



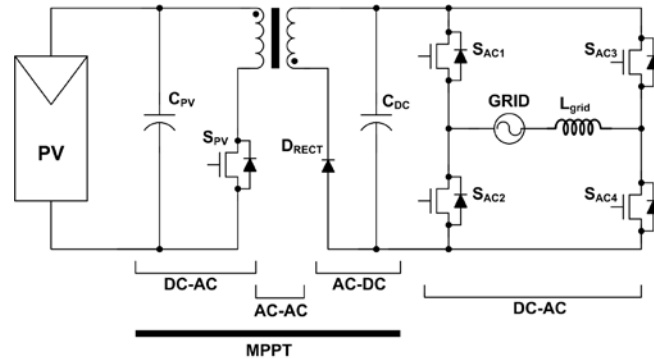
Live state of health monitoring of inverter subsystems

Faisal Khan

April 12, 2024

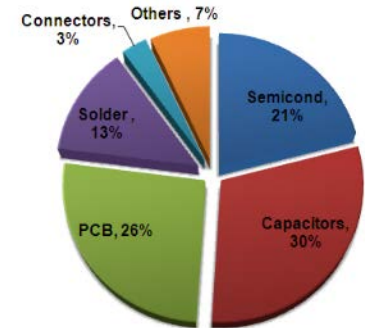
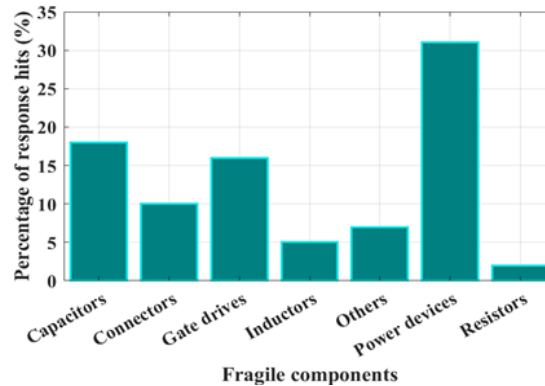
NREL

Components of a PV Subsystem and Reliability Issues

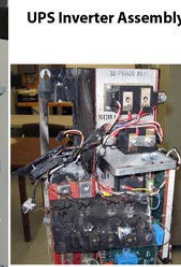
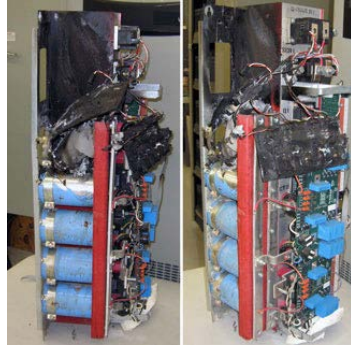


Power electronic converter circuit for PV power harvesting

- PV panel degradation and cable faults
- Inverter degradation and converter failures
- Interconnect and protection system failures

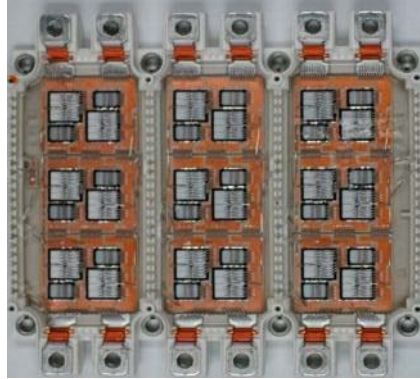
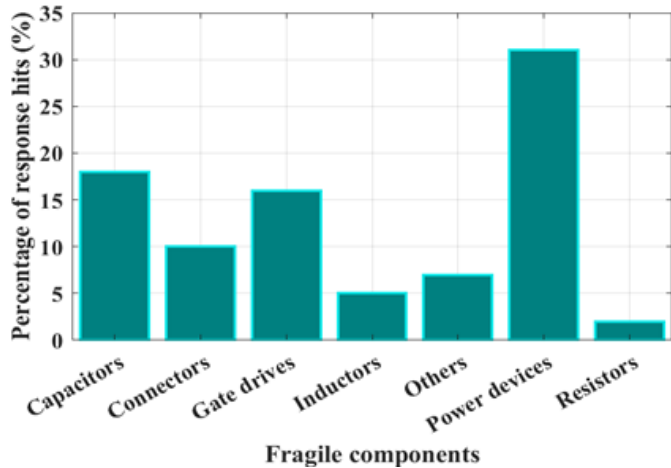


