

Using the Principles of Electrochemistry to Understand and Overcome the  
Complicated Degradation Mechanisms of Silicon Anodes in Lithium-Ion Batteries.

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Mike Carroll

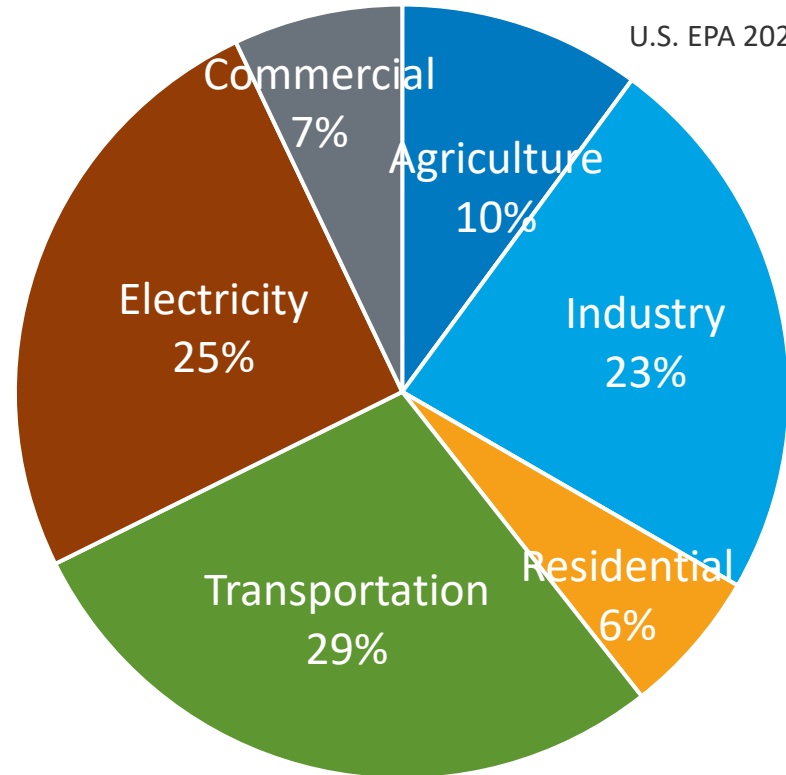
6/4/2024

2024 GRC Inorganic Chemistry

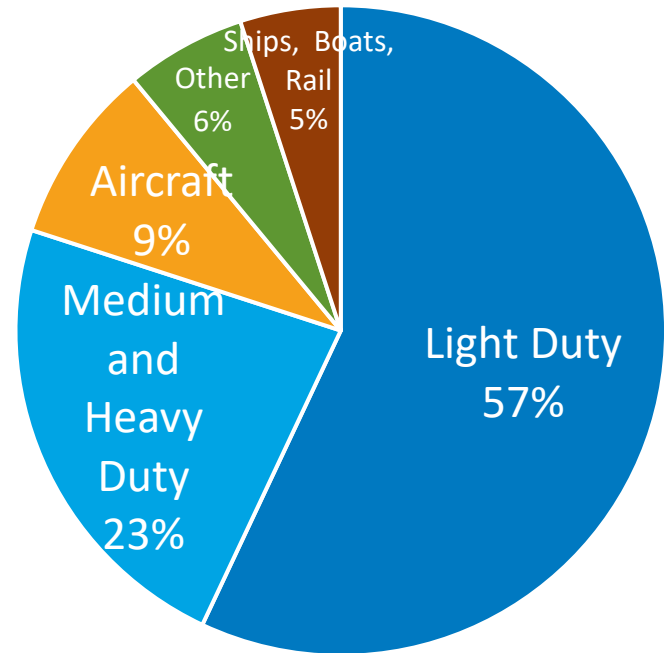
# Decarbonization

## US GHG emissions by sector

U.S. EPA 2022

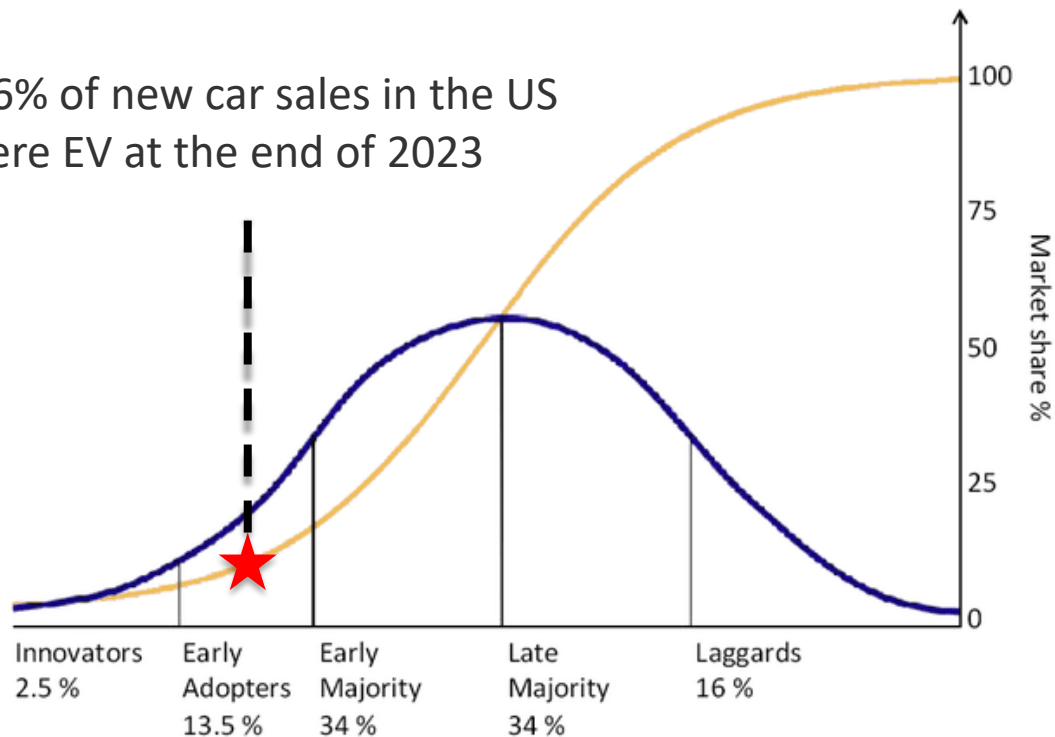


## US transportation emissions



# EV Adoption

7.6% of new car sales in the US were EV at the end of 2023



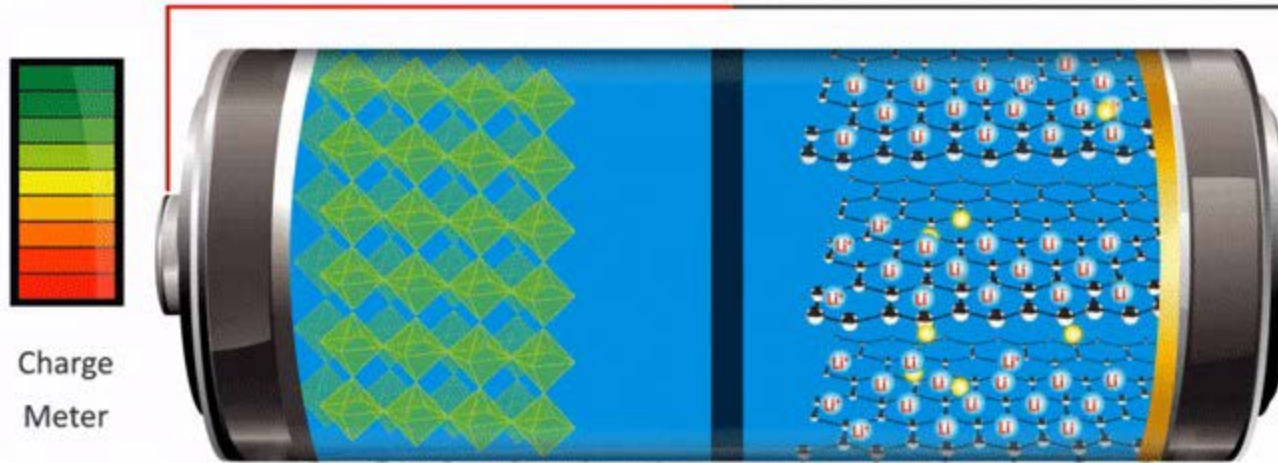
Major headwinds for EVs:

