# U.S. DEPARTMENT OF

### Office of **ENERGY EFFICIENCY & RENEWABLE ENERGY**



Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

February 2024









#### DISCI AIMER

This work was prepared as an account of work sponsored by an agency of the United States Government. Neither the United States Government nor any agency thereof, nor any of their employees, nor any of their contractors, subcontractors or their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness, or any third party's use or the results of such use of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise, does not necessarily constitute or imply its endorsement, recommendation, or favoring by the United States Government or any agency thereof or its contractors or subcontractors. The views and opinions of authors expressed herein do not necessarily state or reflect those of the United States Government or any agency thereof, its contractors or subcontractors.

*EERE Award Number DE-EE0008186 Award Recipient: Florida Solar Energy Center (FSEC)* 

*Available electronically at Office of Scientific and Technical Information website (www.osti.gov)*

*Available for a processing fee to U.S. Department of Energy and its contractors, in paper, from:*

U.S. Department of Energy Office of Scientific and Technical Information P.O. Box 62 Oak Ridge, TN 37831-0062

OSTI [www.osti.gov](http://www.osti.gov) Phone: 865.576.8401 Fax: 865.576.5728 Email: [reports@osti.gov](mailto:reports%40osti.gov?subject=)

*Available for sale to the public, in paper, from:*

U.S. Department of Commerce National Technical Information Service 5301 Shawnee Road Alexandria, VA 22312

NTIS [www.ntis.gov](http://www.ntis.gov) Phone: 800.553.6847 or 703.605.6000 Fax: 703.605.6900 Email: [orders@ntis.gov](http://orders@ntis.gov)



#### *Prepared for:*

U.S. Department of Energy Building America Program Office of Energy Efficiency and Renewable Energy

#### *Principal Investigator:* Eric Martin

#### *Recipient:*

Eric Martin, Tanvir Khan, Dave Chasar, Jeff Sonne, and Charles Withers, Jr. Florida Solar Energy Center (FSEC) Energy Research Center 1679 Clearlake Rd. Cocoa, FL 32922

February 2024

#### *Suggested Citation*

Martin, Eric, Tanvir Khan, Dave Chasar, Jeff Sonne, and Charles Withers, Jr. 2024. *Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States*. Cocoa, FL. DOE/GO-102024-5751. https://www.nrel.gov/docs/fy24osti/83356.pdf.

#### ACKNOWLEDGMENT

This material is based upon work supported by the Department of Energy's Office of Energy Efficiency and Renewable Energy (EERE) under the Building Technologies Office under Award Number EE0008186.

The work presented in this EERE Building America report does not represent performance of any product relative to regulated minimum efficiency requirements.

The laboratory and/or field sites used for this work are not certified rating test facilities. The conditions and methods under which products were characterized for this work differ from standard rating conditions, as described.

Because the methods and conditions differ, the reported results are not comparable to rated product performance and should only be used to estimate performance under the measured conditions.

# **FOREWORD**

The U.S. Department of Energy (DOE) Building America Program has spurred innovations in building efficiency, durability, and affordability for more than 25 years. Elevating a clean energy economy and skilled workforce, this world-class research program partners with industry to leverage cutting-edge science and deployment opportunities to reduce home energy use and help mitigate climate change.

In cooperation with the Building America Program, the Florida Solar Energy Center is one of many [Building America teams](https://energy.gov/eere/buildings/building-america-research-teams) working to drive innovations that address the challenges identified in the program's [Research-to-Market Plan](https://www.energy.gov/eere/buildings/downloads/building-america-program-research-market-plan).

This report, *Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States*, presents and discusses the study protocol and high-level results obtained from data collection efforts to characterize indoor air quality in homes constructed since 2013 in Florida, Georgia, and South Carolina.

As the technical monitor of the Building America research, the National Renewable Energy Laboratory encourages feedback and dialogue on the research findings in this report as well as others. Send any comments and questions to [building.america@ee.doe.gov.](mailto:building.america%40ee.doe.gov?subject=)

# ACKNOWLEDGMENTS

The work presented in this report was funded by the U.S. Department of Energy (DOE), Office of Energy Efficiency and Renewable Energy, Building Technologies Office.

The research was conducted by the Florida Solar Energy Center (FSEC) with guidance and support from Lawrence Berkeley National Laboratory.

The authors thank the following people for their contributions to this effort:

- Eric Werling, Building America Program manager, U.S. Department of Energy
- Lena Burkett, building science research engineer, National Renewable Energy Laboratory
- Brett Singer, Ph.D., staff scientist, Lawrence Berkeley National Laboratory
- Rengie Chan, Ph.D., research scientist, Lawrence Berkeley National Laboratory
- Haoran Zhao, Ph.D., postdoctoral researcher, Lawrence Berkeley National Laboratory
- Ryan Meres, program director, Residential Energy Services Network.

#### *Photo Credits*

*Cover, from top to bottom: Photos from iStock 182149008, 178447161, 184944590, 467972591; Page ii and iii: Photo from iStock 463748729; Page v: Photo by Dennis Schroeder, NREL 28764; Page vi: Photo from iStock 467972591; Page vii and viii: Photo from iStock 96920072;*





# EXECUTIVE SUMMARY

Whole-building air exchange is an important element to maintain healthy indoor air quality (IAQ) in residential buildings. Air exchange acts to dilute concentrations of indoor air pollutants with outdoor air.

> ASHRAE Standard 62.2, "Ventilation and Indoor Air Quality in Low-Rise Buildings," is the most commonly referenced residential ventilation standard in the United States. The 2010 version of the standard (ASHRAE 62.2-2010) is currently required by ENERGY STAR® for Homes Version 3, the U.S. Department of Energy's (DOE's) Zero Energy

Ready Home Criteria, state weatherization programs, and other home performance programs.

Little data is available that quantifies IAQ or associates contaminant levels with air exchange in U.S. homes. To address this gap, the U.S. Department of Energy (DOE) Building America Program conducted a study to characterize IAQ in U.S. homes constructed since 2013, along with presence, functionality, and occupant use of control measures. Specific objectives of this study effort included:

- Measuring time-integrated concentrations and temporal profiles of established contaminants of concern; monitoring the use of ventilation equipment; and tracking activities that impact air pollutant emissions and removal processes in typical homes in various climate zones
- Characterizing the prevalence, type, and installed performance of mechanical ventilation equipment in new homes; exploring regional variations in system designs and performance
- Investigating associations between contaminant levels and the presence of control measures including whole-house mechanical ventilation (WHMV).

The study engaged research teams to collect data at a regional level. This report introduces the study protocol and presents and discusses high-level results obtained from Florida Solar Energy Center (FSEC)

data collection efforts in the southeastern U.S. states of Florida, Georgia, and South Carolina.

FSEC developed a recruitment strategy and targeted a diverse mix of homes that reflect the general characteristics of newer housing in the target region. FSEC's energy-rated homes database served as the primary source of records to identify homes that met study requirements for recruiting purposes. A similar database maintained by the Residential Energy Services Network was used as a secondary source of records. As homes that have been built better than code are more likely to obtain an energy rating, the databases contain significantly more above-code homes than minimum-code homes. Code requirements for WHMV are relatively recent additions in Florida and Georgia and are not currently included in South Carolina. However, thanks to successful penetration of ENERGY STAR Homes and other above-code programs, a significant number of homes built since 2012 incorporate ASHRAE 62.2-2010 WHMV guidelines.

A total of 51 homes were recruited and participated in the study. Forty homes were located in Florida, six in South Carolina, and five in Georgia. All were single-family detached homes except for one townhome in South Carolina and one townhome in Georgia. Table ES-1 provides a summary of home and occupancy characteristics.

#### Table ES-1. Summary of Home and Occupancy Characteristics



a Only homes with floor areas of less than 4,000 ft<sup>2</sup> and greater than 900 ft<sup>2</sup> were targeted.

Participants were asked to respond to a questionnaire that asked how they feel about their home environment and the factors that could affect their IAQ, and about their understanding and presence of any WHMV system. Researchers made multiple visits to each home to:

• Install instrumentation to measure indoor and outdoor concentrations of pollutants of interest—formaldehyde, carbon dioxide, particulate matter with diameter of 2.5 microns or less ( $PM_{2.5}$ ), radon, and nitrogen dioxide

- Install instrumentation to monitor use/operation of home features and equipment that affect air quality and air exchange with the outdoors including WMHV systems
- Characterize the airflow of mechanical devices inducing air exchange with the outdoors, and quantify building envelope and duct leakage.

Most homes were monitored for one week some with an operating WHMV system, and some without. Select homes with functioning, ASHRAE 62.2-compliant ventilation systems were monitored for two weeks—one week with the WHMV system operating, and one without. Homeowners were asked to complete an activity log for each day of the monitoring period designed to capture actual occupancy as well as routine and intermittent activities that could affect IAQ.