Energy Conversion Systems and Reliability

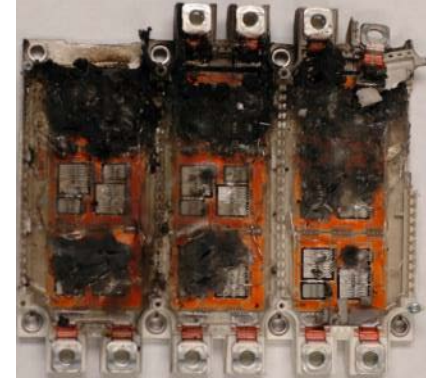


- A modern power conversion system may have components including high-power dc-ac inverters, electric machines such as motors and transformers, renewable energy sources such as wind generators or solar cells and energy storage units in the form of battery banks.
- Most of these power processing units are subjected to electrical and thermal stress resulting in performance degradation.
- In order to ensure a failure free operation, components in a power system employed in critical applications are being operated with redundancy and are needed to go through periodic replacements.
- This periodic maintenance is time and cost intensive, thus shows promise for optimization.

Degradation in Power Electronic Components and Systems



Healthy IGBT¹



Failed IGBT due to thermal runaway¹

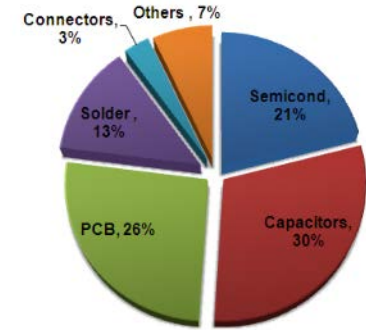
- Power semiconductor devices (MOSFETs and IGBTs) are the most fragile components in power electronic systems.
- When they fail, results can be catastrophic.
- Failure prediction can reduce maintenance costs and potentially save human lives.



Wind turbine at fire due to failed IGBT module¹

¹https://www.nrel.gov/pv/assets/pdfs/2015_pvmrw_131_das.pdf

Power Converter Failure: Facts



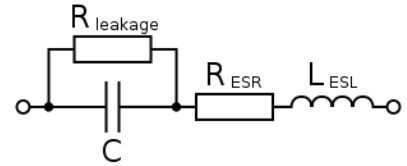
- Electrolytic capacitors and semiconductor switches are two of the most affected components due to aging in power converters.¹
- Capacitor equivalent series resistance (ESR) increases and capacitance decreases due to aging.
- Accidental high voltage applied at the gate terminal increases the threshold voltage.
- MOSFET ON-state resistance (R_{DS}) changes due to thermal aging.
- Degradation at the contact area of bonding wire, such as metallization, and at the die solder layer occur due to thermal aging, which are reflected in the change in MOSFET R_{DS} .
- Threshold voltage, transconductance, and collector-emitter ON voltage change due to aging of IGBTs.

[1] U.S. Dept. of Defense. 1995. *Reliability Prediction of Electronic Equipment, Military Handbook 217F*.

Electrolytic Capacitor Failure



→
Aging



- **High voltage:** Capacitance value decreases and R_{ESR} value increases.
- **Transients:** Leakage current increases and internal short circuit may occur.
- **Reverse bias:** Leakage current becomes high with loss of capacitance and increase in R_{ESR} .
- **Vibrations:** The effects are internal short circuit, capacitance losses, high leakage currents, increase in R_{ESR} , and open circuits.
- **High ripple current:** Internal heating occurs and increase in core temperature results in gradual aging of capacitors.

PV Ground Fault and Corresponding Casualties

- According to the US National Electrical Code (NEC), PV systems with system voltage more than 50V require both equipment grounding and system grounding .
- A ground-fault protection and interruption (GFPI) device is installed in a PV system to detect the ground-fault, interrupt it and provide a fault indication to protect the system from potential fire hazards.
- Usually ground-fault is detected if the fault current exceeds some predetermines values set by the GFPI device.

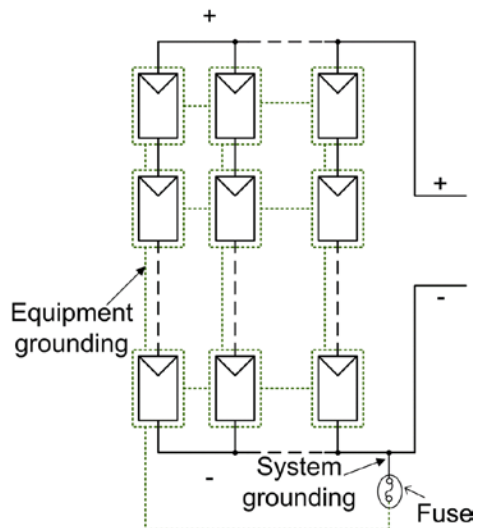


Roof fire caused by ground fault

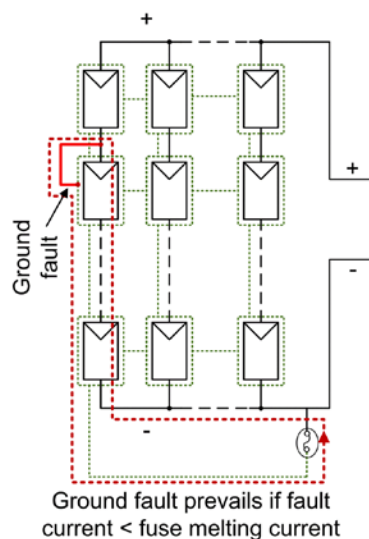
Possible Ground Faults in PV Systems and the Limitations of Existing Systems

