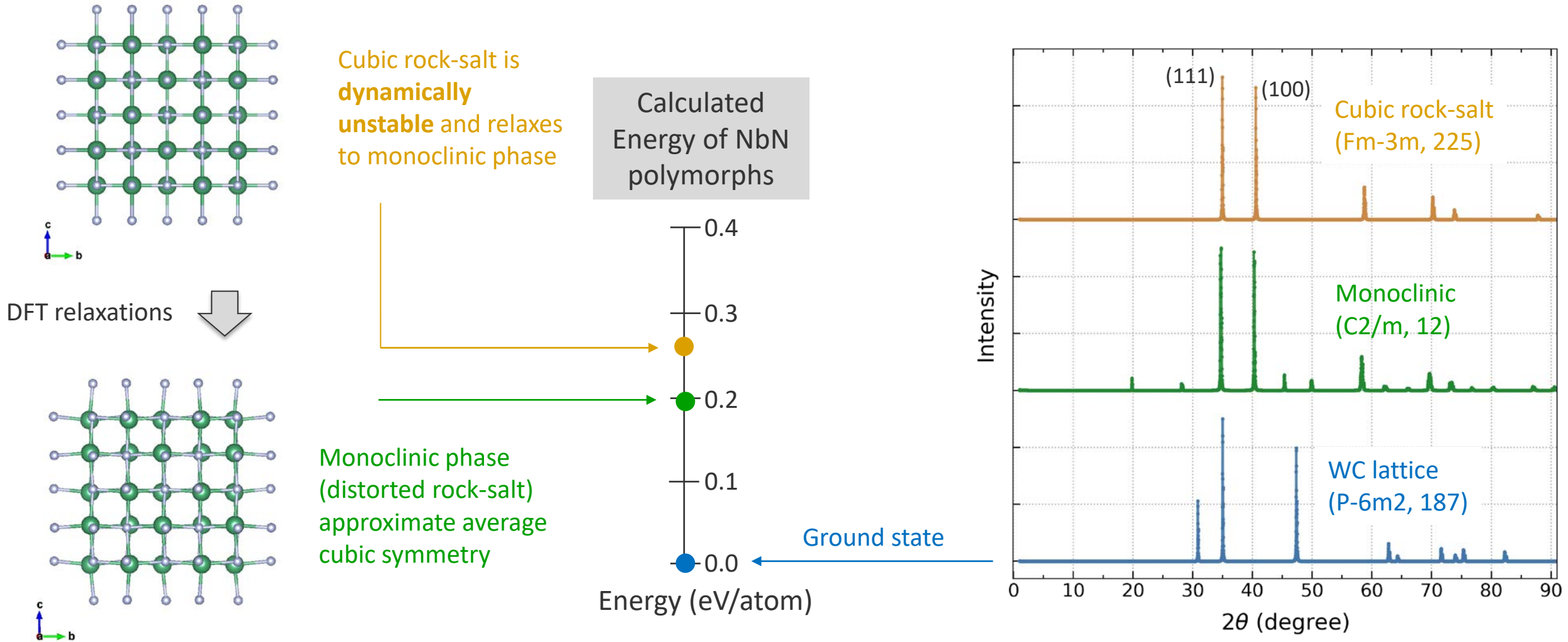
<sup>3</sup>K. R. Babu et al., Phys. Rev. B 99,104508 (2019)

# Why is cubic phase stable in thin-film experiments?

## Hypothesis:

- Does there exist other **unknown low-energy polymorph(s)** of NbN with an average cubic symmetry?
- Can **off-stoichiometry** or **oxygen impurity doping** energetically stabilize rock-salt over WC lattice?
- Does **in-plane strain** due to lattice mis-match with the substrate stabilize the cubic phase?