- (1) Range anxiety
- (2) Too expensive
- (3) Developing charging infrastructure

**Battery technology is the bottleneck**

# How Lithium-ion Batteries Work

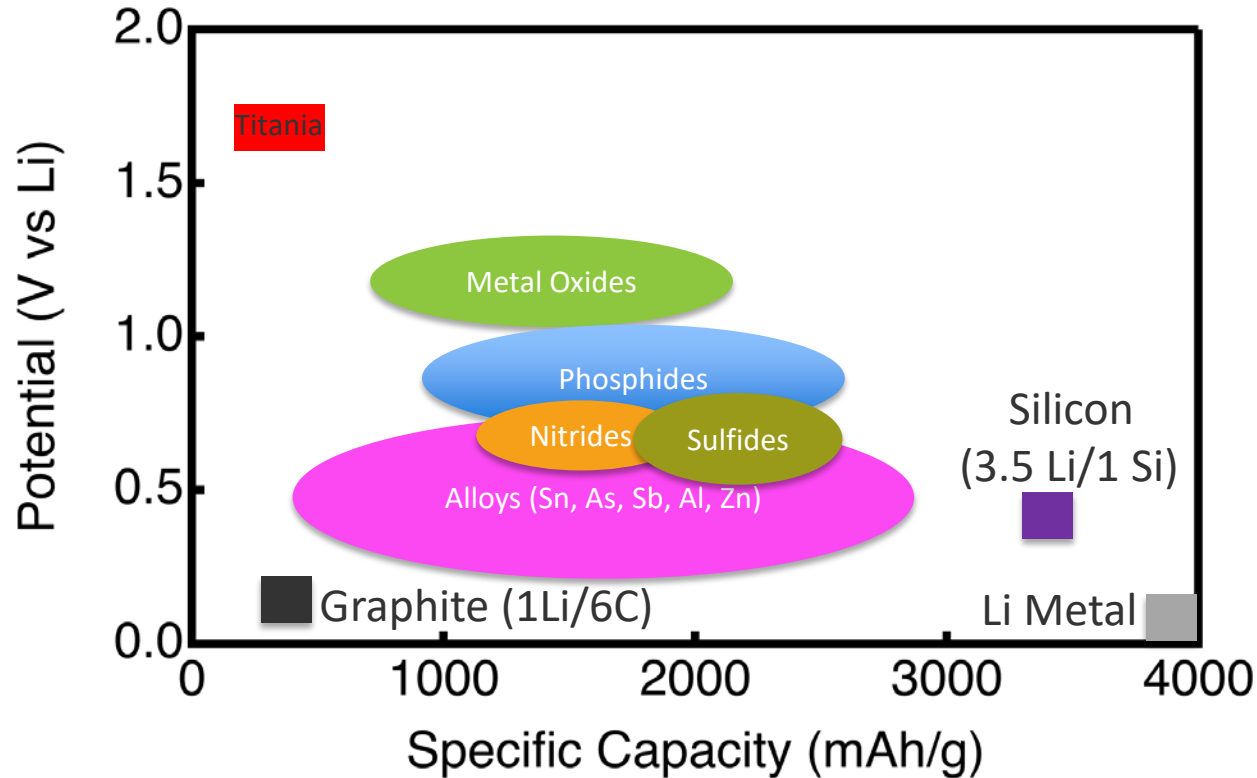
Discharge



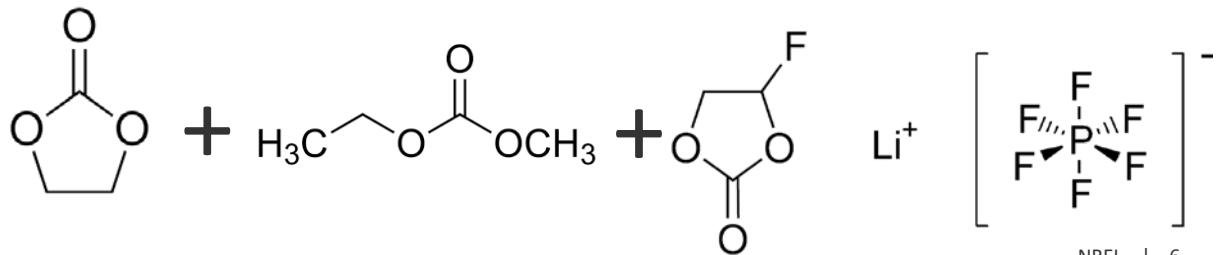
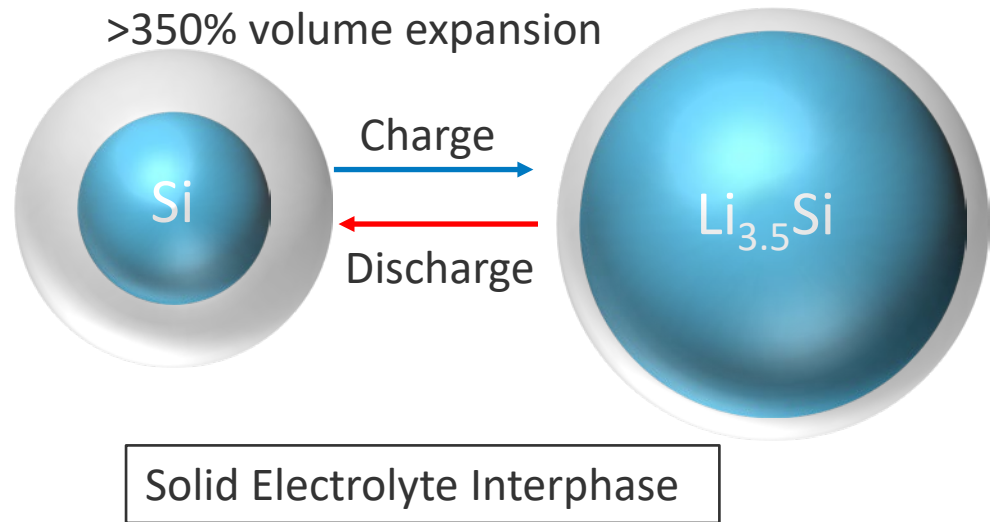
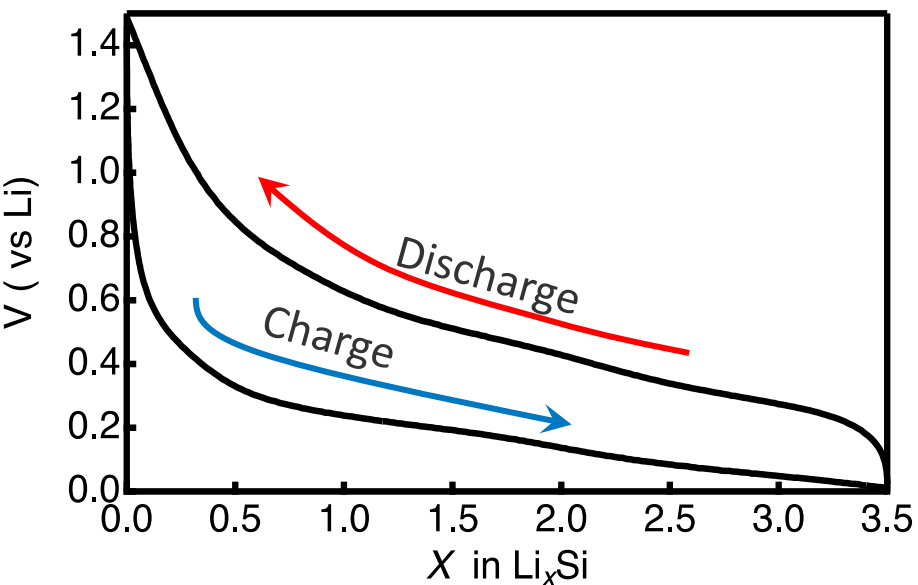
U.S. DEPARTMENT OF  
**ENERGY**