Limitations of an existing ground fault protection and interruption (GFPI) system

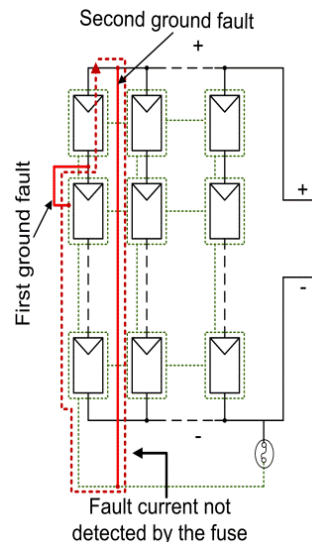
- A ground-fault may occur in the absence of the solar irradiation. (i.e., during night) and remain undetected
- Ground-fault current may be smaller than the GFPI threshold current limit. However, the current level may be enough to cause cell damage.
- GFPI may suffer from noise and provide misleading fault indication.
- An undetected ground-fault may pose as a “normal condition” and render to another ground-fault (double ground-fault). This may establish a fault current path without being interrupted by GFPI devices.



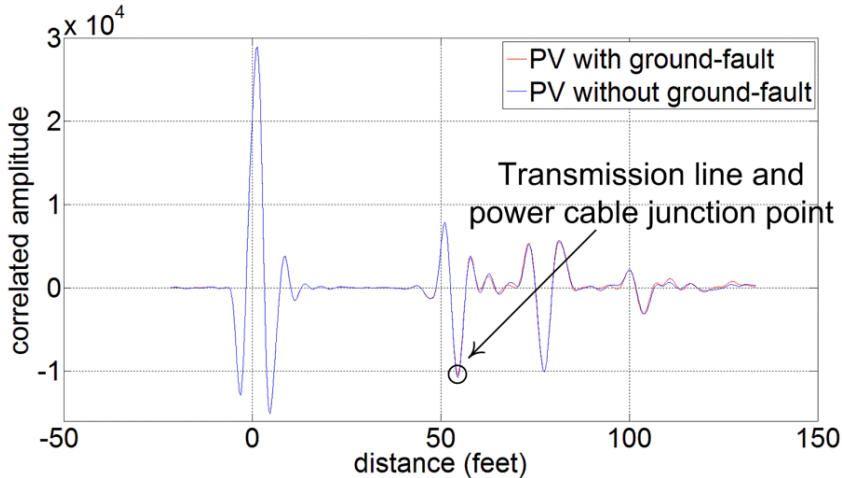
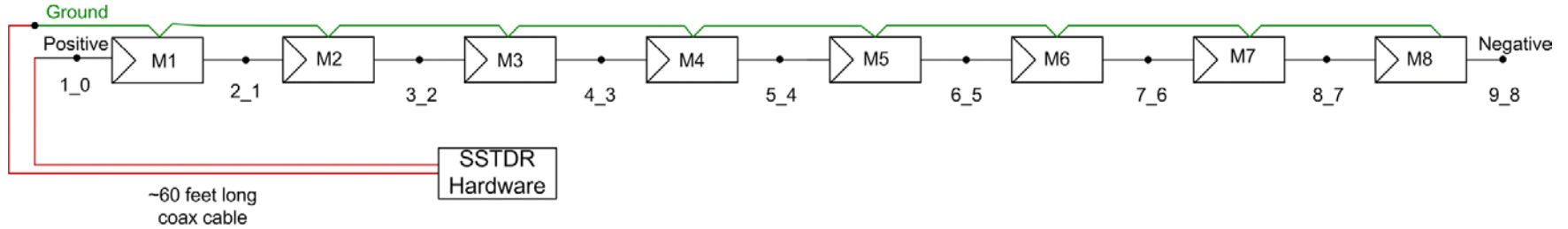
A healthy PV system