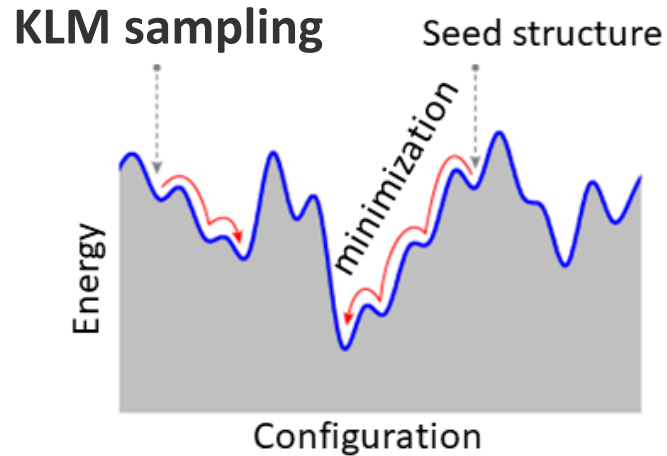
# Cubic phase relaxes to lower energy monoclinic phase in DFT Calculations



Monoclinic is still substantially higher in energy, ~0.195 eV/atom, above the ground state WC lattice

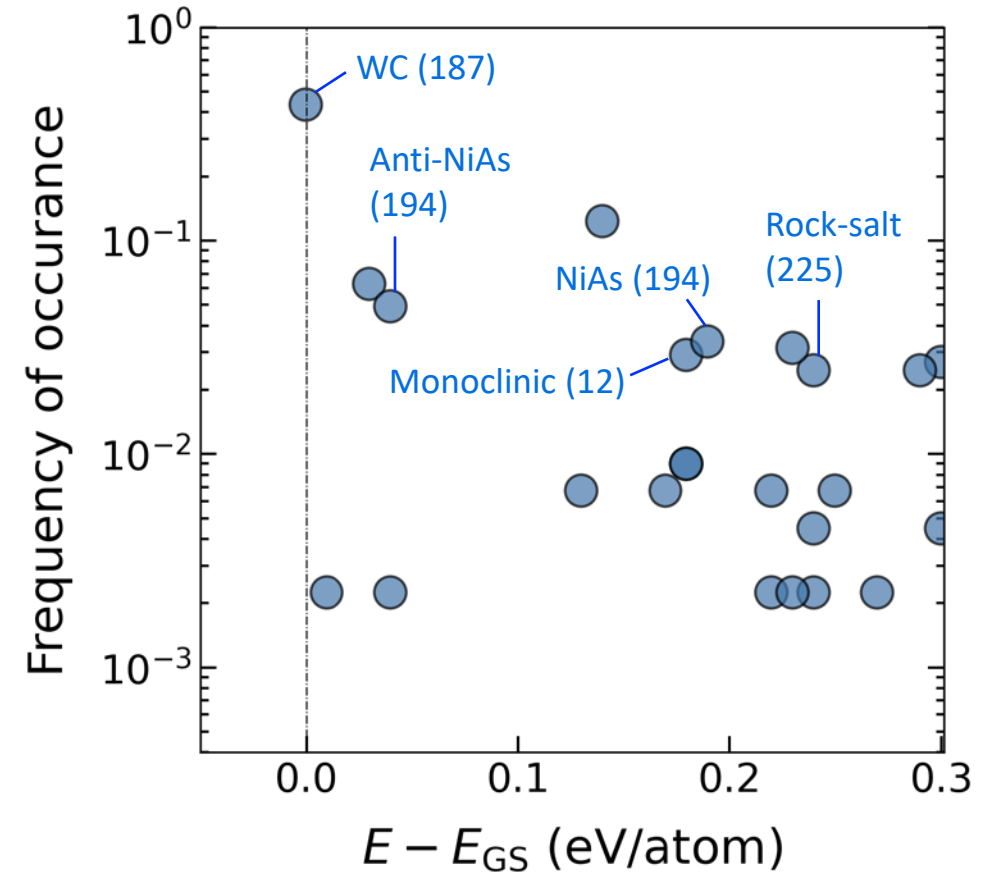
# Search for NbN polymorphs using unconstrained structure prediction

Performed using **Kinetically limited minimization (KLM)**<sup>1</sup>



- Hybrid approach combines random sampling and basin hopping
- Well suited for metastable materials
- Application: nitrides<sup>2</sup>, oxynitrides<sup>3</sup>
- Considered variable and constrained (c/a) cell shapes and sizes