Office of ENERGY EFFICIENCY  
& RENEWABLE ENERGY

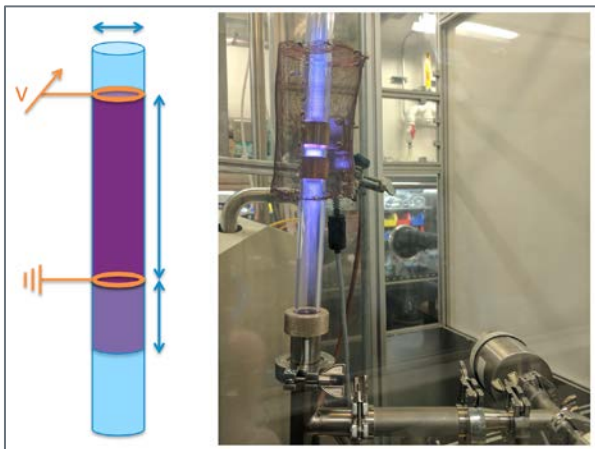
# Anode Active Materials



# Cycling Silicon Under Battery Operation



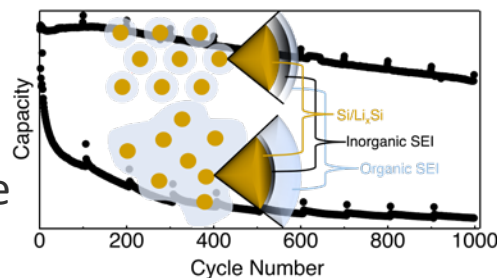
# Plasma Enhanced Chemical Vapor Deposition Si NPs



-PECVD synthesis enables precise control over silicon size, surface chemistry, and composition

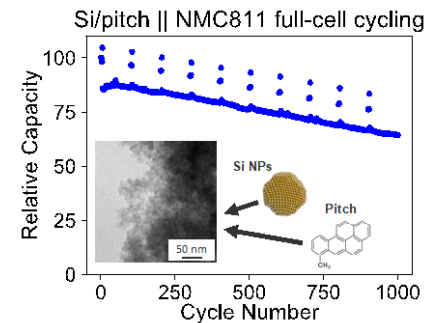
-Surfaces are terminated with  $\text{SiH}_x$  functional groups

-Radical chemistry to functionalize the silicon surface

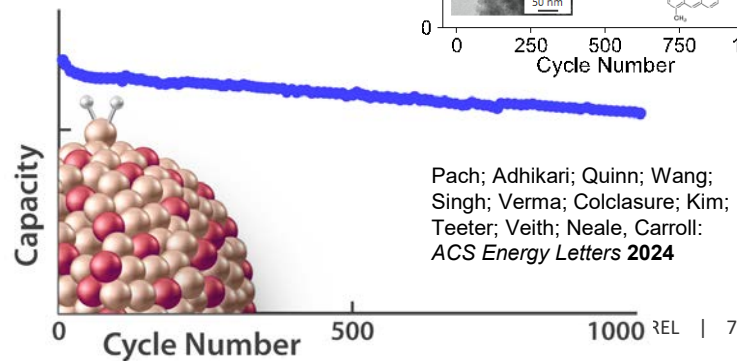


Schulze; Urias; Dutta; Huey; Coyle; Teeter; Doeren; Tremolet de Villers; Han; Neale; Carroll: *J. Mater. Chem. A* **2023**.

Schulze.; Fink; Palmer; Carroll; Dutta; Zwiefel; Engtrakul; Han; Neale, Tremolet de Villers: *Batteries and Supercaps*, **2023**.

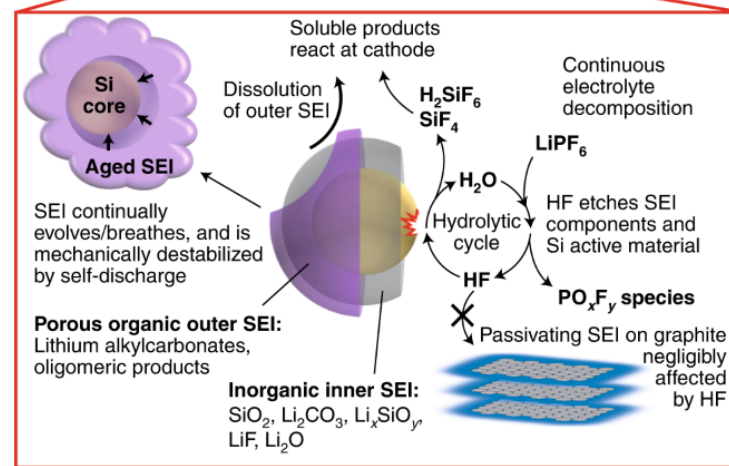
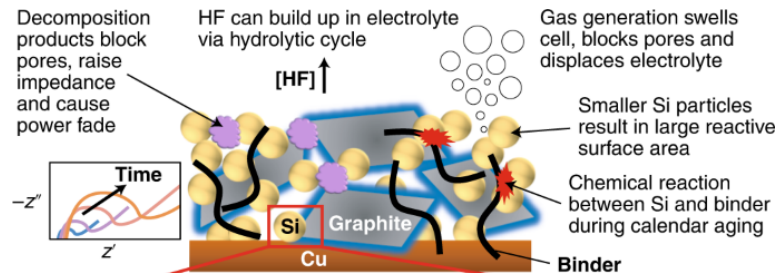
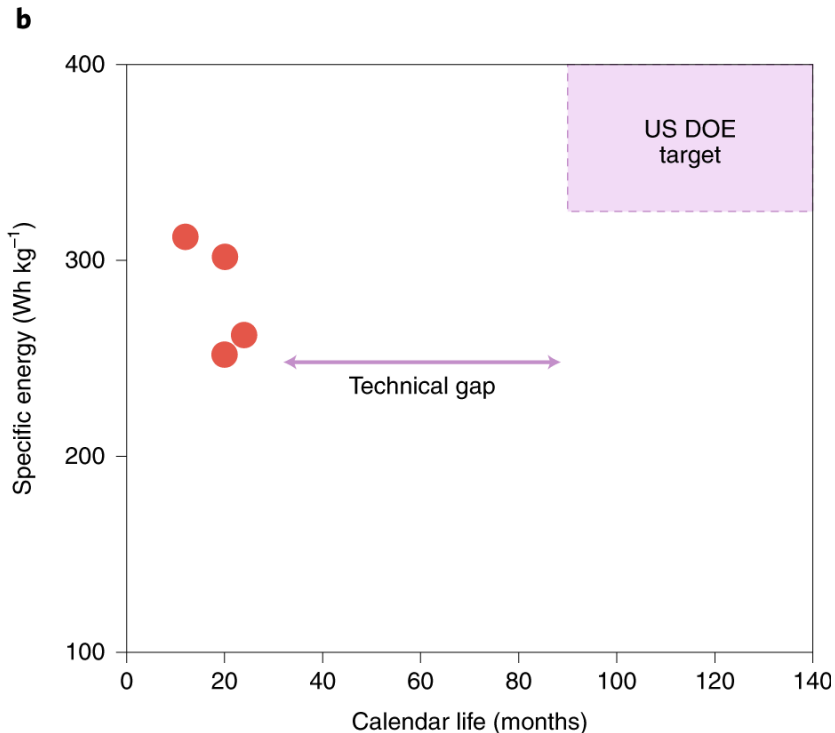