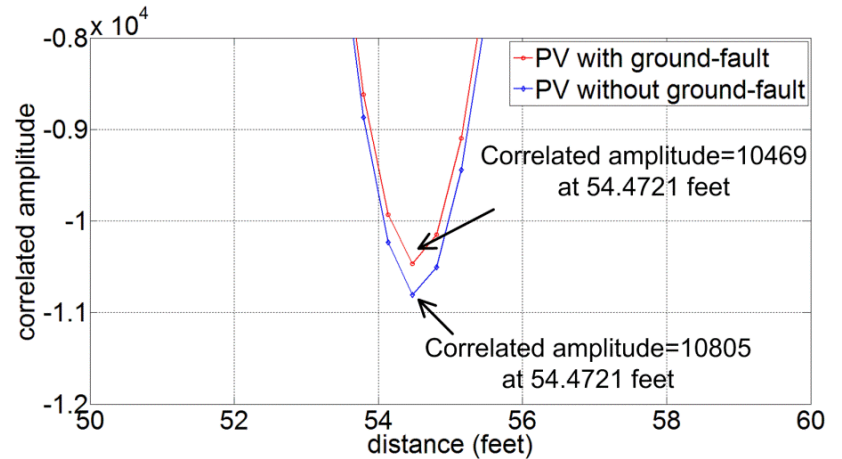
A faulty PV system



Experimental Results Showing PV Fault Detection Scheme: 1



Correlated amplitude vs. distance curve for a PV panel with and without ground-fault



Zoomed-in view of the correlated amplitude vs. distance curve

Experimental Setup

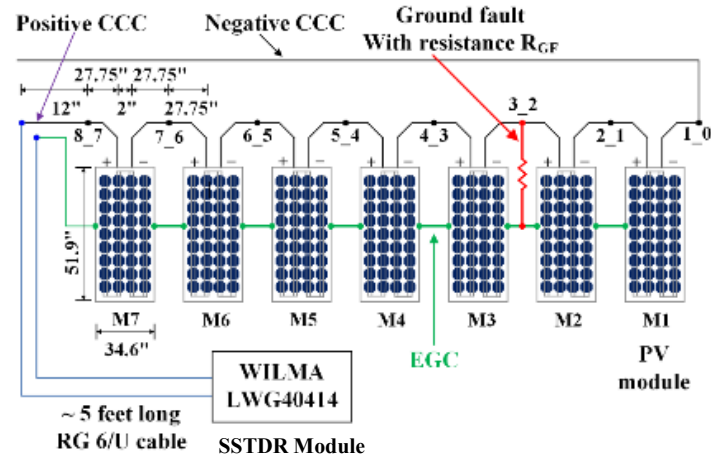


Test set-up used at DETL of SNL

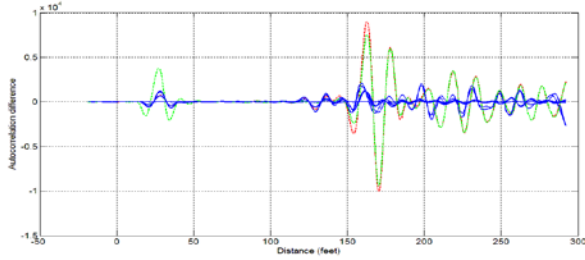
| | |
|--------------------------------------|--------|
| Maximum power (P_{max}) | 200 W |
| Short circuit current (I_{sc}) | 3.83 A |
| Open circuit voltage (V_{OC}) | 68.7 V |
| Maximum power current (I_{pmax}) | 3.59 A |
| Maximum power voltage (V_{pmax}) | 55.8 V |

Challenges:

- Hundreds of interconnections and impedance mismatches exist inside a single PV string.
- Multiple reflections occur at different mismatches
- Interpretation of the SSTDR reflection is extremely difficult to detect the fault in PV array.



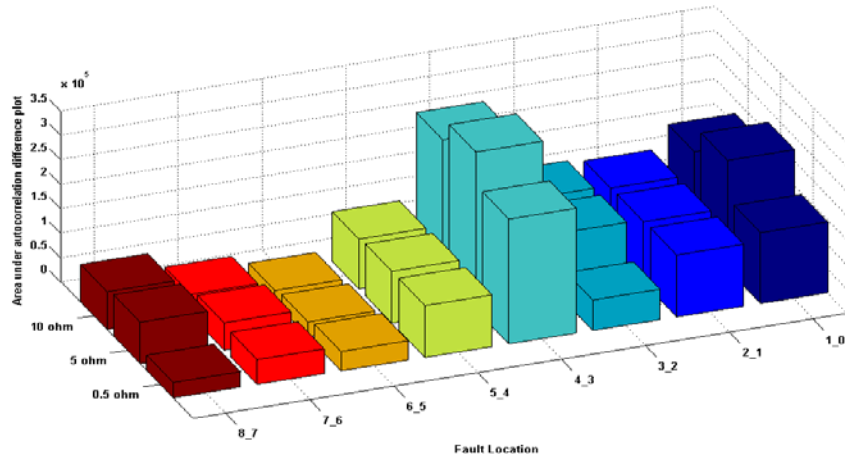
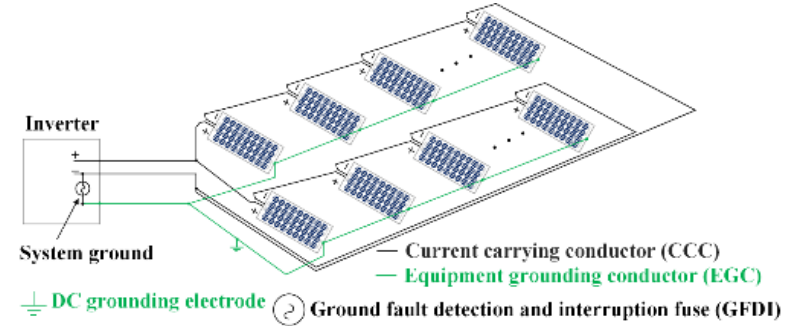
Experimental Results Showing PV Fault Detection Scheme : 2