Structures resulting from KLM sampling (N=4)



<sup>1</sup>E. Arca et al., J. Am. Chem. Soc. 140, 4293 (2018)

<sup>2</sup>W. Sun et al., Nat. Mater. 18, 732 (2019)

<sup>3</sup>A. Sharan and S. Lany, J. Chem. Phys. 154, 23406 (2021)

**Do we find new (low-energy) polymorphs of NbN from structure prediction?**

# Low energy (< 100 meV/atom) polymorphs from structure prediction

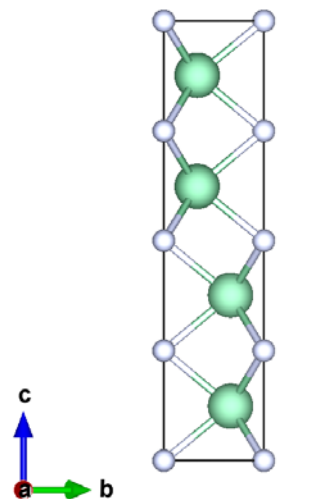
$E - E_{GS} = 10.49$  meV/atom

16.62 meV/atom

34.01 meV/atom

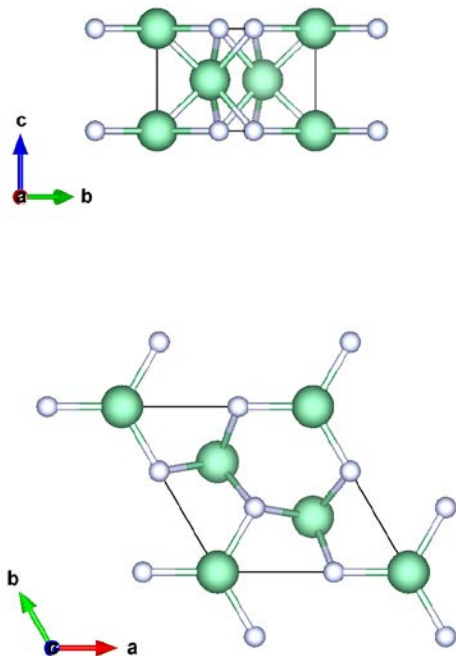
95.38 meV/atom

Hexagonal  
P6<sub>3</sub>mc (194)



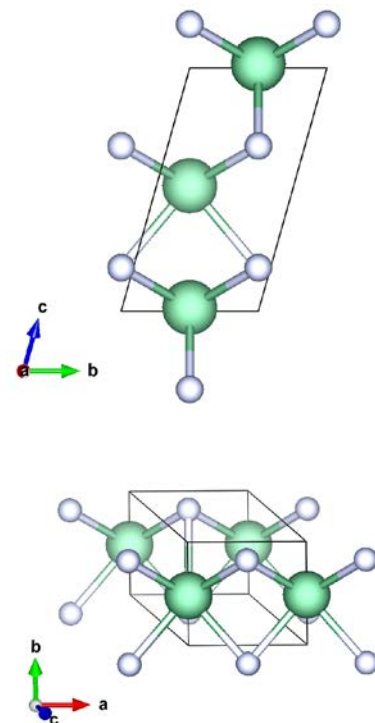
Primitive cell (8 atoms)

Hexagonal  
P-62m (189)



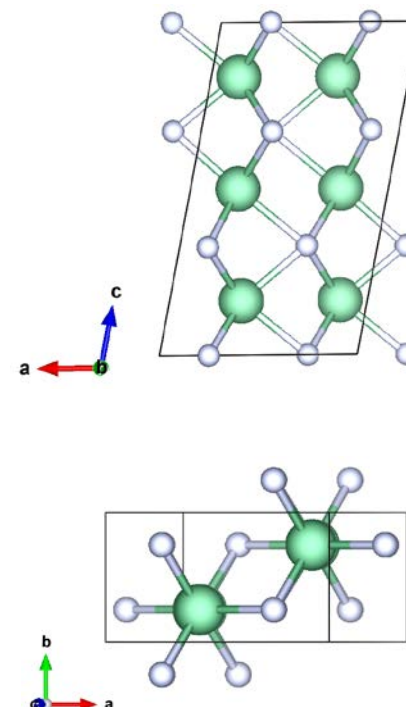
Primitive cell (6 atoms)