Pach; Adhikari; Quinn; Wang; Singh; Verma; Colclasure; Kim; Teeter; Veith; Neale, Carroll: *ACS Energy Letters* **2024**



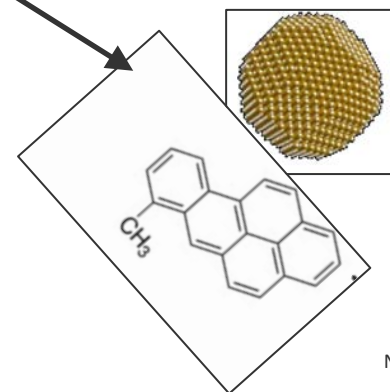
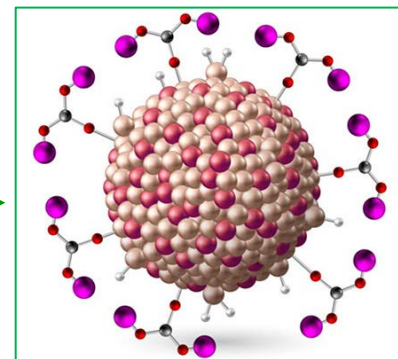
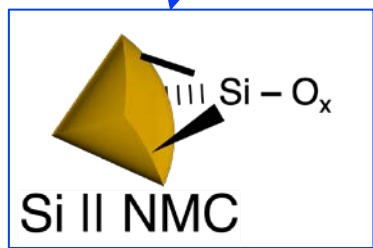
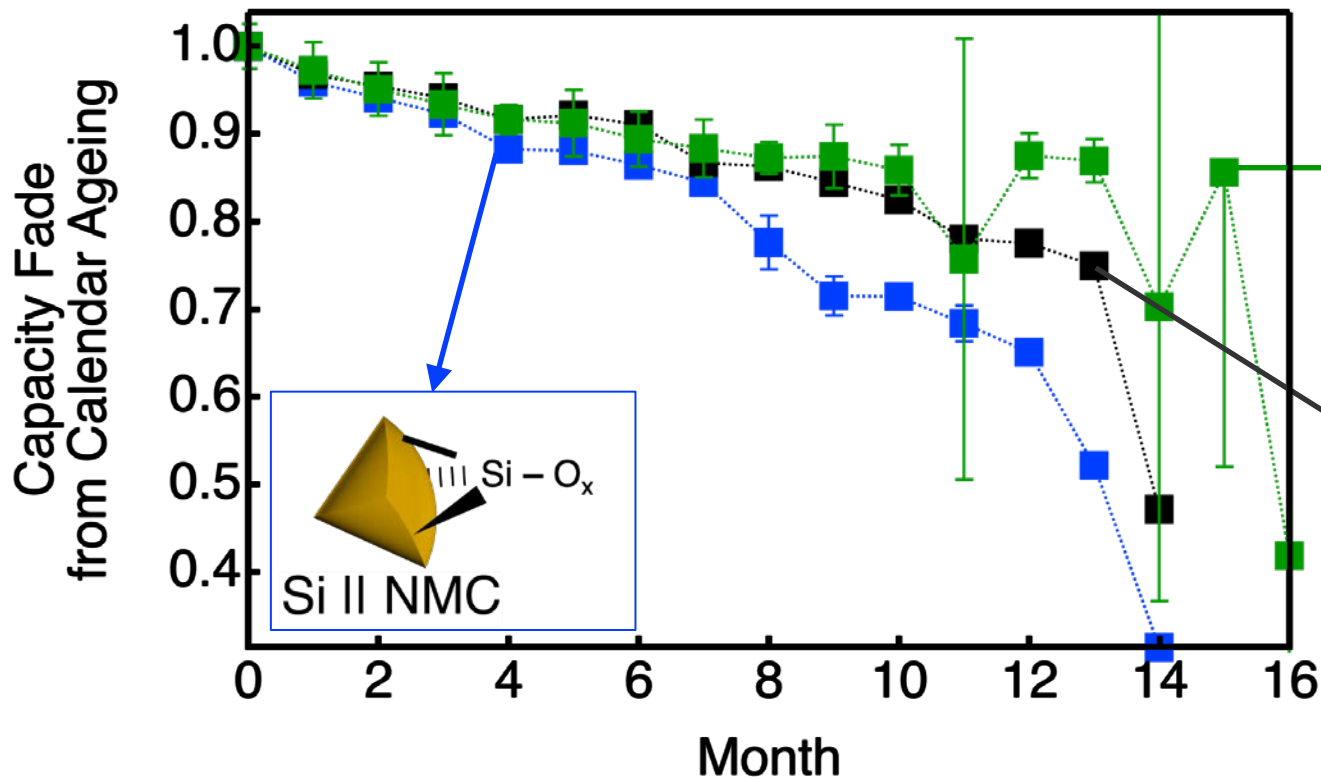


# Calendar Life in Silicon Containing Anodes

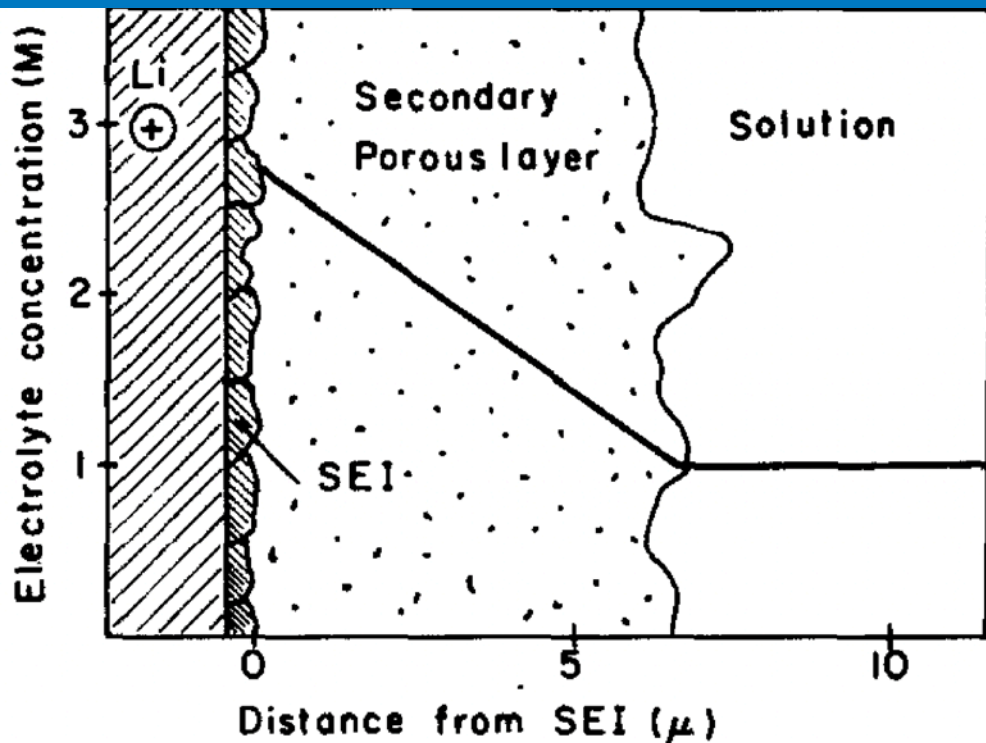




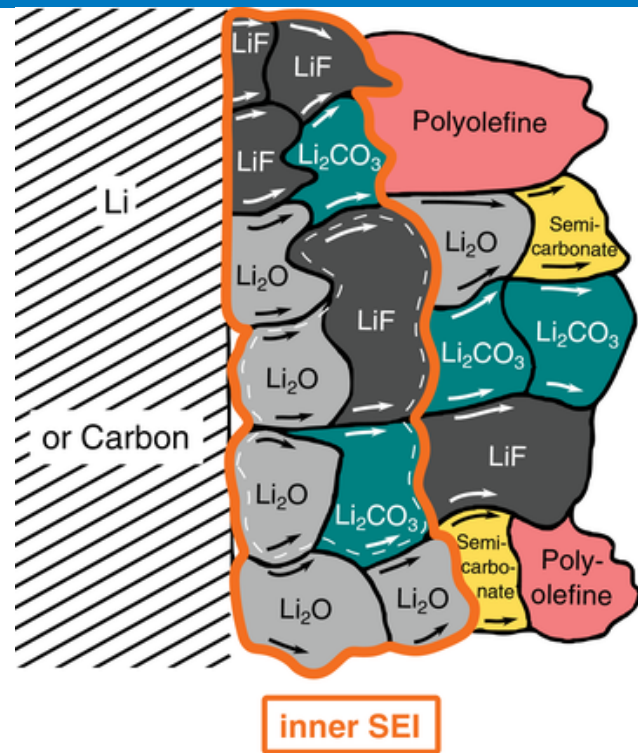
# Calendar Life Issues in Silicon Containing Anodes