#### Table ES-2. Summary of WHMV Systems Encountered

a Some homes were found with multiple WHMV systems.

b Two systems had rheostats which may have enabled them to deliver additional flow.

Table ES-2 summarizes the types of WHMV systems encountered, their functionality, and their operation. Similar to findings from past studies, this study encountered barriers that still need to be overcome with regard to functionality and operation of residential WHMV systems. The findings suggest that proper training of heating, ventilating, and air conditioning (HVAC) technicians, electricians, code inspectors, and home energy auditors with regard to installation and commissioning is critical to ensuring the IAQ benefits of WHMV are realized, enabling the industry

to continue to build energy-efficient homes with tight building enclosures. In particular, the industry needs systems whose performance is easy to verify, including easier access to air inlets and outlets or built-in flow measurement, along with on-board fault detection systems that alert homeowners to nonfunctioning systems. Another priority is improved labeling and training on identification of controls for homeowners to overcome the issue of nonoperating systems.

The most common approach found for providing WHMV was via an exhaust fan. All such fans encountered were installed in bathrooms and also used for spot exhaust purposes. Fans were most often designed to run continuously, via a simple on/off wall switch, while a few homes had programmable fan controls. None of these switches were found to be labeled in any way in any of the homes. Among the 24 homes that had exhaust WHMV systems, 16 were found capable of meeting ASHRAE 62.2-2010 minimum requirement for WHMV. However, only three systems were operating for this purpose as found. In general, homeowners were not aware that these fans served as WHMV, and were not aware of how they should be operated as such.

The second-most common WHMV systems encountered were centralfan-integrated supply (CFIS) systems that are designed to use a home's central forced air heating and cooling system to temper and distribute outdoor air that is pulled in through a ducted connection from outside to the return side of the forced air system. Passive CFIS systems that are "uncontrolled" rely exclusively on the heating/cooling runtime of the forced air system and thus are not ASHRAE 62.2 compliant. Some manufacturers package control and damper systems that invoke additional air handler fan runtime to meet flow targets on an hourly basis. Some of these "controlled" CFIS systems also contain customizable settings that limit the introduction of outside air when outdoor temperature and/or indoor/outdoor relative humidity reach certain thresholds. This may save energy or improve comfort, but generally results in operation that is not compliant with ASHRAE 62.2. Among 19 homes that had CFIS systems, only one was capable of meeting minimum WHMV requirements for ASHRAE 62.2-2010. Only five systems were capable of operating at all, with three systems operating as found. Problems encountered included

control incompatibility, disconnected wiring, and failed dampers. In general, controlled CFIS systems were labeled as to their purpose; however, in general most homeowners were not aware of the existence of CFIS systems or how they functioned.

A smaller number of homes had an energy recovery ventilator (ERV), designed to deliver quasi-balanced ventilation with capability for passive heat and moisture management; or a ventilating dehumidifier, designed to deliver supply-based ventilation with capability for active moisture removal. For 11 homes that had these types of WHMV systems, eight were found operating and six of these were capable of meeting minimum WHMV requirements of ASHRAE 62.2-2010. Only one system was not capable of operating. Homeowners with these types of WHMV systems were all generally aware of the existence of these systems, their location, and their purpose.

Spot ventilation systems that exhaust air from kitchens and bathrooms were also characterized. Nearly half of the bath fans in the home sample (46%) did not meet the minimum intermittent airflow requirements of ASHRAE 62.2-2010 (50 cfm). Three homes had fans that could not generate any measurable flow. Of all the kitchen range hoods encountered, three were recirculating type and not vented to the outdoors and two did not generate any measurable flow. Of the remaining homes, ten had kitchen range hoods that could not meet the minimum airflow requirements of ASHRAE 62.2-2010 (100 cfm).

Weekly average concentrations of carbon dioxide, formaldehyde, radon,  $PM<sub>2.5</sub>$ , and nitrogen dioxide varied considerably among the homes, in large part due to factors not related to WHMV operation including home location, home construction materials, home contents, age of materials, and occupant activities. Several homes exceeded a weekly average carbon dioxide concentration of 1,000 ppm in the main living area and master bedroom, although most of those homes were not operated with WHMV. All homes had formaldehyde concentrations in excess of the California Environmental Protection Agency reference exposure level (REL) of 7 ppb, while six exceeded the acute REL of 44.8 ppb. Two homes in Georgia exceeded the U.S. Environmental Protection Agency (EPA) radon action

level of 4 pi/L. Four homes exceeded the EPA annual REL for  $PM_2$ , of 12 ug/m<sup>3</sup>, but none exceeded the 24-hour value of 35 ug/m<sup>3</sup>. No homes exceeded the EPA yearly REL of 53 ppb for nitrogen dioxide.

To investigate WHMV as a control measure for IAQ, focus was placed on results from two-week homes for which occupant influences were somewhat normalized. Table ES-3 provides weekly average pollutant concentrations for WHMV "off" and WHMV "on" weeks for two-week homes. Table ES-4 provides differences in weekly average pollutant concentrations between WHMV "off" and WHMV "on" weeks for two-week homes.

It is evident from the carbon dioxide data that operation of the WHMV system creates additional air exchange, thereby reducing carbon dioxide concentration by a median value of 30%. WHMV operation also appears to decrease concentrations of radon and nitrogen dioxide, with

#### Table ES-3. Comparison of Pollutant Concentrations During "Off" and "On" Weeks in Two-Week Homes



#### Table ES-4. Comparison of Pollutant Concentration Differences During "Off" and "On" Weeks in Two-Week Homes



median reductions of 42%, although sample sizes of two-week homes with radon and nitrogen dioxide data are small due to instrument issues and a prevalence of all-electric homes. Differences in background levels between "off" and "on" weeks may also contribute to differences in indoor concentrations of nitrogen dioxide. Operation of the WHMV system appears to minimally reduce formaldehyde concentration, with a median value of 7%. This is likely due to complex indoor chemistry including an inverse relationship between concentration and emission rate. Operation of the WHMV system appeared to minimally increase  $PM_{2.5}$  concentration, however additional analysis is required to rule out other confounding factors. The expectation is that spot ventilation would be more effective, especially for cooking sources. Future analysis of spatial, time-resolved PM<sub>2.5</sub> measurements are expected to provide additional insight.

# **Table of Contents**



# List of Figures





## List of Tables



# <span id="page-17-0"></span>1 Introduction

Whole-building air exchange is an important element in maintaining healthy indoor air quality (IAQ) in residential buildings. Air exchange acts to dilute concentrations of indoor air pollutants with outdoor air. In older homes, air exchange occurs through cracks and other openings in the envelope, but in newer buildings with tighter envelopes, mechanical means of ensuring wholebuilding air exchange are necessary. Other components of a comprehensive IAQ strategy include limiting materials and activities that are a source of pollutants, and employing local exhaust in bathrooms and kitchens where intermittent odors and high concentrations of contaminants are likely to occur.

The U.S. Department of Energy (DOE) Building America program conducted a study to characterize IAQ in U.S. homes constructed since 2013, along with presence, functionality, and occupant use of control measures. The Building America New Home IAQ (BAIAQ) study engaged research teams to collect data regionally (Figure 1): Pacific Northwest National Laboratory (PNNL) in Oregon and Colorado, representing marine and cold dry climates, University of Illinois Urbana-Champaign (UIUC) in Illinois, representing cold climates, and Florida Solar Energy Center (FSEC) in Florida, Georgia, and South Carolina, representing warm humid climates. The data collection protocol was modeled after an earlier study called Healthy, Efficient, New Gas Homes (HENGH) (Chan et al. 2020) that was conducted by the Lawrence Berkeley National Laboratory (LBNL) and collected data in California, representing mixed dry climates.



<span id="page-17-1"></span>Figure 1. BAIAQ data collection teams and associated regions

Specific objectives of the BAIAQ study effort included:

- Measuring time-integrated concentrations and temporal profiles of established contaminants of concern; monitoring the use of ventilation equipment; and tracking activities that impact air pollutant emissions and removal processes in typical homes in various climate zones
- Characterizing the prevalence, type, and installed performance of mechanical ventilation equipment in new homes; exploring regional variations in system designs and performance
- Investigating associations between contaminant levels and the presence of control measures including mechanical ventilation.

This report introduces the study protocol and presents and discusses high-level results obtained from FSEC data collection efforts in the southeastern United States. Future publications will present more detailed results, combining data collected in all regions. A publicly accessible database is being constructed to make detailed data available for other analysis.