Tetragonal  
I4<sub>1</sub>md (109)



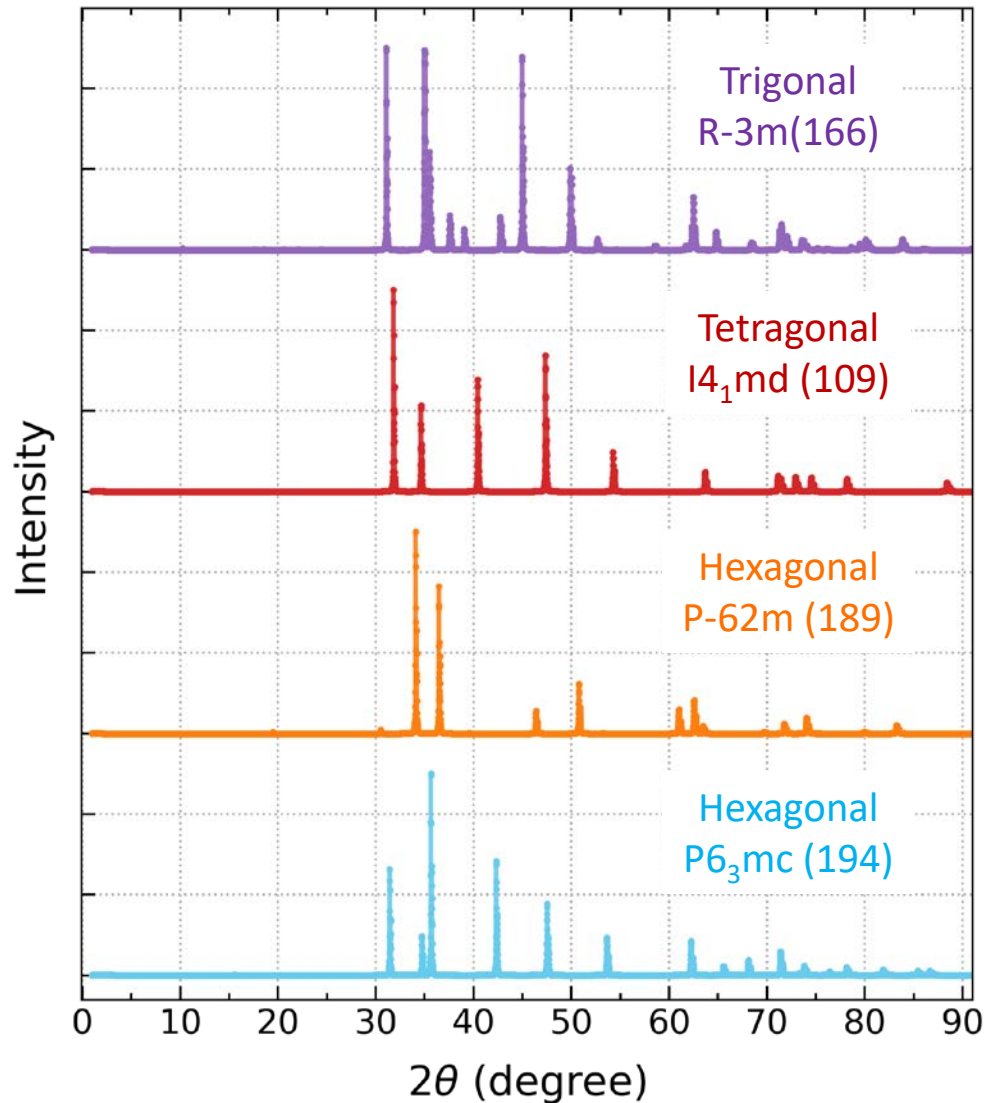
Primitive cell (4 atoms)

Trigonal  
R-3m(166)