# The SEI Model

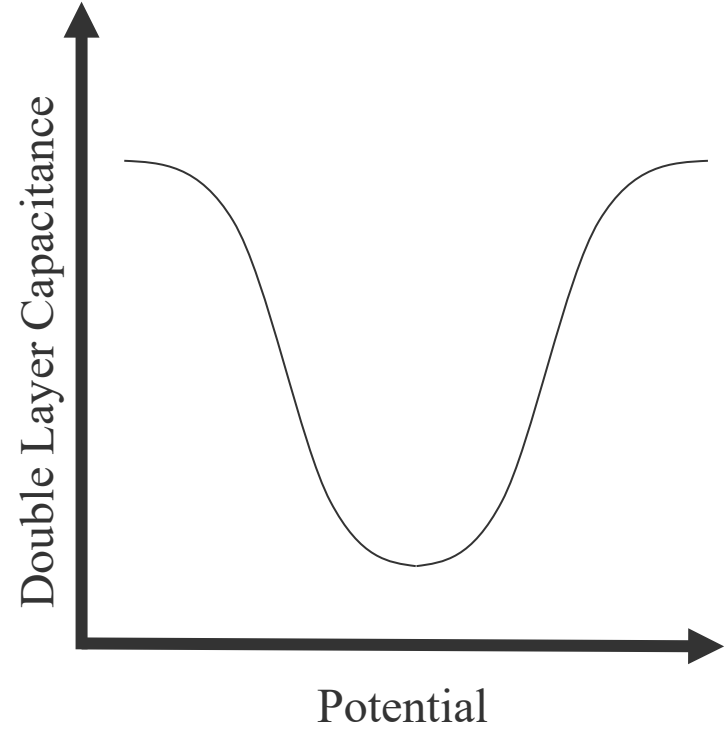
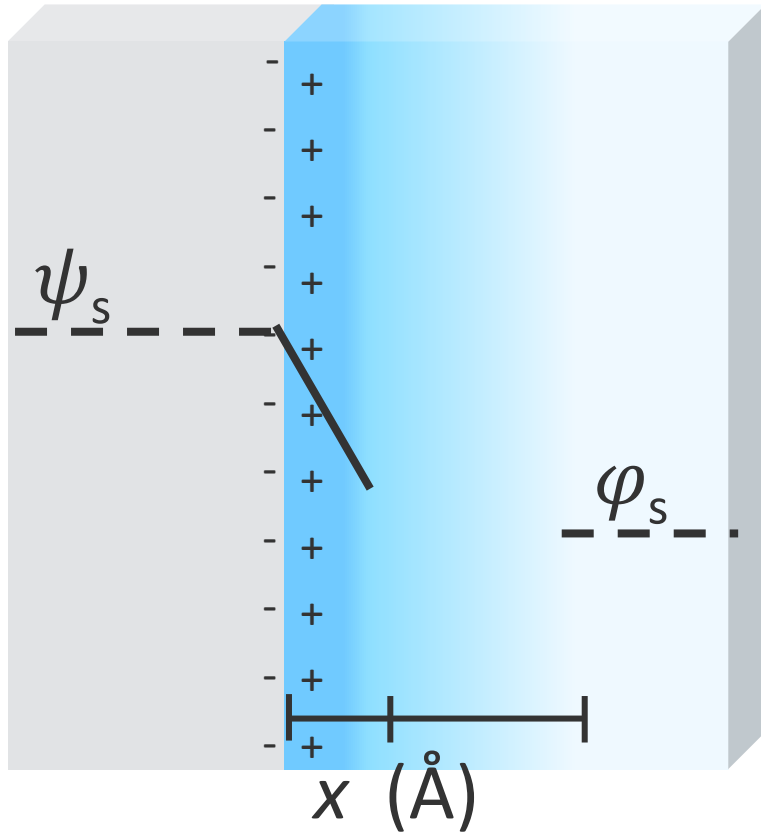


Emanuel Peled, *JPS* 1982

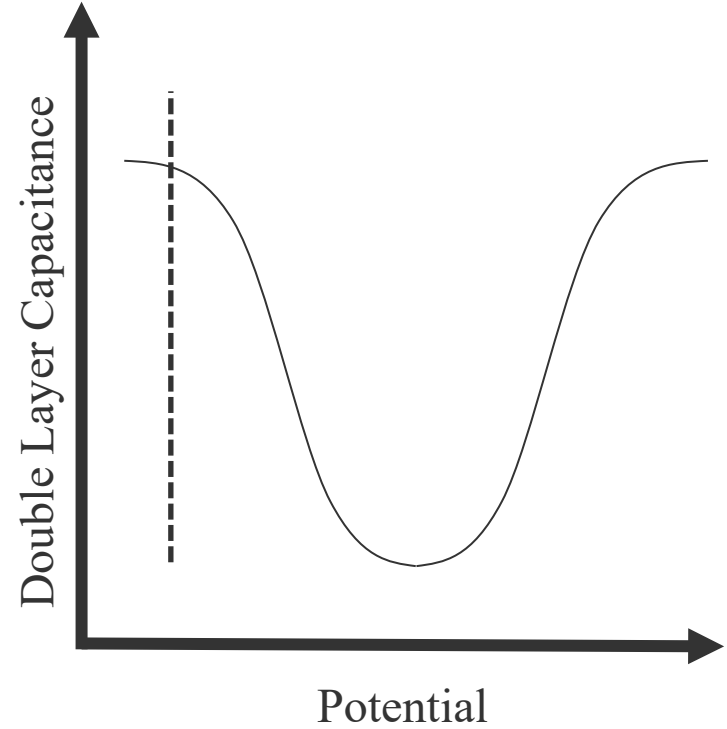
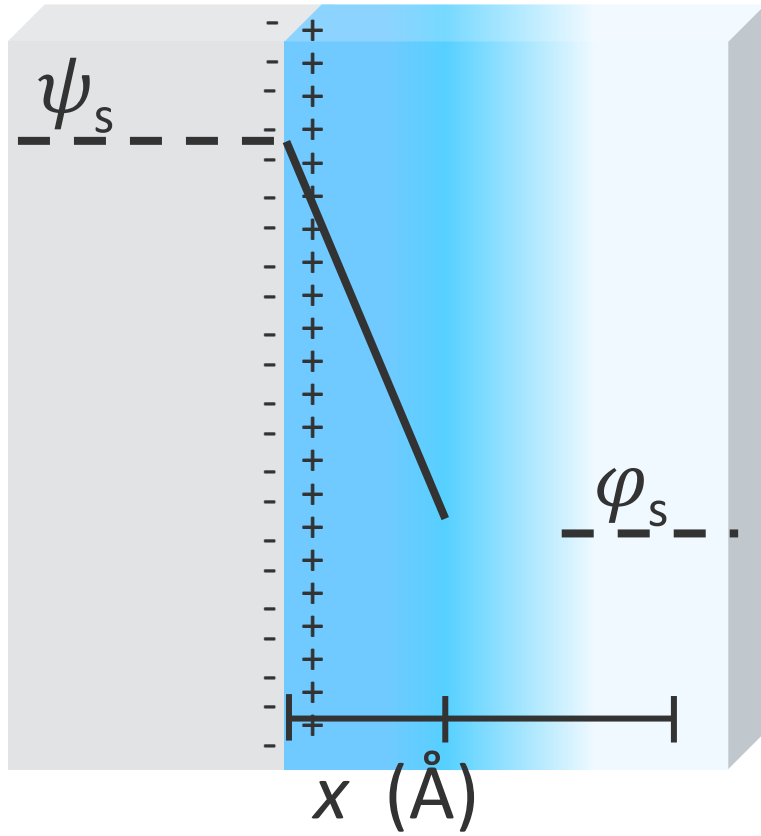