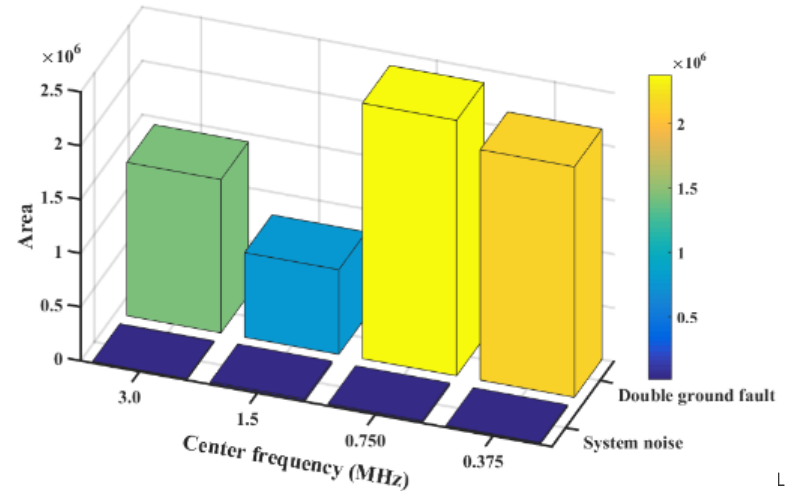
Differential autocorrelation data for faults at different locations

Limitations of GFDI:

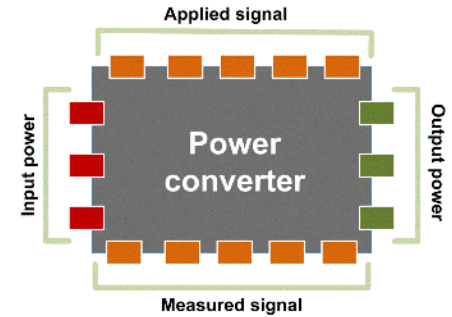
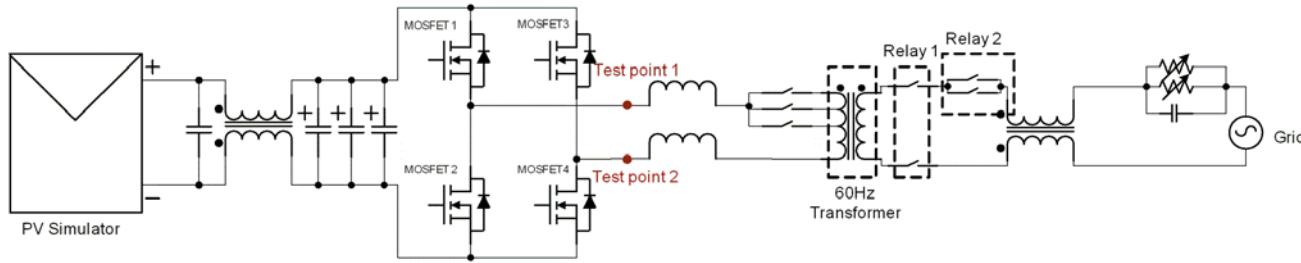
- Depends on fault current magnitude
- Therefore, suffers from blind spot detection error and can not detect fault at night or low irradiance level