# <span id="page-19-0"></span>2 Background<sup>[1](#page-19-1)</sup>

ASHRAE standard 62.2, "Ventilation and Indoor Air Quality in Low-Rise Buildings" is the most commonly referenced residential ventilation standard in the United States. The 2010 version of the standard (ASHRAE 62.2-2010) is currently required by ENERGY STAR for Homes Version 3, DOE's Zero Energy Ready Home Criteria, state weatherization programs, and other home performance programs. The current, 2019 version of the standard (ASHRAE 62.2-2019) is generally available as an alternative option, and contains several additional provisions, giving ventilation system designers more flexibility and providing more energy-efficient ventilation solutions.

Since 2012, the International Energy Conservation Code (IECC) and the International Residential Code (IRC) permit a maximum measured building air leakage of 3–5 air changes per hour at 50 Pa (ACH50), depending on climate. For homes equal to or tighter than the maximum allowable leakage, these codes require or at least define WHMV based on ASHRAE 62.2-2010. Many local jurisdictions base their local codes on these model codes. Historically, the Florida Building Code has referenced provisions for WHMV, but those provisions were not enforced, in part due to uncertainty as to how building enclosure leakage contributes to whole-house air exchange. The 6th edition of the Florida Building Code, which applied to homes permitted after July 1, 2017, instituted mandatory enclosure leakage testing, which permits a maximum enclosure leakage rate of 7 ACH50. Rather than triggering IRC requirements for WHMV for building enclosure leakage at less than 5 ACH50, the Florida code triggers WHMV in accordance with ASHRAE 62.2-2010 for enclosure leakages at less than 3 ACH50. While bathroom and kitchen ventilation is required at a minimum of 50 and 100 cfm respectively, kitchen ventilation is not required to exhaust to the outdoors, and recirculating type range hoods and over-the-range microwaves are allowed. While code requirements for WHMV in Florida are relatively recent, successful penetration of ENERGY STAR Homes and other above-code programs have resulted in a significant number of homes built since 2012 that incorporate ASHRAE 62.2-2010 guidelines. Georgia has required mandatory enclosure leakage testing since 2010, and until recently had the same state energy code since January 2011, which references IECC 2009. While that code referenced IRC 2012 requiring WHMV for homes with air exchange of less than 5 ACH50, local amendments permitted a maximum enclosure leakage of 7 ACH50. Beginning January 2020, a new code took effect that lowered the maximum allowed enclosure leakage to less than 5 ACH50, effectively triggering WHMV for all new homes. South Carolina also requires mandatory enclosure leakage testing, with a maximum permitted leakage of 7 ACH50. However, the requirements do not reference WHMV provisions of the IRC.

Little data is available that characterizes IAQ and associated contaminant levels with air exchange in homes in the southeastern United States. Indoor air pollutants including carbon dioxide, formaldehyde, acetaldehyde, volatile organic compounds, and nitrogen dioxide were

<span id="page-19-1"></span><sup>&</sup>lt;sup>1</sup> Note that some of the text in this section was originally drafted by the authors for a paper submitted to the  $2020$ ACEEE Summer Study on Energy Efficiency in Buildings (Martin et al. 2020).

sampled in 10 homes located in Gainesville, Florida during a period from summer 2013 through summer 2014 (Widder et al. 2017). Observed concentrations of sampled contaminants were variable among the homes, suggesting the importance of occupant activities and behavior. However, with the exception of carbon dioxide, concentrations of indoor air pollutants did not show a significant dependence on the amount of mechanical air exchange. Of note, these findings contradict results from studies conducted in other states (Chan et al. 2020; Hult et al. 2015; Offerman 2009).

A survey of builders and contractors was conducted in 2015 to understand perceptions about value and costs related to WHMV requirements (Sonne et al. 2020). The overall perception of the value of WHMV was unfavorable, and included concerns about degraded IAQ and comfort due to humidity concerns. In 2014, a 21-home field study was conducted by FSEC, investigating mechanical ventilation systems installed in Florida homes since 1999 (Sonne, Withers, and Vieira 2015). More than half of the systems in the study had been installed after 2011. The researchers conducted a survey to assess homeowner ventilation system awareness and maintenance practices, and inspected and tested ventilation systems to assess operational status and level of ventilation provided, and identify performance issues. Homeowners surveyed felt ventilation was important for health, but many were unaware of how their ventilation system operated. Testing found only 3 of the 21 study homes (14.3%) had ventilation airflow close to the design level. Two of the ventilation systems were turned off by the homeowner, so only 1 of 21 homes (4.8%) was actually receiving the expected ventilation. Only 12 of the 21 homes (57.1%) were capable of operating. Issues identified included failed controllers and dampers, partially disconnected or crushed ducts, dirty filters, and poor outdoor air intake locations.

# <span id="page-21-0"></span>3 Recruitment and Home Characterization

### <span id="page-21-1"></span>3.1 Recruitment

FSEC developed a recruitment strategy and targeted a diverse mix of homes that reflect the general characteristics of newer housing in the warm humid climate region. FSEC's energy-rated homes database served as the primary source of records to identify homes that met study requirements for recruiting purposes. The database contains detailed information on homes that have undergone an energy rating for which FSEC has performed quality control and archived the results. A similar database maintained by the Residential Energy Services Network was used as a secondary source of records. In the case of the new homes that were targeted for the study, the energy ratings were typically commissioned by the home builders. The databases include the address of the home, year of construction, and detailed information on physical characteristics of the home, including WHMV system details. The databases do not contain any homeowner contact information or other personally identifiable information. As it is more common for homes that have been built better than code to obtain an energy rating, the databases contain significantly more above-code homes than minimum-code homes.

The main outreach method for recruitment was postcards that were sent to homes identified from the pre-existing databases as meeting the general criteria of being built since 2013 in the states of Florida, Georgia, and South Carolina. The postcards directed interested persons to either contact FSEC by phone or email or visit a project website to obtain more information. Homeowners were offered compensation in the form of home improvement store gift cards for their participation. A report summarizing data collected from each home was also provided.

### <span id="page-21-2"></span>3.2 Home Characterization

A total of 51 homes were recruited and participated in the study. Forty homes were located in Florida, six in South Carolina, and five in Georgia. Figure 2 shows a geographic distribution of the homes.

<span id="page-21-3"></span>

Figure 2. Geographic distribution of homes

Table 1 provides a summary of home and occupancy characteristics of the homes. All homes were single-family detached with the exception of one townhome in South Carolina and one townhome in Georgia. All foundations were slab on grade with the exception of two slab/stem wall homes in South Carolina and one walk-out basement home in Georgia. Thirty-four homes were single-story and 17 homes were two-story, one of which also had a walk out basement. Tables 1–3 provide a breakdown of conditioned area, year of construction, and full-time occupancy for the recruited homes, respectively.

<span id="page-22-0"></span>

#### Table 2. Summary of Home and Occupancy Characteristics

<span id="page-22-1"></span> $\frac{a}{a}$  Only homes with floor areas of less than 4,000 ft<sup>2</sup> and greater than 900 ft<sup>2</sup> were targeted.





#### <span id="page-22-2"></span>Table 4. Year of Construction for Recruited Homes





#### <span id="page-23-0"></span>Table 5. Number of Full-Time Occupants in Recruited Homes

# <span id="page-24-0"></span>4 Data Collection Methodology

Prior to an FSEC field team visit to the homes, participants responded to a questionnaire that asked how they feel about their home environment and about the factors that can affect their IAQ. They were also asked questions about activities and product use, as well as questions related to the home and household, including basic demographic and health-related questions. Each study home was visited by a two-person field team two to three times. On the first visit, the field team:

- Explained the study intent and requirements and obtained written consent from participants.
- Provided participants with an activity log for each day of the monitoring period designed to capture actual occupancy and routine and intermittent activities that could affect IAQ. This included prolonged opening of windows and doors, house cleaning, cooking, and burning candles. The log also had the occupant report periods of poor outdoor air quality, for example from a nearby forest fire.
- Completed house and heating, cooling, and ventilation system characterization.
- Installed air quality measurement devices indoors and outdoors, and installed devices to monitor cooking, heating/cooling system operation, and use/operation of home features and equipment that affect air exchange with the outdoors including WMHV systems, kitchen, bath, and laundry exhaust for spot ventilation, clothes dryers, and exterior doors.

Participants were asked about their understanding of, and the presence of, any WHMV system, and asked to partake in normal household activities with the exception that windows and doors should not be used for natural ventilation, and smoking of any kind should not be conducted indoors during the data collection period. Most homes—some with an operating WHMV system, some without—were monitored for one week. Select homes with functioning, ASHRAE 62.2 compliant ventilation systems were monitored for two weeks—one week with the WHMV system operating and one without. For these homes, the field team visited the home after the first week to change the WHMV system status. During the final field team visit, all data collection devices were removed, activity logs collected, and remaining tests conducted to quantify airflow of air moving equipment and to characterize building envelope and duct leakage.