Krauss, et al., *Adv. Mater. Int.* 2022

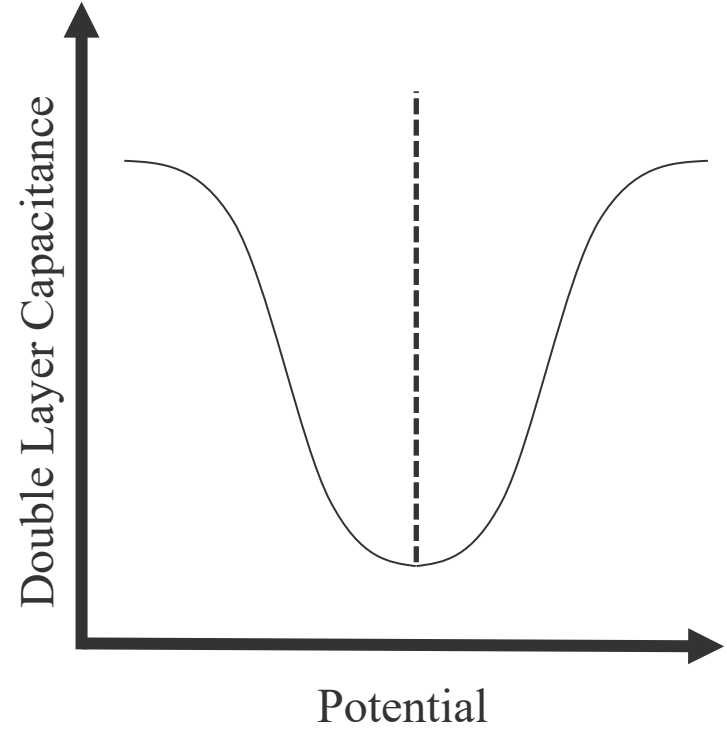
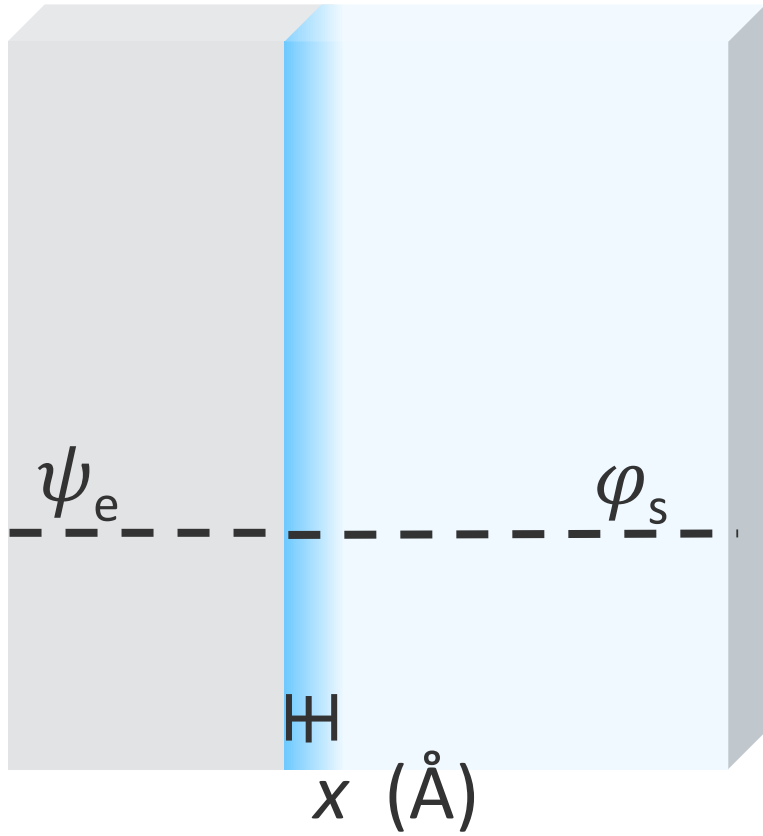
# The Classical Model



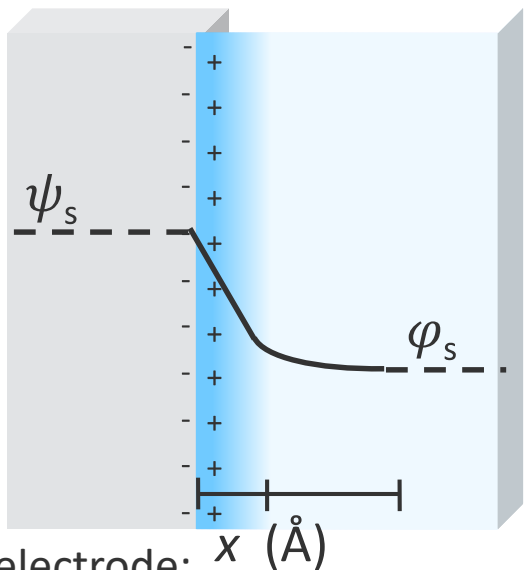
# The Classical Model



# The Classical Model



# (Re-)Connecting $\text{Li}_x\text{Si}$ to Model Interfaces



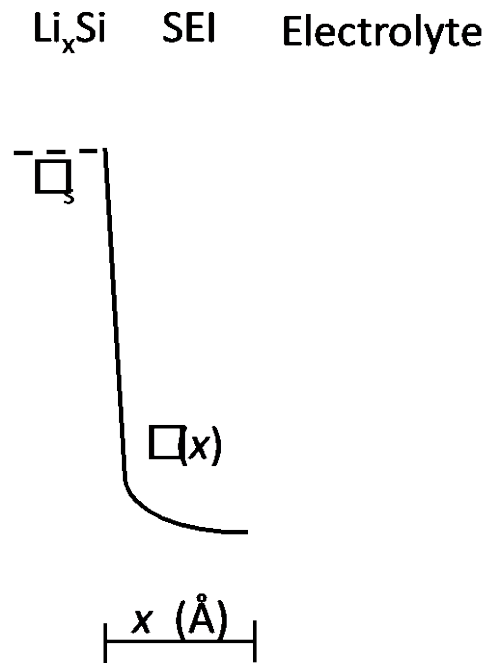
Ideal electrode:

(1) Static and well-defined electrode interface

(2) No hysteresis between cycling

→ No change to electrode surface

→ No change to electrolyte



Silicon electrode:

(1) Dynamic Si electrode interface with lithiation

(2) Poorly-defined interface ( $\text{Li}_x\text{Si}$ ?, SEI?,  $\text{Li}_x\text{Si}_y\text{O}_z$ )