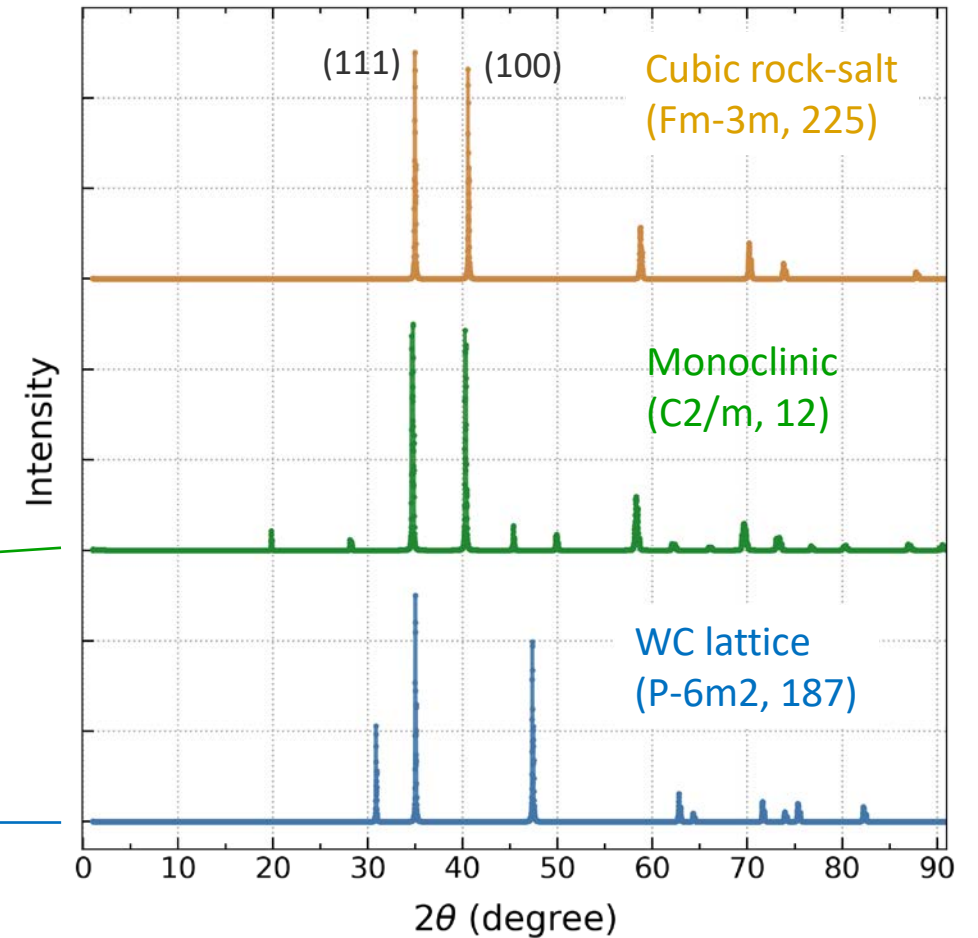
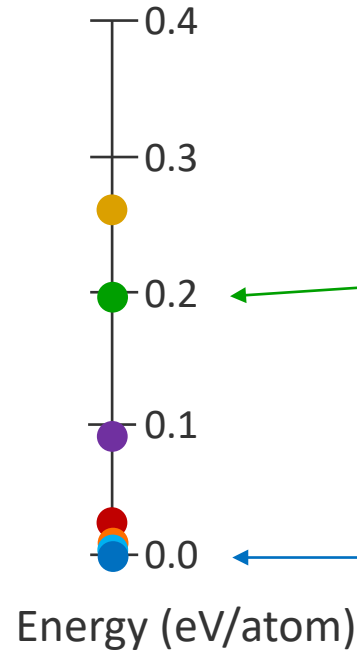


Primitive cell (12 atoms)