### <span id="page-24-1"></span>4.1 Air Quality Measurements

Air pollutants of interest included formaldehyde, particulate matter with diameter of 2.5 microns or less (PM2.5), nitrogen dioxide, radon, and carbon dioxide. Temperature and relative humidity were also measured. Table 5 lists air quality measurement equipment and sampling locations in each home. Only data collected from select measurement equipment is presented and discussed in this report. Remaining data will be presented in other publications.

<span id="page-25-1"></span>

### Table 6. Instrumentation for Air Quality Measurements

a In homes with gas appliances only.

### <span id="page-25-0"></span>4.2 Monitoring and Measuring Airflow

Runtime of equipment that affects air exchange with the outdoors was monitored using instruments listed in Table 6. The positions (open or closed) of patio and garage-to-house door, along with the door to the master bedroom, were also monitored. Cooking events were monitored

<span id="page-26-0"></span>with iButton DS19222T temperature sensors placed on the cooktop, oven(s), and any other supplemental cooking equipment participants indicated may be used, such as toaster ovens.

<b>Parameter</b>	<b>Measurement Device</b>
WHMV system operation	Digisense anemometer WD-20250-22
	Onset plug load logger UX120-018
Master/second bathroom exhaust fan operation	Digisense anemometer WD-20250-22
Range hood operation	Digisense anemometer WD-20250-22
Clothes dryer operation	Onset motor on/off logger UX90-004 (electric)
	Onset plug load logger UX120-018 (gas)
Forced air system runtime - supply (up to two zones)	Digisense anemometer WD-20250-22
	Onset HOBO UX100-003 T/RH
Patio door, garage-to-house door status	Onset state sensor UX90-001
Master bedroom door status	Onset state sensor UX90-001

Table 7. Instrumentation for Equipment Runtime and Door Status

<span id="page-26-1"></span>A one-time measurement to quantify airflow generated by all equipment of interest, along with building envelope and duct leakage, was conducted using devices shown in Table 7. Except in the case of a few WHMV systems integrated with multi- or variable-speed heat pumps, all fan flows deliver a constant amount of airflow during operation, and therefore a single airflow measurement was sufficient for flow characterization.

### Table 8. Devices Used to Quantify Airflow



# <span id="page-27-0"></span>5 Results and Discussion

### <span id="page-27-1"></span>5.1 Enclosure Air Leakage

Figure 3 shows the results of enclosure leakage obtained from the Delta Q tests conducted using a blower door. Median air changes per hour at 50 pascals (ACH50) was 3.8 for 37 Florida homes<sup>[2](#page-27-5)</sup> and 5.3 for 11 Georgia and South Carolina homes. Most of the Florida homes were between 3 and 5 ACH50. The Georgia and South Carolina homes were nearly evenly divided between those with enclosure leakage between 3 and 5 ACH50 and those with enclosure leakage greater than 5 ACH50. Nine of the Florida homes fell below the recent WHMV code trigger of 3 ACH50, including two that were not built with WHMV systems. Both of those homes were built prior to institution of the WHMV code requirement. Only one of the Georgia or South Carolina homes was below 3 ACH50.





### <span id="page-27-4"></span><span id="page-27-2"></span>5.2 Mechanical Ventilation System Characterization

### <span id="page-27-3"></span>5.2.1 Whole-House Mechanical Ventilation Systems

Homes with WHMV systems which source records indicated met the minimum requirements of ASHRAE 62.2-2010 were targeted for recruitment. This version of the standard was chosen as the benchmark for this study as it is the version required by code and above-code programs for

<span id="page-27-5"></span><sup>&</sup>lt;sup>2</sup> Delta Q testing was not able to be completed on three of the Florida homes.

homes in the southeastern U.S. region. Additionally, it is difficult to find a significant number of homes with systems that meet minimum requirements of more recent versions of the standard. Of the 51 homes recruited for the study, all but five homes (all in Florida) were indicated in the databases used for recruitment as having WHMV systems designed in accordance with ASHRAE 62.2-2010 requirements. Four of these five homes did not undergo an energy rating. Appendix A provides a detailed characterization of each WHMV system encountered.

### 5.2.1.1 Types of WHMV Systems Encountered

**Exhaust Systems.** The most common approach found for providing WHMV was via an exhaust fan. To comply with ASHRAE 62.2, the fan(s) must be rated for continuous duty and operate at less than 1.0 sone. Exhaust fans are the easiest WHMV system to measure air flow because the air intake is readily accessible. All exhaust WHMV fans encountered were installed in bathrooms and also designed for spot exhaust purposes. None of the switches used to operate the fans were found to be labeled in any way in any of the homes. While most exhaust fans had simple on/off switches, a small number of systems had controls located in a switch that enabled the fan to operate intermittently based on an adjustable time setting and achieve a minimum hourly runtime. Such controls often also enabled fan operation based on indoor humidity and/or occupancy, either independently from or in addition to the time setting. As discussed later, some were found to be very sensitive, resulting in significant fan runtime. While some labeling of these controls was visible after removing an access cover, adjusting and operating the control was not intuitive and required review of an operation manual. Examples of bath fan controls are shown in Figure 4.



Figure 4. Examples of exhaust fan controls. Top – runtime and relative humidity controls located on switches. Bottom left – flow controls located on fan. Bottom right – wall switches that enable standard and boost operation.

*All photos by the authors, unless noted otherwise*

<span id="page-29-0"></span>**Central Fan Integrated Supply (CFIS) Systems.** The second-most common WHMV system type encountered were systems that were configured with the clear intent to use the home's central forced air heating and cooling system to temper and distribute outdoor air pulled in through a ducted connection from outside to the return side of the forced air system. This supplybased WHMV system is typically set up to pull outdoor air through the system using the negative return-side pressure generated when the forced air system fan operates. Measuring airflow of these CFIS ventilation systems is challenging when the outdoor air inlets are difficult to access, for example, when installed on roofs or in eaves of multi-story homes. Generally, outdoor air ducting is not designed to utilize other airflow quantification methods, such as those contained in ANSI/RESNET/ICC Standard 380. Characterizing airflow in CFIS systems is also challenging with variable-speed air handler fans, as such fans result in variable outdoor airflow rates; however, few such systems were found.

Passive CFIS systems that are "uncontrolled" (U-CFIS) rely exclusively on the heating/cooling runtime of the forced air system and thus are not ASHRAE 62.2 compliant. Some manufacturers package control and damper systems that invoke additional air handler fan runtime to meet flow targets on an hourly basis. These "controlled" CFIS systems (C-CFIS) may be programmed via a thermostat or a separate controller that connects to the thermostat. Generally, such controllers were located near an air handler and had some labeling as to their purpose, as ventilation

controllers, and denoting system operation. CFIS systems can be configured to use a supplemental outdoor air supply fan, in which case the controls operate the supplemental fan rather than the air handler fan, outside of calls for heating and cooling, to conserve energy. Only one home was encountered that used a bathroom exhaust fan as the supplemental fan (C-CFIS/Exh) to provide ventilation when the central fan (and thus the CFIS) system was not operating. Some C-CFIS systems also contain customizable settings that limit outside air from being introduced when outdoor temperature and/or indoor/outdoor relative humidity reach certain thresholds, which may save energy or improve comfort, but generally result in operation that is not compliant with ASHRAE 62.2. Figure 5 shows photos of CFIS system components. Figure 6 shows examples of ducting issues encountered.



Figure 5. Left – common C-CFIS controller. Right – disconnected dampers prevent proper functioning

<span id="page-30-0"></span>

Figure 6. Left – CFIS outside air intakes high on wall are difficult to access for commissioning. Right – this return plenum ducting arrangement generates little flow through the 4-in. outside air duct.

<span id="page-30-1"></span>**Energy Recovery Ventilators (ERVs)**. ERV systems combine supply and exhaust fans that are set to operate in unison and enable both heat and moisture to be transferred between incoming and outgoing air streams to retain some of the energy used to condition the indoor air. While in general supply and exhaust flows are intended to be similar, balancing pressure between indoor and outdoors, they are sometimes (intentionally or unintentionally) designed or installed in a manner that results in imbalances. Some manufacturers institute slight imbalances by design.

Improper ducting and/or lack of maintenance can lead to flows in either direction that are much lower than design rates.

ERVs have air inlets/outlets both inside and outside the home, and supply/exhaust flows in principle could be measured at either location. However, in practice, it is often difficult to measure at all of the inlet or outlet points based on their locations or ducting connections. For example, outdoor inlets and exhaust points are sometimes inaccessible, as they are installed on roofs or in eaves/walls of multi-story homes; and the indoor terminations are sometimes connected by ducts to the home's central forced air ductwork.