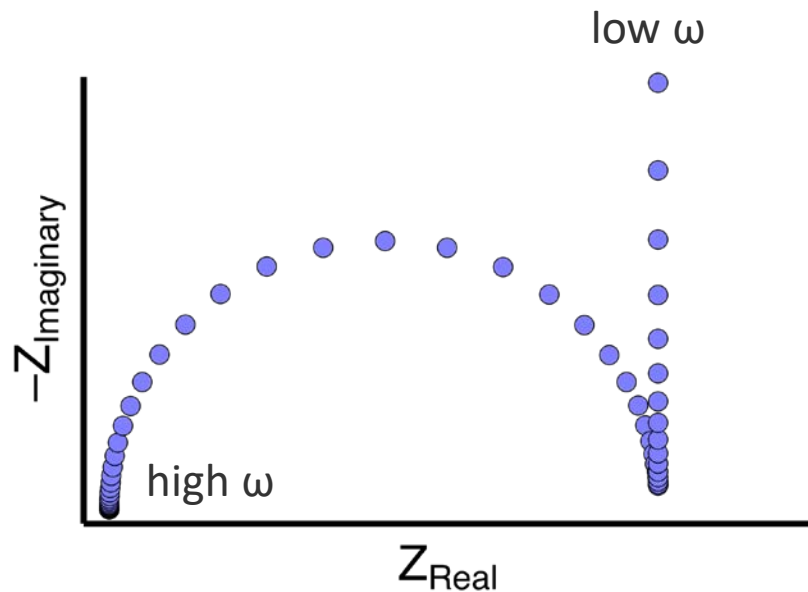
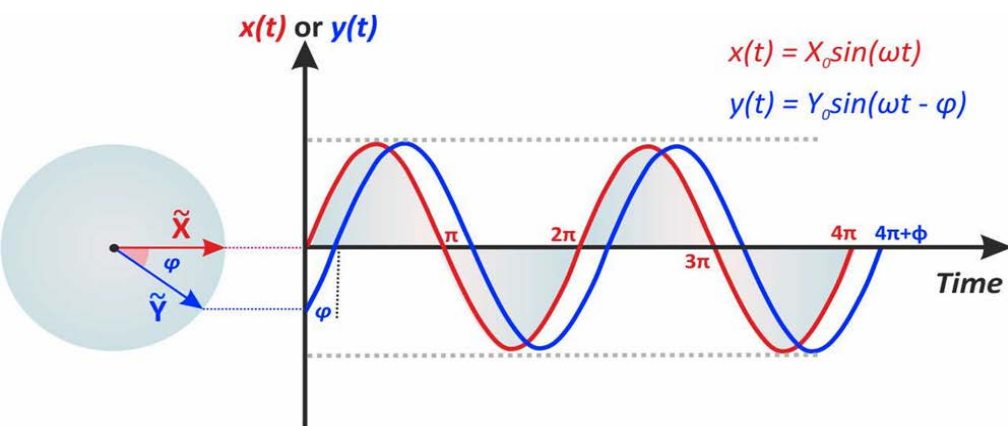
(3) Unstable

(intrinsically reactive)

(4) Hysteresis between cycles

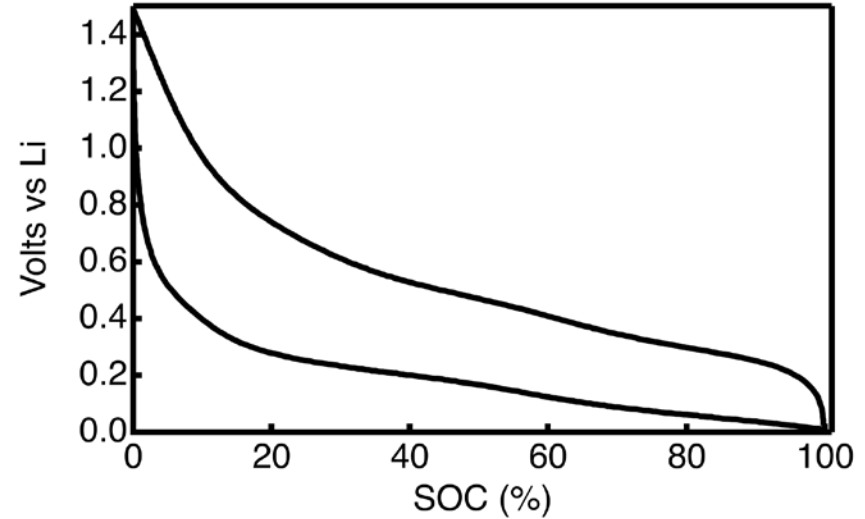
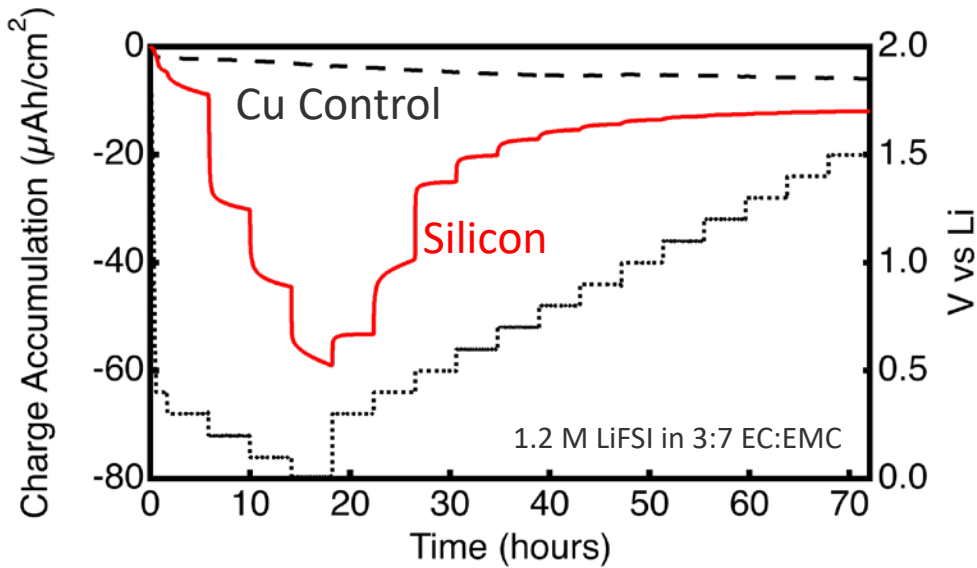
(5) Extremely heterogeneous

# Electrochemical Impedance Spectroscopy



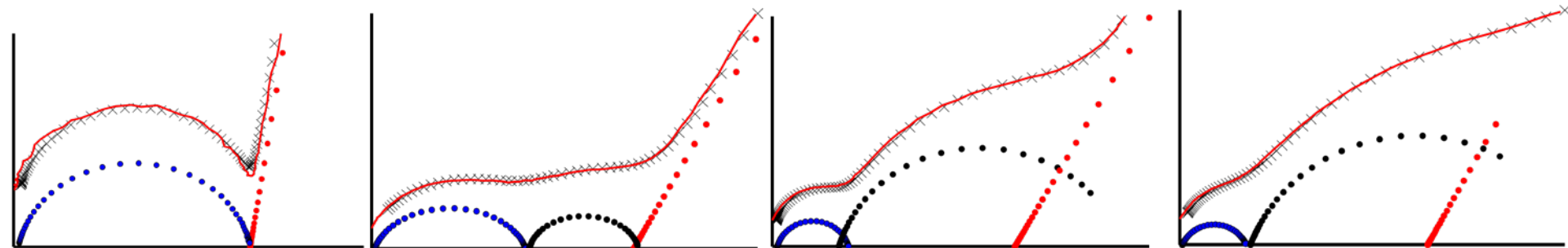


# SOC – Dependent EIS



Silicon lithiation states held at a constant potential  
Potentiostatic EIS performed at end of V-hold every 4 hours.  
Delithiation sweep investigated specifically