# Low energy (< 100 meV/atom) polymorphs from structure prediction



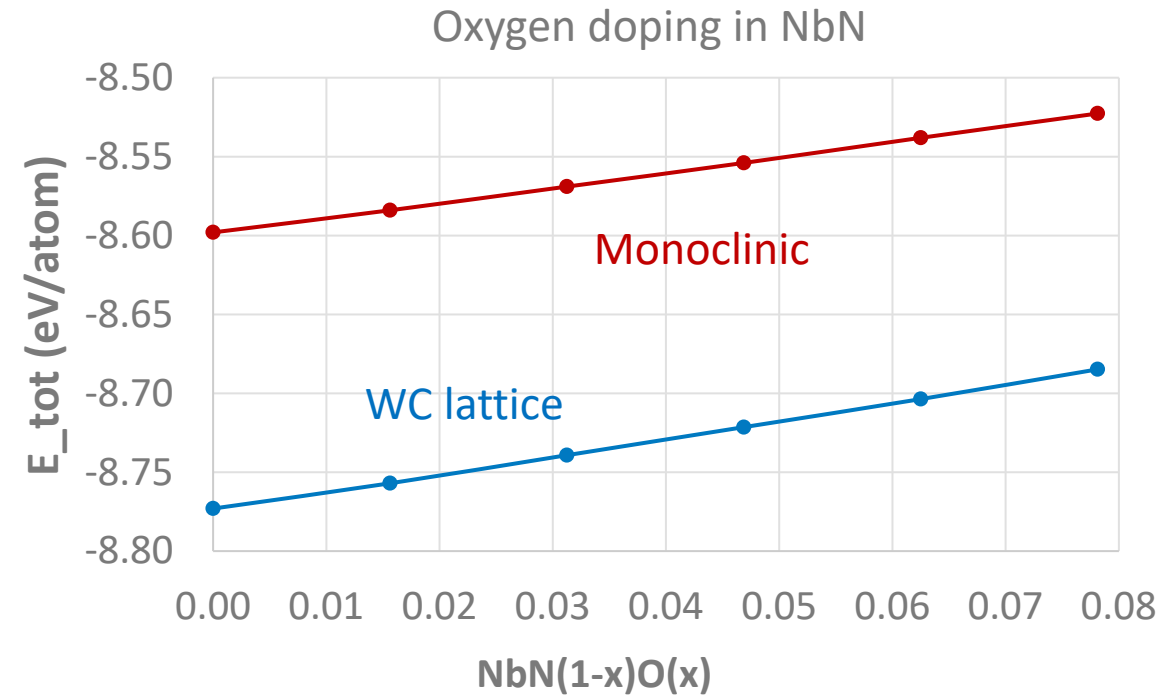
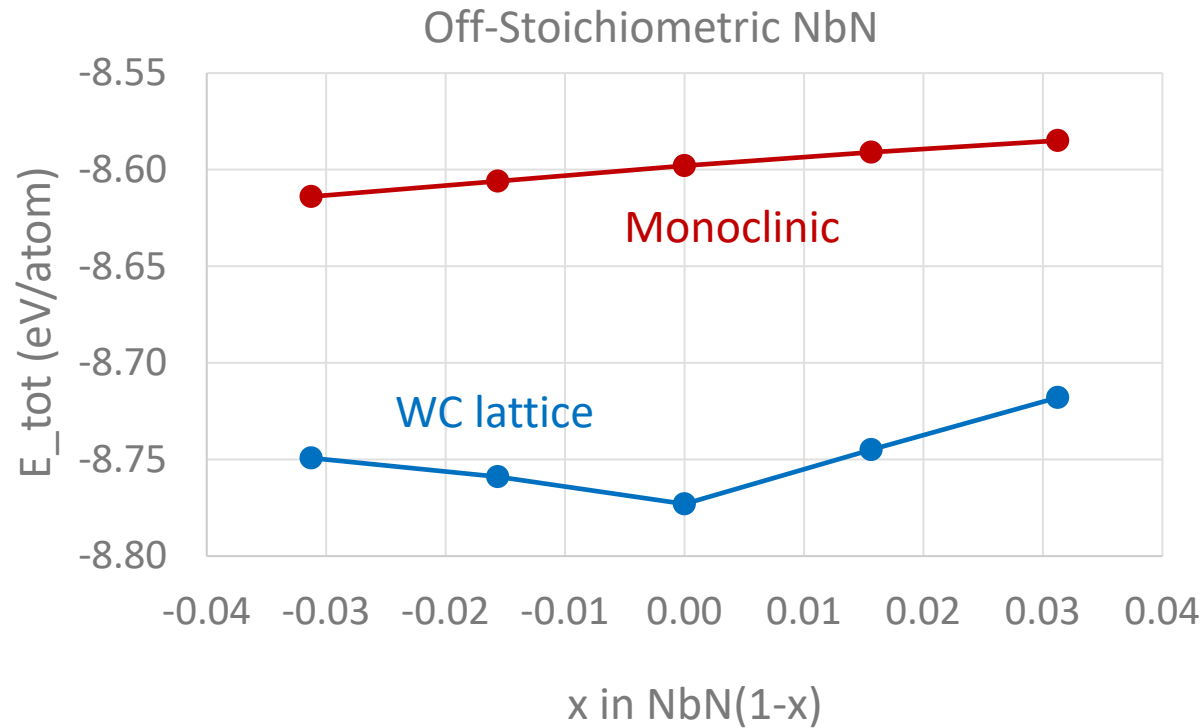
Calculated Energy of NbN polymorphs