Four of six ERVs encountered were designed to run continuously, with well-labeled on/off and sometimes low-/high-speed controls located on the unit or as wall switches. Two ERVs were operated intermittently via thermostat controls, and two had rheostat controls that allowed for airflow adjustment. Four ERVs were found in attics. The two systems capable of intermittent operation were ducted into the central forced air system, and two other attic-mounted ERVs operated continuously and had multiple points of supply/exhaust in different areas of the homes. In these homes, continuous ERV exhaust from bathrooms was used in lieu of bathroom exhaust fans in all but one bathroom in one home, and one of the homes had controls located in the bathrooms to boost the flow of the ERV for spot exhaust purposes. Two homes had a type of ERV with a built-in single point of supply and exhaust, mounted in the central living area of the homes (one in the ceiling, and one high on the wall). Figures 7 and 8 show examples of ERVs and associated controls.

<span id="page-31-0"></span>

Figure 7. Left – attic-located ERV. Right – associated thermostat control. Dirty filter hindered operation.



Figure 8. Ceiling-mounted ERV and associated wall switch controls

<span id="page-32-0"></span>**Ventilating Dehumidifier Systems**. Five homes had ventilating dehumidifiers that delivered outside air into the return duct system of the central heating and cooling system. The systems deliver outside air via their own fixed-capacity fans, and operate independently of the central heating and cooling system, with programming that enables them to operate intermittently and deliver a target hourly ventilation rate. Most systems were not configured with capability to dehumidify the main living space, and did not have a return duct from the space. The dehumidifier compressor operated based on a customizable humidity set point, but only removed moisture from the outdoor air stream when the humidity in the main living space exceeded the set point during ventilation operation. All functions were configured via a control panel on the units, which were generally located in attics. All ventilating dehumidifiers encountered were in homes in Florida; no ventilating dehumidifiers were found in Georgia or South Carolina. Figure 9 shows examples of ventilating dehumidifiers.



Figure 9. Left – the most commonly encountered dehumidifier processed outside air only. Right – one dehumidifier also processed air from the space. Both are ducted to central heating/cooling systems.

### <span id="page-32-1"></span>5.2.1.2 Observed Functionality and Homeowner Knowledge of WHMV Systems

Figure 10 shows the weekly average WHMV system flow in each home that was listed in the source records as having an exhaust ventilation system. The flow is expressed as a percentage of the ASHRAE 62.2-2010 minimum continuous flow requirement for the home. The percentage

takes into account both the WHMV system measured airflow and runtime. Two results are shown for each home. The "capable" results are shown with blue bars and represent the weekly average flow using the measured flow, and assuming 100% runtime. The "as found" results are shown with orange bars and represent the weekly average flow with operational time settings left as the study team found them upon arriving at the home.<sup>[3](#page-33-0)</sup> Some homes had multiple fans with each one capable of meeting the ASHRAE 62.2-2010 requirement for the home on its own. In most cases, data for only one fan is shown, chosen based on review of fan specifications and consultation with homeowners to determine how they operate the fans.

<span id="page-33-0"></span><sup>&</sup>lt;sup>3</sup> There were occasions where the field team adjusted these time settings to achieve ASHRAE 62.2-2010 compliance for the purposes of IAQ monitoring.



### Figure 10. Airflow characterization of exhaust WHMV systems

<span id="page-34-0"></span>Data labels next to the bars in Figure 10 indicate whether the exhaust fan was controlled by a simple on/off switch or a more sophisticated "control" capable of intermittent runtime or adjustable airflow. Unlike a simple on/off switch, such controls enable WHMV to be dialed in to a desired value. Homes 433, 434, and 435 were the only homes with a flow-adjustable fan, and airflow was measured at the flow setting as found, not necessarily at the highest flow setting available. The remainder of homes listed as "control" had fans with intermittent timer controls. While all homes in Figure 10 were described in source records as having an exhaust WHMV

system, in certain homes, CFIS systems were also found by the field team, as indicated by data labels next to the bars. Characterization of these CFIS systems is included in Figure 11.

In all but three cases, exhaust WHMV systems were not found to be operating. In general, homeowners reported that they were not aware that these fans could provide WHMV and were not aware of why or how they should be operated for this purpose. "As-found" flow indicated for homes 442, 443, and 445 is actually the flow monitored during the testing week, driven by controls that activated fans based on a sensed rise in relative humidity. Each of these homes had two fans with such controls, and frequent runtime of each fan resulted in a combined average ventilation rate of significant magnitude. In the cases of 442 and 443, this combined, relativehumidity-activated flow exceeded the ASHRAE 62.2-2010 requirement for the homes. The "capable" flow listed for 442, 443, and 445 is based on only one fan in each home—the fan with the highest measured flow. It is assumed that only one fan was intended to provide WHMV for the home, as that was what the source records indicated. Home 444 had the same fan controls, and the owner was concerned about the frequent operation of the fans and subsequently replaced them with crank timers that did not permit continuous operation of the fans beyond 40 minutes without renewed activation of the crank timer. Therefore, that home is treated as not having capabilities for WHMV.

All fans listed as having 0% "as-found" flow were operated by homeowners for spot-ventilation purposes only, if at all. Home 412 was the only home where occupants indicated that they manually operated fans with on/off switches to achieve some level of WHMV. While they had no specific operational schedule, "as found" flow is actually the flow monitored during the testing week which indicated enough runtime to achieve more than 20% of the ASHRAE 62-2 2010 minimum requirement.

Figure 11 shows the weekly average airflows of each CFIS system. "Capable" flows are based on an assumption of continuous runtime of the home's air handler, even though such systems are not designed to operate in that manner, in part due to the large energy consumption of the air handler fan. A label next to each bar indicates whether the system operated based on heating/cooling system runtime only (U-CFIS), or had controls capable of invoking system runtime outside of calls for heating/cooling (C-CFIS). As WHMV provided by U-CFIS systems is dependent on heating/cooling system runtime, "as-found" flows are based on runtime data collected during the data collection week, and thus vary throughout the year. No C-CFIS systems were found to operate. Labels also indicate the homes listed in the source records as having an exhaust WHMV system rather than a CFIS system. Home 421 was the only home with a CFIS system linked to another fan designed to operate outside of calls for heating/cooling—in this case, a bathroom exhaust fan. The CFIS airflow could not be measured in three homes due to inaccessible air inlets.

Of 19 homes with CFIS systems, airflows of 16 systems were measured by the field team. Only five of these 16 systems were capable of operating and generating a measurable airflow. While the system in home 406 had sufficient airflow, airflow in remaining homes with capable systems was limited by ducting that did not generate sufficient negative pressure. In the case of home 406, relative humidity control lockouts prevented the system from running during the testing week. In the case of home 436, which featured a U-CFIS system, mild outdoor conditions did not result in any heating/cooling system runtime during the week. Some heating/cooling operation generated some WHMV flow in homes 422, 423, and 430 during the testing week.



Figure 11. Airflow characterization of CFIS WHMV systems

<span id="page-36-0"></span>Eleven of 16 systems were not capable of operating. Problems encountered included control incompatibility, disconnected wiring, and failed dampers. Ambient conditions did not generate any heating/cooling system runtime in home 429 during the testing week; therefore, its U-CFIS did not provide any WHMV during the testing week. The controls on the C-CFIS systems in homes 417 and 419 were set in such a way that the systems acted like U-CFIS systems. Home

419 experienced some heating/cooling runtime, generating an unknown level of WHMV flow, while home 417 did not. Due to the difficulty of finding homes with functioning CFIS systems, the study team eventually stopped intentionally recruiting homes with this type of WHMV system to participate in the study. A few owners of homes with CFIS systems were aware that their home had a system for "fresh air," but were not familiar with system operation. In general, most homeowners were not aware of the existence of their CFIS systems or how they functioned.

Figure 12 shows flow characterization results for the six homes with ERVs and five homes with ventilating dehumidifiers. Some ERV systems have adjustable flow controls, and "capable" weekly average flows are determined assuming continuous runtime and generally using the measured flow with the flow setting at the highest flow level. Weekly average "as-found" flow considers whether the system operated on a timed schedule. All dehumidifiers were fixed-flow and were able to be operated on a timed schedule.





<span id="page-37-0"></span>ERVs and ventilating dehumidifiers were generally found to not only be more capable, but also more likely to be operating as found compared to exhaust or CFIS systems. Two of the ERVs (415 and 428) had low/high flow control, and could only be operated continuously. The field team could not measure any flow through the ERV as found in home 428 due to clogged filters. These ERVs have single points of supply and exhaust, contained in a single ceiling- or wallmounted unit. Two ERVs (405 and 416) had low/high flow control switches on the units, and were ducted into the homes' central heating, ventilating, and air conditioning (HVAC) systems.