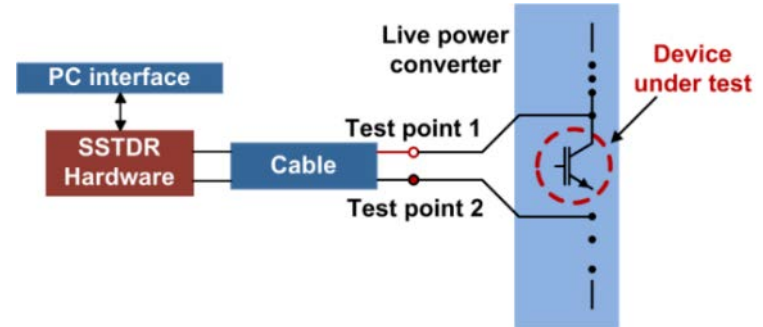
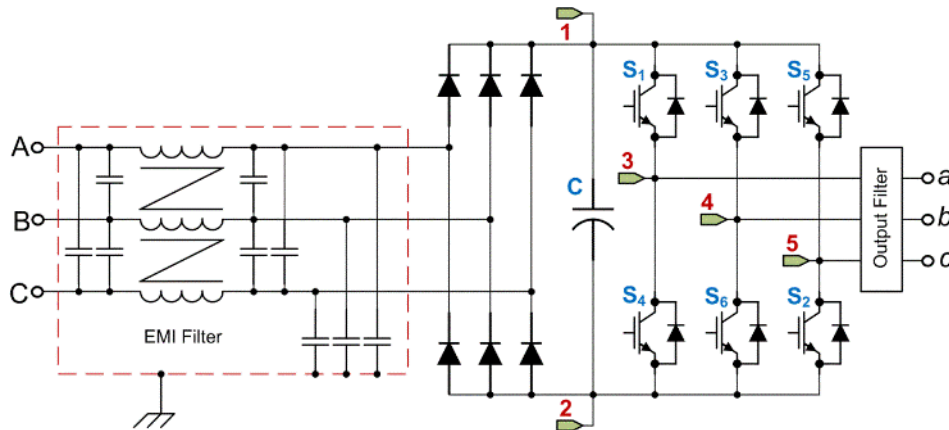
Area under the autocorrelation plot for different fault impedance



Converter's Built-In SOH Estimator

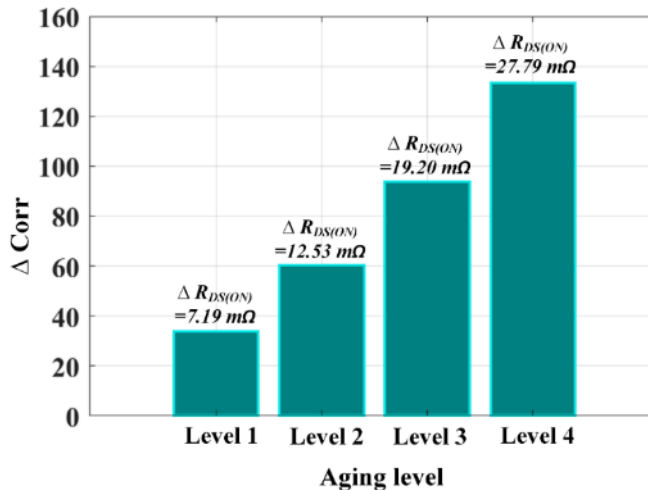
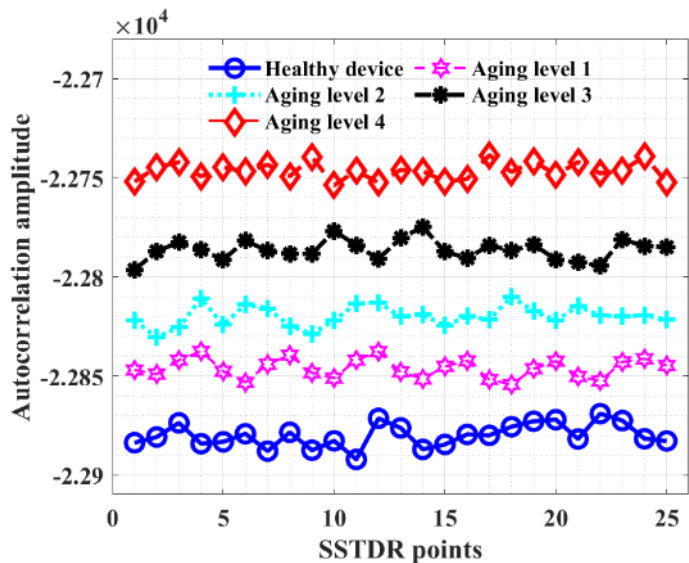
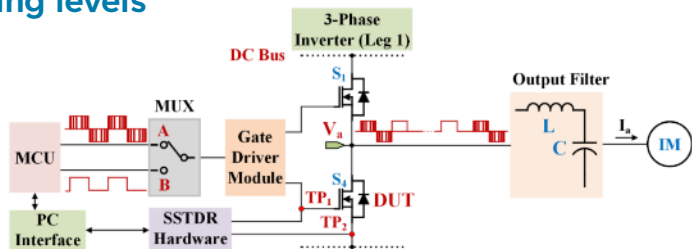