None of the discovered low energy polymorphs has a cubic symmetry. **Monoclinic still the best approximation**



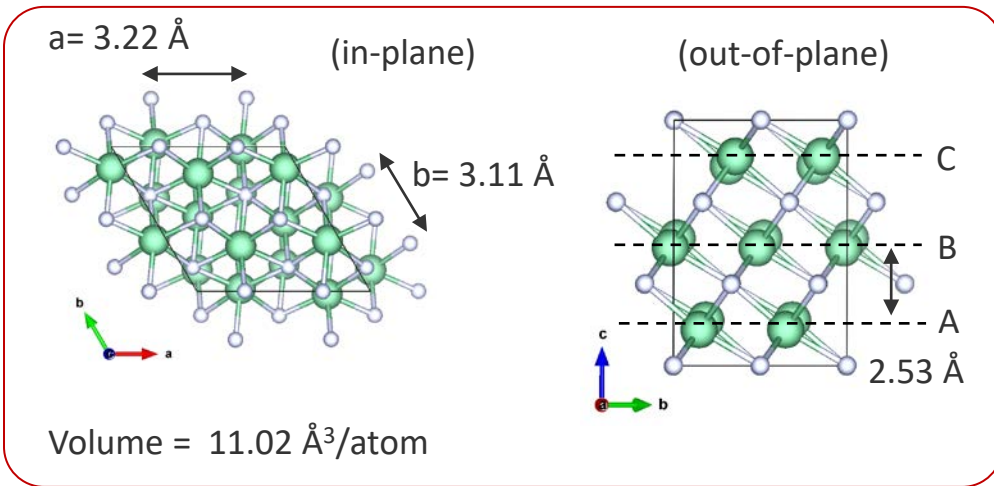
# Can off-stoichiometry or oxygen doping stabilize rock-salt over CW lattice?



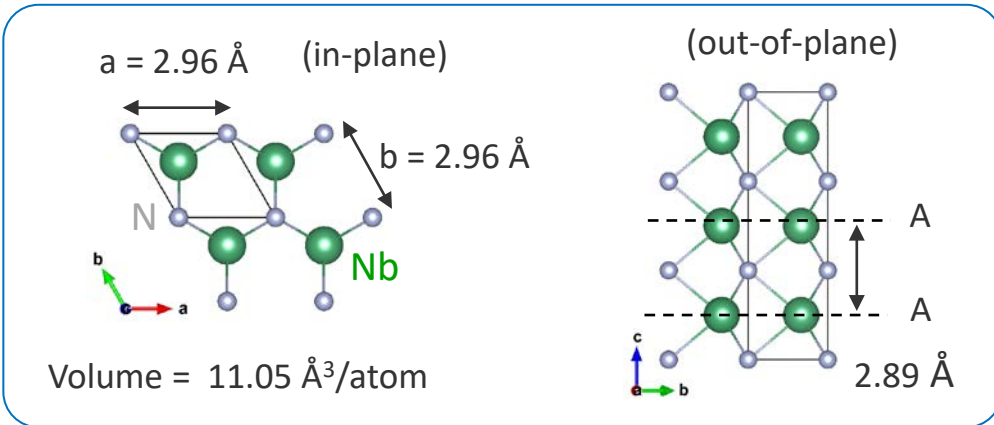
Energy ordering does NOT change for low off-stoichiometric or O impurity doping levels