Intermittent operation could be programmed via the central HVAC thermostat. The ERV in home 405 was set to run continuously while the ERV in home 416 was set to run 10 min/h. The ERV in home 405 was mis-ducted so that flow was bypassing the enthalpy recovery core and the unit was only acting as a supply ventilator. ERVs in homes 418 and 426 had adjustable flow controls via a rheostat, but could only be operated continuously. Each and had multiple points of supply and exhaust, with ERV exhaust in bathrooms also serving as spot exhaust. Airflow for the dehumidifier in home 414 was limited, and its operation was hindered by control issues. The dehumidifier in home 410 was not operational for unknown reasons. Despite issues encountered, homeowners were all generally aware of the existence, location, and purpose of these WHMV systems. However, only four of the homeowners with ERVs or ventilating dehumidifiers knew how to adjust their operation.

### <span id="page-38-0"></span>5.2.2 Bathroom and Kitchen Exhaust Systems

Figure 13 shows measured bathroom exhaust fan airflows in each home for the master bathroom exhaust fan and the exhaust fan in the second-most-used bathroom as reported by the homeowners. Airflows of fans in toilet rooms and shower enclosures in these bathrooms were also measured but are not shown. Almost half of the bath fans in the home sample (46%) did not meet the minimum intermittent airflow requirements of ASHRAE 62.2-2010 (50 cfm). Three homes had fans that could not generate any measurable flow. As previously described, most fans were controlled with simple on/off wall switches, although a small number had controls that enabled operation based on relative humidity and/or occupancy, and another small subset of homes had programmable delay timers that operated the fan for a minimum amount of time after each activation. Home 418 had bathroom exhaust coupled with a continuously operating ERV. While standard operation of this exhaust fan did not meet the minimum continuous flow requirement of ASHRAE 62.2-2010 (20 cfm), a control in the bathroom enabled a boost mode that generated 36 cfm.



Figure 13. Airflow characterization of bathroom exhaust fans

<span id="page-39-0"></span>Figure 14 shows measured kitchen range hood airflow in each home at the fans' low and high settings. Of 51 kitchen range hoods encountered, three were recirculating type and not vented to the outdoors and two did not generate any measurable flow. Of the 46 remaining homes, 10 had kitchen range hoods that could not meet the minimum airflow requirements of ASHRAE 62.2- 2010 (100 cfm).



Figure 14. Airflow characterization of kitchen range hoods

### <span id="page-40-1"></span><span id="page-40-0"></span>5.3 Air Quality

Air quality was monitored over periods of roughly one week. The intent was to conduct monitoring in roughly equal numbers of homes with and without WHMV operating at the level required by 62.2-2010, and in about 16 homes that were operated for one week with and one week without compliant WHMV. However, due to the lack of WHMV functionality in many of the homes that were expected to have operational systems based on the source records, more

sampling took place in homes operating without WHMV than in homes with WHMV operating at an airflow rate compliant with the 62.2-2010 standard. Twelve homes were monitored for only one week with a WHMV system operating. Twenty-two homes were monitored for only one week without a WHMV system operating. One home, 419, with C-CFIS, was monitored with unknown WHMV status.

Sixteen homes were monitored for two weeks. Thirteen of these homes were operated for one week with WHMV and one week without. In general, operation was configured to achieve 100% of ASHRAE 62.2-2010, but in some cases, this was not possible and the system was operated above or below this level. In one of these homes, 411, the WHMV off and WHMV on weeks were separated by three years; in all other cases, they were during consecutive weeks. In three homes, two weeks of data were collected with the WHMV system operational for both weeks. In the case of homes 442 and 443, automatic, relative-humidity-controlled activation of the bath fans could not be disabled. In the case of home 449, a mechanical contractor who visited the home reactivated the WHMV system partway through the testing week.

### <span id="page-41-0"></span>5.3.1 Carbon Dioxide

Figure 15 shows the weekly average carbon dioxide concentration in the main living area while the home was occupied for each week of data collected from each home, including data from one-week homes, and each week of data for the two-week homes. The y-axis denotes home number, with two-week homes labeled with "on" and "off," or "A" and "B" in cases where the WHMV system was operated for both weeks. Data from home 411 is indicated with an asterisk to highlight the three-year gap between the off and on weeks. Bars indicating the carbon dioxide concentration in parts per million (ppm) are color coded according to the weekly average WHMV system flow expressed in terms of a percentage of the ASHRAE 62.2-2010 minimum continuous requirement for the home. This data has been grouped into three bins. Most of the data (36 weeks' worth) was collected during weeks with the homes' WHMV systems operating at <20% of 62.2-2010, indicated with blue colored bars. In this bin, only home 430 operated at >0%—in this case, 9%. Eight weeks of data were collected in homes with WHMV systems operating between 20% and 65% of 62.2-2010, indicated with orange bars. Twenty-three weeks of data were collected in homes with the WHMV system operating at >80% of 62.2-2010. Most of these systems operated at >100% of 62.2-2010, up to as high as 210%. Only four systems operated between 80% and 100% of the 62.2-2010 requirement. WHMV operation during data collection in home 419 is unknown, and is indicated with a white bar.

Carbon Dioxide Concentration for All Homes  $\sim$  < 20% 62.2-2010 20-65% 62.2-2010 200-65% 62.2-2010 Main Area Concentration (ppm)  $\overline{0}$ 500 1000 1500 2000 2500  $411$ <sup>OFF\*</sup> 404 448\_OFF 403 444 433 434 435\_OFF 430 415\_OFF 425 402 420\_OFF 415\_ON 419 422 414  $426\,$ 450\_OFF 448\_ON 406 437 440 439\_OFF 424 447\_OFF 445 432 428\_OFF  $435$  ON<br> $423$ 411\_ON\*  $420$  ON<br> $446$  OFF 442\_B  $408$ 417 442\_A  $409$ 413 449\_B 401 421 405 427 418 441 439\_ON  $443$ <sub>-B</sub> 410 450\_ON 449\_A 451\_OFF 429 428\_ON  $443$  A 447\_ON 436 416 407\_ON 446\_ON 451\_ON<br>438\_ON  $407$ <sup>OFF</sup> 412 438\_OFF 431 Not Measured

Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

#### <span id="page-42-0"></span>Figure 15. Main area carbon dioxide concentrations for all homes

Weekly average carbon dioxide concentration varies considerably across the sample due to a variety of factors including occupancy. However, there is an evident trend that homes with operating ventilation systems tended to have lower average carbon dioxide concentrations. Of note is that carbon dioxide concentrations >1,000 ppm were only obtained in homes without WHMV systems operating. Figure 16 shows weekly average carbon dioxide concentrations in the main living area for each two-week home. The same color coding used in Figure 15 is applied in Figure 16 to indicate WHMV system status, and data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010. In most cases, it is evident that operation of the WHMV system creates additional air exchange, thereby diluting carbon dioxide concentration by a mean value of 25%. Data from homes with bars below the horizontal black line are not included in the statistics. Figures 17 and 18 show similar data collected from the master bedroom during the period of 12 a.m.–5 a.m. Similar overall results are seen, with more homes recording carbon dioxide concentrations >1,000 ppm.



<span id="page-44-0"></span>Figure 16. Main area carbon dioxide concentrations for two-week homes Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010.

Carbon Dioxide Concentration for All Homes  $\sim$  < 20% 62.2-2010  $\sim$  20-65% 62.2-2010  $\sim$  >80% 62.2-2010 Master Bedroom Concentration (ppm) 500 1000 2000 2500  $\mathbf 0$ 1500 411\_OFF\* 433 404 403 430 435\_OFF 437 415\_OFF 444 425 448\_OFF 420\_OFF 402 415\_ON 414 426 419 406 447\_OFF 411\_ON\* 445 424 432 423 440 439 OFF  $422$ 420\_ON 428 OFF 435\_ON<br>435\_ON 446\_OFF 417 448\_ON 409 410 408 427 442\_B 451\_OFF 442\_A  $418$ 449\_B  $421$ 401 429 405 407\_OFF 416 450 ON  $449$ <sup>A</sup>  $441$ 439 ON  $428$  ON  $443$ <sup>A</sup> 447\_ON  $407$  ON<br> $436$ 446\_ON<br>451\_ON 438\_OFF 438\_ON 412 434 Not Measured 450\_OFF Not Measured  $443_B$ Not Measured 431 Not Measured

Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

<span id="page-45-0"></span>Figure 17. Master bedroom carbon dioxide concentrations for all homes



<span id="page-46-1"></span>Figure 18. Master bedroom carbon dioxide concentrations for two-week homes Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010.