- Input power nodes
- Output power nodes
- Intermediate nodes



Live Condition Monitoring in a Three-Phase Inverter: 2019

Single device under test (DUT) with multiple aging levels

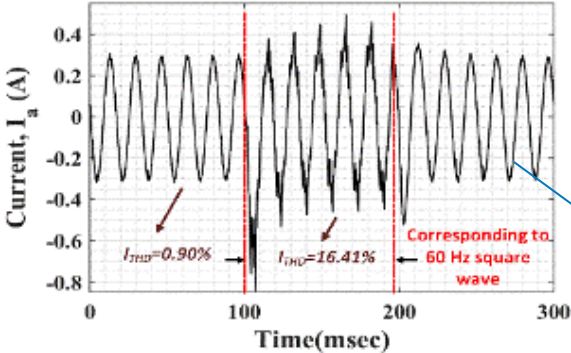


$\Delta \text{Corr} = \text{auto-correlated amplitude} - \text{baseline}$

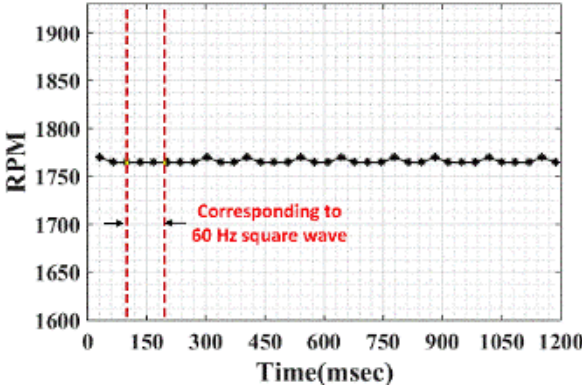
Aging level 3 > aging level 2 > aging level 1 > healthy device/baseline



Live Condition Monitoring



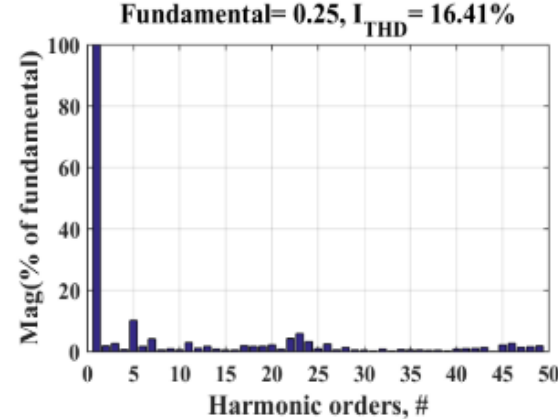
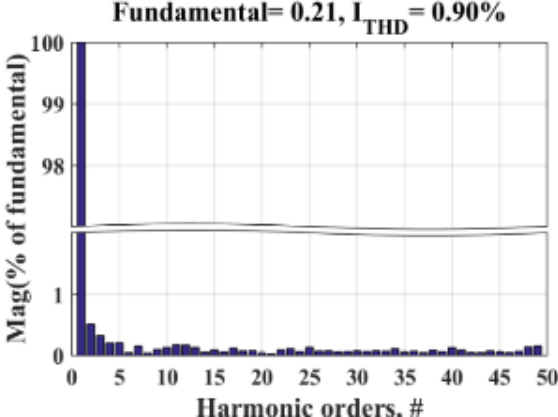
A-phase current



RPM of the Motor

- Current magnitude is high at 60Hz square wave mode, but less than motor start-up current
- Motor Start-up time is way larger than 100 ms time.

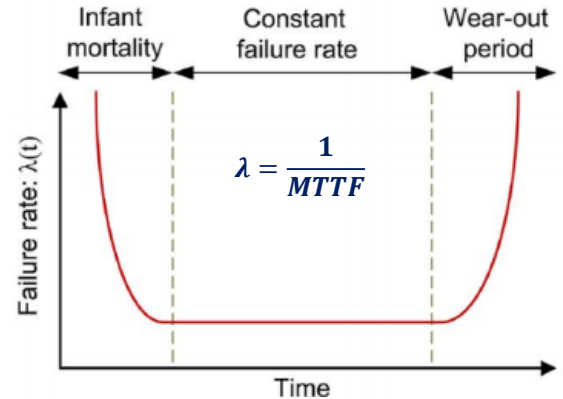
Harmonic Spectra
60 Hz Square Wave Mode



Device Degradation: Dynamic SOA

- Mean time to failure represents the expected life span of the device.
- **Mean time to failure cannot:**
 - Predict unusual circumstances and premature degradation.
 - Answer why reliability of a power switching device drops abruptly beyond a certain time and aging.

The answer lies in the fact that SOA is an **age-dependent parameter** rather than a constant value.