# In-plane strain stabilizes the cubic NbN on sapphire ( $\text{Al}_2\text{O}_3$ ) (001)

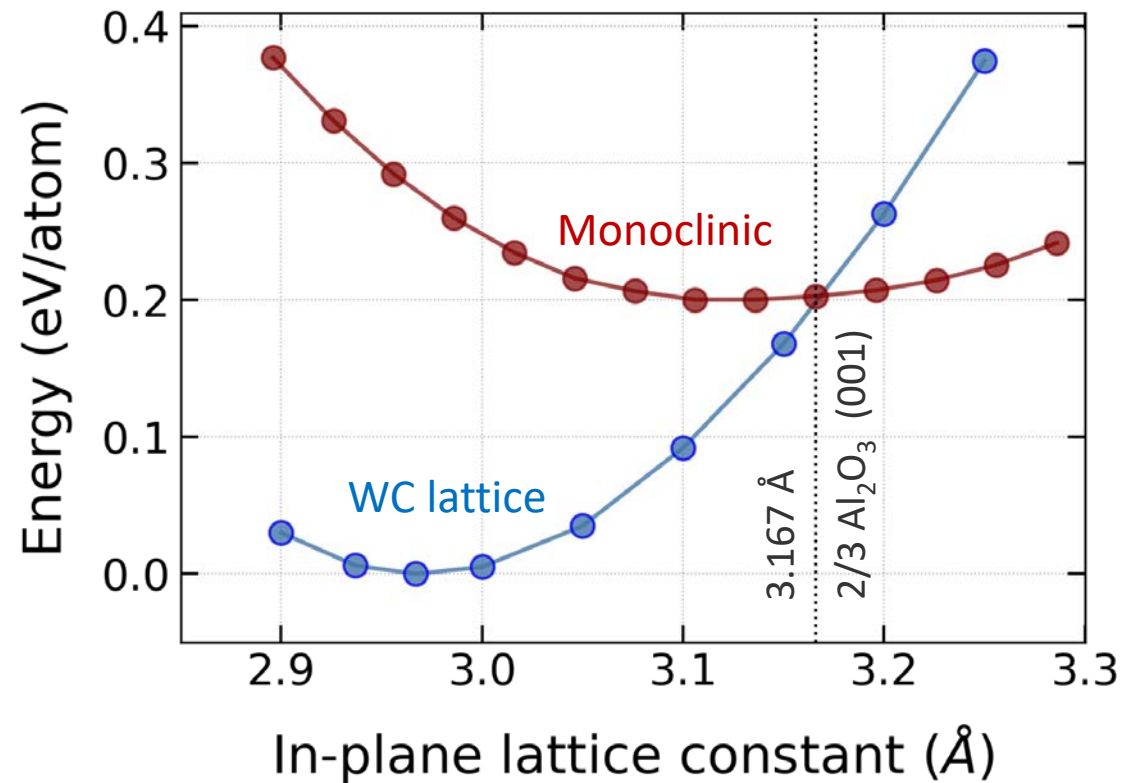
## Monoclinic ( $C2/m$ , 12)



## WC lattice ( $P-6m2$ , 187)



DFT Energy of strained phases



**WC to monoclinic phase transition is predicted to occur  $\sim 3.17 \text{ \AA}$**

# Concluding Remarks

- **Rock-salt NbN** is energetically **unstable** and relaxes to a lower energy **monoclinic** phase
- Low **off-stoichiometry** or **oxygen doping** do NOT change the energy ordering
- **On sapphire  $\text{Al}_2\text{O}_3$  (001)**, **in-plane strain stabilizes** the cubic NbN phase
- **On MgO (001)**, **other factors** (energy barrier) could possibly trap the metastable monoclinic phase

# Thank you!

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