### <span id="page-46-0"></span>5.3.2 Formaldehyde

Weekly average formaldehyde concentrations in parts per billion (ppb) are presented in Figures 19 and 20 in an identical format as used for reporting carbon dioxide concentrations. This data was collected using passive, UMEx-100 samplers in the main living area. As with carbon dioxide, concentrations vary considerably across the sample due to variability in home construction materials, home contents, age of materials, and occupant activities. No homes were below the California Environmental Protection Agency's chronic (lifetime) reference exposure limit (REL) of 7 ppb, and six homes were above the 1-hour acute REL of 44.8 ppb. The World Health Organization has set a general REL of 80 ppb.<sup>[4](#page-46-2)</sup> In contrast to the case of carbon dioxide, formaldehyde concentrations do not appear to be consistently reduced with additional air exchange.

<span id="page-46-2"></span><sup>4</sup> Nielsen, G.D., Larsen, S.T. and Wolkoff, P. 2017. "Re-evaluation of the WHO (2010) formaldehyde indoor air quality guideline for cancer risk assessment." *Arch Toxicol* **91**[. https://doi.org/10.1007%2Fs00204-016-1733-8](https://doi.org/10.1007%2Fs00204-016-1733-8)

Formaldehyde Concentration for All Homes  $\sim$  < 20% 62.2-2010 20-65% 62.2-2010  $\sim$  >80% 62.2-2010 Main Area Concentration (ppb)  $\mathbf 0$  $10\,$ 20 40 50 60 30 445 417 401 444 439\_OFF 446\_ON 424 446\_OFF 423 406  $451$ <sup>ON</sup> 439\_ON 419 441 437 438\_ON  $402$ 443 A 448 ON 438\_OFF 449\_B 428\_OFF 414 451\_OFF 426 449\_A  $422$ 435\_ON  $443_B$ 435\_OFF 407\_OFF  $428$ <sup>ON</sup>  $442A$ 411\_ON\*  $442_B$ 404 447\_ON 432 403 421 425 407\_ON 405 413 440 416 418 430 410 411\_OFF\* 412 409 431 450\_OFF 429 420\_OFF 436 415\_OFF  $450$  ON<br> $420$  ON<br> $408$  $415\_ON$ Not Measured 427 433 Not Measured 434 Not Measured 447\_OFF Not Measured 448\_OFF Not Measured

Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

#### <span id="page-47-0"></span>Figure 19. Main area formaldehyde concentrations for all homes



<span id="page-48-1"></span>Figure 20. Main area formaldehyde concentrations for two-week homes Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010.

### <span id="page-48-0"></span>5.3.3 Radon

Weekly average radon concentrations collected from the lowest occupied level of each home using the Radstar RS300 are shown in Figures 21 and 22 in picocuries per liter (pCi/L). All homes were slab on grade except for home 426, which had a walk-out basement. Concentrations were not measured in several homes due to instrument failure. While concentrations across the sample vary widely, primarily due to home proximity to naturally occurring radon sources, only one home exceeded the EPA action level of 4 pi/L. The two homes with the highest recorded one-week radon concentrations were located in Georgia. Long-term, six-month average concentrations measured with the Alpha Track sensors confirmed results significantly higher than the EPA action level in each of these homes. In general, there is an evident trend that homes with operating WHMV systems tended to have lower radon levels. Data from two-week homes shows that WHMV decreases radon concentration by a mean value of 35%, although none of the two-week homes had radon concentrations above the EPA action level.

**Radon Concentration for All Homes**  $< 20\%$  62.2-2010 20-65% 62.2-2010  $>80\%$  62.2-2010 Lowest Occupied Level Concentration (pCi/L))  $\overline{0}$  $\mathbf{1}$  $\overline{2}$  $\mathbf{3}$  $\overline{4}$ 5 6 8 9 10  $\overline{7}$ 427  $426$ 406 419 450\_OFF 431 401 402 449 B  $430$ 404 409 444 425 428 OFF 439\_OFF<br>411\_OFF\* 420\_OFF 414 410 416 424 417 450\_ON 421 422 407\_OFF  $403$ 429 449 A  $428$ <sup>ON</sup> 411\_ON\* 412 418 439\_ON 451 OFF 407\_ON<br>451\_ON  $420$ <sup>ON</sup> 442\_A  $408$ 423 415\_OFF  $442B$  $415$ <sup>ON</sup> 443\_A  $443$ <sup>B</sup> 405 413 Not Measured 432 Not Measured 433 Not Measured 434 Not Measured 436 Not Measured 437 Not Measured 440 Not Measured 441 Not Measured 445 Not Measured 435\_OFF<br>435\_ON Not Measured Not Measured 438\_OFF Not Measured 438\_ON Not Measured 446\_OFF Not Measured 446\_ON<br>447\_OFF Not Measured Not Measured 447\_ON<br>448\_OFF Not Measured Not Measured 448\_ON Not Measured

Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

<span id="page-49-0"></span>Figure 21. Lowest occupied level radon concentrations for all homes



<span id="page-50-1"></span>Figure 22. Lowest occupied level radon concentrations for two-week homes Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010.

### <span id="page-50-0"></span>5.3.4 PM<sub>2.5</sub>

Weekly average PM<sub>2.5</sub> concentrations in micrograms per cubic meter  $(ug/m<sup>3</sup>)$  are shown in Figures 23 and 24. Data was collected using a gravimetric filter technique. Similar to results for other indoor air pollutants of interest, results show that concentrations vary widely across the sample, presumably due to differences in occupant activities, outdoor particulate matter entry, and removal processes including filtration. Four homes exceeded the EPA annual REL of 12 ug/m<sup>3</sup>, but none exceeded the 24-hour value of 35 ug/m<sup>3</sup>. PM<sub>2.5</sub> is best mitigated via filtration and spot ventilation, especially in the case of cooking-based sources, and PM2.5 concentrations are not well correlated to WHMV system operation. While operation of the WHMV system appeared to minimally increase  $PM<sub>2.5</sub>$  concentration, additional research is necessary to confirm causation rather than unrelated correlation. Future analysis of spatial, time-resolved PM2.5 measurements are expected to provide additional insight. Data labels in Figure 24 also indicate weekly average outdoor PM<sub>2.5</sub> concentrations for two-week homes, for unlike other pollutants, variable outdoor PM2.5 concentrations from week to week may influence indoor concentrations in a few homes, including homes 415, 407, and 406.

PM2.5 Concentration for All Homes  $\sim$  20% 62.2-2010 20-65% 62.2-2010 200 30% 62.2-2010 Main Area Concentration (ug/m3)  $\overline{2}$  $\boldsymbol{0}$  $\sqrt{4}$ 6 8 10 12 14 16 18 20 448\_ON  $-405$ 411\_OFF\* 434 428\_OFF<br>425  $428$  ON 435\_ON 414 433 406 415\_ON 430 404 415\_OFF  $449$ \_B  $408$ 439\_OFF 402 438\_ON  $419$ 429 407\_OFF 443 A  $417$ 439\_ON 436 437 443\_B 442\_A  $426$ 442 B 438\_OFF 416 418 435\_OFF  $-423$ 448\_OFF 451\_OFF 446\_ON 444 431 450\_ON  $421$ 427 409 450\_OFF 407\_ON 441 413 424 410 447\_ON 445 422 446\_OFF 440  $451\_\text{ON}$ 412 403 401 420\_OFF 432 Not Measured 411 ON\* Not Measured  $420$  ON Not Measured 447\_OFF Not Measured 449\_A Not Measured

Ventilation and Indoor Air Quality in Recently Constructed U.S. Homes: Measured Data From Select Southeastern States

#### <span id="page-51-0"></span>Figure 23. Main area PM2.5 concentrations for all homes



<span id="page-52-1"></span>Figure 24. Main area PM2.5 concentrations for two-week homes Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010, and weekly average outdoor PM2.5 conentration.

### <span id="page-52-0"></span>5.3.5 Nitrogen Dioxide

Figures 25 and 26 show weekly average nitrogen dioxide concentrations in ppb. Most homes that used natural gas or propane for at least one end use (space heating, water heating, clothes drying, cooking) were sampled for nitrogen dioxide. While use of gas for various end uses varied among the homes sampled for nitrogen dioxide, all but two homes (425 and 436) had a gas cooktop. No homes exceeded the EPA yearly REL of 53 ppb. While it appears that operating WHMV systems reduce nitrogen dioxide concentrations, the magnitude of nitrogen dioxide concentrations measured in two-week homes is on the lower end of the overall sample's concentration range, and very close to background levels measured outdoors, which are shown with data labels. Differences in background levels between "off" and "on" weeks may also contribute to differences in indoor concentrations.