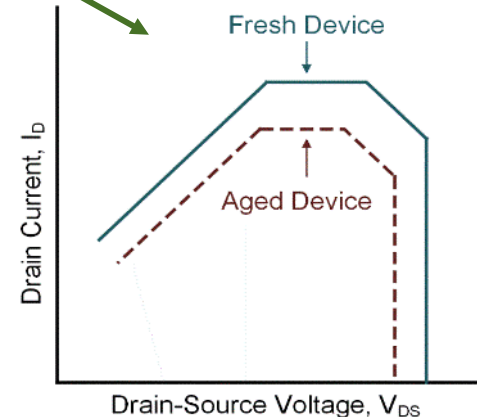
Bathtub curve



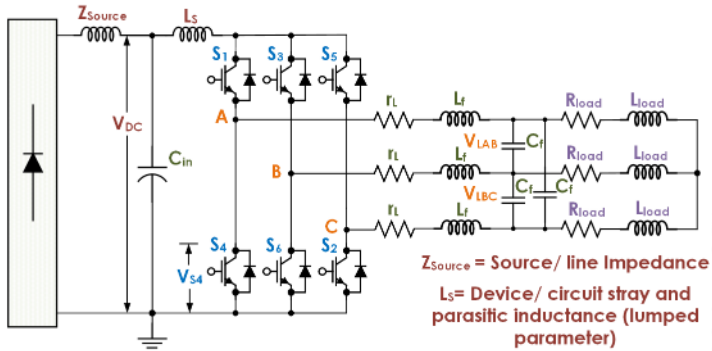
25 years old



65 years old

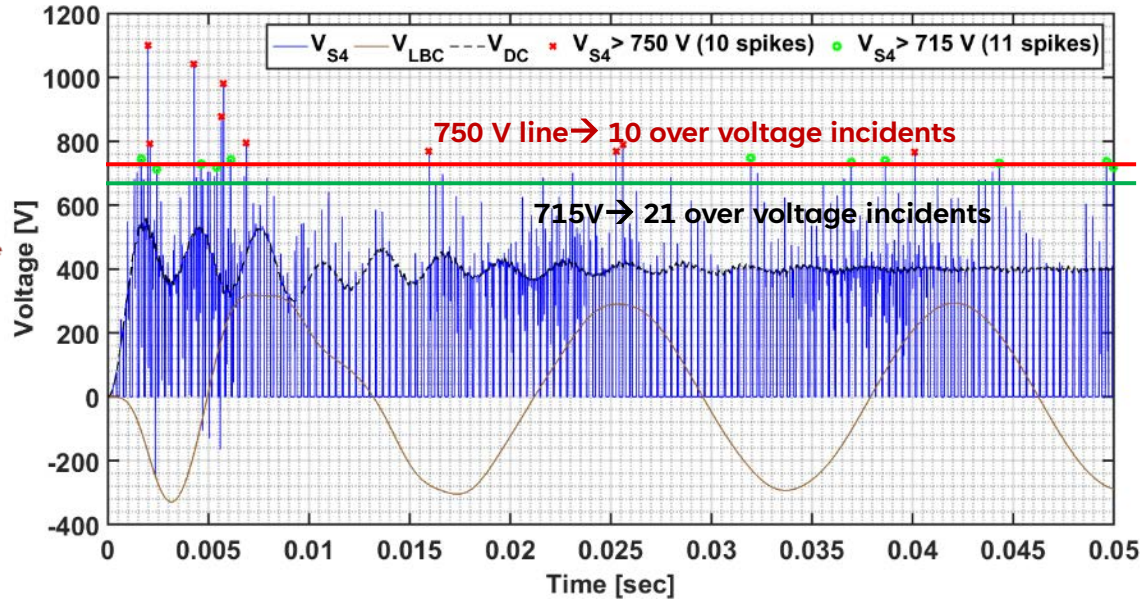


A Case Study: SOA to Availability



S_4 is fresh, $V_{breakdown, fresh} = 750 \text{ V}$
 S_4 is aged, $V_{breakdown, aged} = 715 \text{ V}$

- Fresh S_4 experiences **10** overvoltage situations
- Aged S_4 experiences **21** overvoltage situations



The supply line impedance, along with the circuit/device stray and parasitic inductances, cause considerable voltage spike at the DC bus during inverter operation.

Summary

- PV ground fault detection using reflectometry is challenging because hundreds of interconnections and impedance mismatches exist inside a single PV string.
- The SSTDR algorithm has been successfully used for detecting ground faults in PV arrays.
- We demonstrated the feasibility of using the SSTDR-based algorithm with any variation in the number of strings, fault resistances and number of faults.
- This technique can test ground faults at night or at low illumination that may remain undetected by standard protection device.
- Various online SOH measurement techniques have been presented with experimental results. The industry is yet to adopt a low-cost solution.
- Each technique has own strengths and limitations.
- Live state of health estimation can predict faults before it happens.
- Knowing the dynamic SOA of a device/module is pivotal.

Thank You

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