Figure 25. Main area nitrogen dioxide concentrations for all homes with at least one gas appliance

<span id="page-53-0"></span>

<span id="page-53-1"></span>Figure 26. Main area nitrogen dioxide concentrations for two-week homes with at least one gas appliance Data labels indicate the WHMV system flow as a percent of ASHRAE 62.2-2010, and weekly average outdoor nitrogen dioxide concentration.

# <span id="page-54-0"></span>6 Conclusions

FSEC conducted a study to characterize IAQ in southeastern U.S. homes constructed since 2013, along with presence, functionality, and occupant use of WHMV. A total of 51 homes were recruited and participated in the study. Forty homes were located in Florida, six in South Carolina, and five in Georgia. All homes were single-family detached with the exception of one townhome in South Carolina and one townhome in Georgia. Field teams instrumented the homes to measure concentrations of indoor air pollutants for one to two weeks, monitored operation of equipment influencing air exchange between the house and the outdoors, and quantified associated airflows.

Table 8 summarizes the types of WHMV systems encountered, their functionality, and their operation. Similar to findings from past studies, this study encountered barriers that still need to be overcome with regard to functionality and operation of residential WHMV systems. The findings suggest that proper training of HVAC technicians, electricians, code inspectors, and home energy auditors around installation and commissioning is critical to ensuring the IAQ benefits of WHMV are realized, enabling the industry to continue to build energy-efficient homes with tight building enclosures. In particular, the industry needs systems with performance that is easy to verify, including easier access to air inlets and outlets or built-in flow measurement, along with on-board fault detection systems that alert homeowners to nonfunctioning systems. Another priority is improved labeling and training on identification of controls for homeowners to overcome the issue of nonoperating systems.

<span id="page-54-1"></span>



a Some homes were found with multiple WHMV systems.

b Two systems had rheostats that may have enabled them to deliver additional flow.

The most common approach found for providing WHMV was via a bathroom exhaust fan. For 24 homes with exhaust WHMV systems, 16 were found capable of meeting the ASHRAE 62.2- 2010 minimum requirement for WHMV. However, only three systems were operating for this purpose as found. In general, homeowners were not aware that these fans could be used as WHMV, and were not aware of why or how they should be operated as such. The second-most common WHMV system type encountered were CFIS systems that are designed to use a home's

central forced air heating and cooling system to temper and distribute outdoor air that is pulled in through a ducted connection from outside to the return side of the forced air system. For 19 homes that had CFIS systems, only one was capable of meeting minimum WHMV requirements for ASHRAE 62.2-2010. Only five systems were capable of operating at all and only three were operating as found. The observed problems included control incompatibility, disconnected wiring, and failed dampers. In general, most homeowners were not aware of the existence of CFIS systems or how they functioned. Six homes had an ERV, designed to deliver quasibalanced ventilation and passive enthalpy recovery, and five homes had a ventilating dehumidifier, designed to deliver supply-based ventilation and active moisture removal. For 11 homes that had these types of WHMV systems, eight were found to be operating and six of these were capable of meeting minimum WHMV requirements of ASHRAE 62.2-2010. Only one system was not capable of operating. All homeowners with these types of WHMV systems were generally aware of their existence, location, and purpose.

Spot ventilation systems that exhaust air from kitchens and bathrooms were also characterized. Nearly half of the bath fans in the home sample (46%) did not meet the minimum intermittent airflow requirements of ASHRAE 62.2-2010 (50 cfm). Three homes had fans that could not generate any measurable flow. Of all the kitchen range hoods encountered, three were recirculating type and not vented to the outdoors, and two did not generate any measurable flow. Of the remaining homes, ten had kitchen range hoods that could not meet the minimum airflow requirements of ASHRAE 62.2-2010 (100 cfm).

Weekly average concentrations of carbon dioxide, formaldehyde, radon, PM<sub>2.5</sub>, and nitrogen dioxide varied considerably among the homes, in large part due to factors not related to WHMV operation including home location, occupancy, and occupant activities. Several homes exceeded a weekly average carbon dioxide concentration of 1,000 ppm in the main living area and master bedroom, although most of those homes were not operated with WHMV. All homes exceeded the California Environmental Protection Agency REL for formaldehyde of 7 ppb, while six exceeded the acute REL of 44.8 ppb. Two homes in Georgia exceeded the EPA radon action level of 4 pi/L. Four homes exceeded the EPA annual REL for  $PM_{2.5}$  of 12 ug/m<sup>3</sup>, but none exceeded the 24-hour value of 35 ug/m<sup>3</sup>. No homes exceeded the EPA yearly average REL of 53 ppb for nitrogen dioxide.

To investigate WHMV as a control measure for IAQ, focus was placed on results for two-week homes for which occupant influences were somewhat normalized. Table 9 provides weekly average pollutant concentrations for WHMV "off" and WHMV "on weeks for two-week homes. Table 10 provides weekly average pollutant concentration differences between WHMV "off" and WHMV "on" weeks for two-week homes.

<span id="page-56-0"></span>



<span id="page-56-1"></span>



It is evident from the carbon dioxide data that operation of the WHMV system creates additional air exchange, thereby reducing carbon dioxide concentration by 30%. Homes measured with and without WHMV operating had radon and nitrogen dioxide concentrations that were 42% lower with WHMV, although sample sizes of two-week homes with radon and nitrogen dioxide data are small due to instrument issues and a prevalence of all-electric homes. Differences in background levels between "off" and "on" weeks may also contribute to differences in indoor concentrations of nitrogen dioxide. Operation of the WHMV system appears to minimally reduce formaldehyde concentration. This is likely due to complex indoor chemistry including an inverse relationship between concentration and emission rate. Operation of the WHMV system appeared to minimally increase PM2.5 concentration, however additional analysis is required to rule out other confounding factors. Future analysis of spatial, time-resolved PM2.5 measurements are expected to provide additional insight. The expectation is that spot ventilation would be more effective, especially for cooking sources.

Next steps are to combine the results from homes in the southeastern United States with results from other regions sampled as part of the overall BAIAQ study. The resulting analysis will generate recommendations for codes, standards, equipment manufacturers, and contractors to address design, installation, labeling, and homeowner education related to WHMV systems as an effective control measure for IAQ in new homes.

## <span id="page-58-0"></span>**References**

Chan, W.R., Y-S Kim, B.D Less, B.C Singer, and I. Walker. 2020. *Ventilation and Indoor Air Quality in New California Homes with Gas Appliances and Mechanical Ventilation*. Berkeley, CA. Lawrence Berkeley National Laboratory. LBNL-2001200R1. <https://indoor.lbl.gov/publications/ventilation-and-indoor-air-quality>

Hult, E.L., H. Willem, P.N. Price, T. Hotchi, M.L. Russell, and B.C. Singer. 2015. *Formaldehyde and acetaldehyde exposure mitigation in US residences: in-home measurements of ventilation control and source control.* Indoor Air 2015 Oct; 25(5):523-35.

Martin, Eric, Tanvir Khan, David Chasar, Jeff Sonne, Samuel I. Rosenberg, Chrissi A. Antonopoulos, Cheryn E. Metzger, Wanyu Rengie Chan, Brett C. Singer, and Michael Lubliner. 2020. "Characterization of Mechanical Ventilation Systems in New US Homes: What types of systems are out there and are they functioning as intended?" ACEEE Summer Study on Energy Efficiency in Buildings.<https://stars.library.ucf.edu/fsec/22/>

Offermann, F. 2009. *Ventilation and Indoor Air Quality in New Homes*. California Energy Commission. CEC-500-2009-085.

Sonne, J., C. Withers, and R. Vieira. "Investigation of the Effectiveness and Failure Rates of Whole-House Mechanical Ventilation Systems in Florida." Final Report issued to the Florida Department of Business and Professional Development, June 2015. <https://publications.energyresearch.ucf.edu/wp-content/uploads/2018/06/FSEC-CR-2002-15.pdf>

Sonne, J.K., R. K. Vieira, K. Fenaughty, J. McIlvaine, and C. Withers. 2020. *Perceptions and Reality of Residential Whole-House Mechanical Ventilation Systems in Florida.* 2020 ACEEE Summer Study on Energy Efficiency in Buildings, Pacific Grove, CA.

Widder, S., E. Martin, D. Chasar, J. McIlvaine, B. Amos, and K. Fonorow. 2017. *Comparative Performance of Two Ventilation Strategies in a Hot-Humid Climate*. Golden, CO. National Renewable Energy Laboratory. NREL/SR-5500-65457. <https://www.nrel.gov/docs/fy17osti/65457.pdf>

## Appendix A. WHMV System Characterization Details

<span id="page-59-0"></span>













Office of<br>ENERGY EFFICIENCY &<br>RENEWABLE ENERGY

For more information, visit: [buildingamerica.gov](http://buildingamerica.gov)

DOE/GO-102024-5751 • February 2024