# Impedance Evolution on Delithiation



$E_W \leq 0.7$  vs Li

$E_W = 0.8$  V vs Li

$E_W = 0.9$  V vs Li

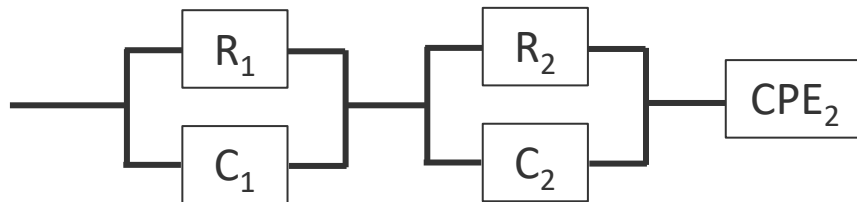
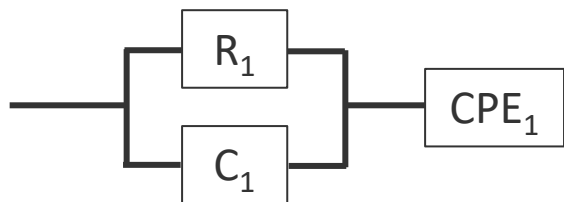
$E_W \geq 1$  V vs Li

SOC > 20%

SOC ~ 20%

SOC ~ 10%

SOC < 5%



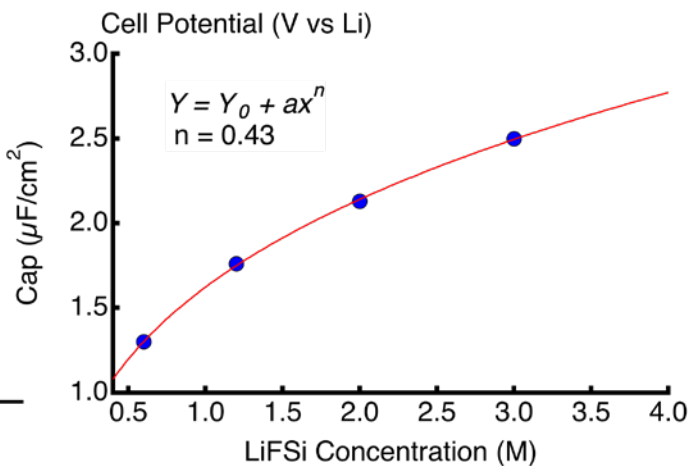
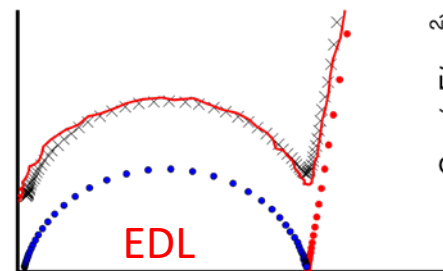
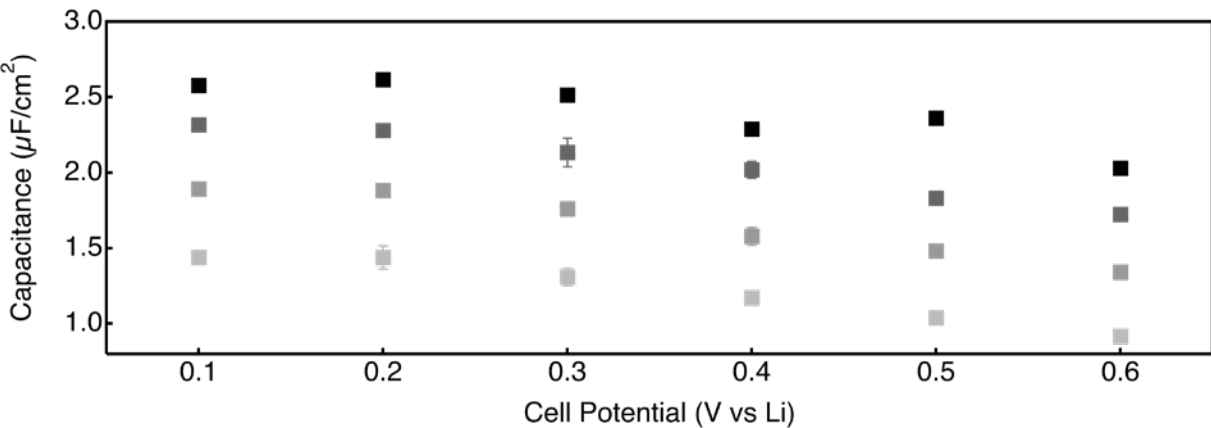
# Capacitive Feature Assignment

Double layer capacitance:

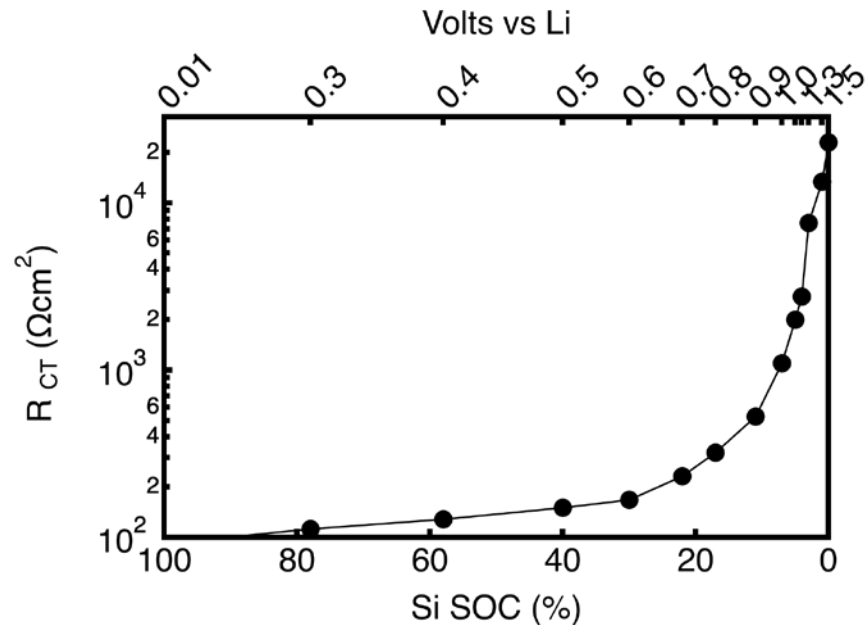
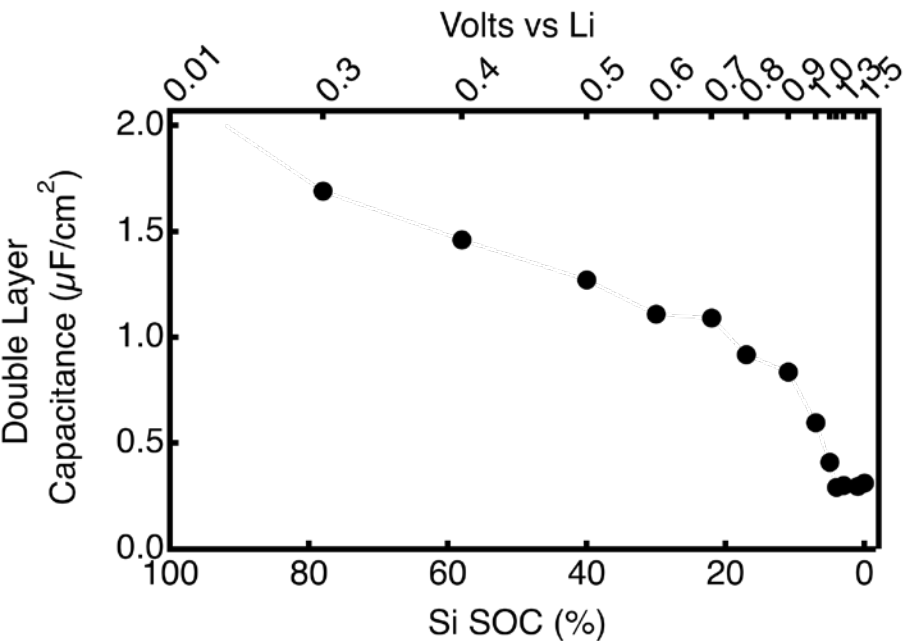
Differential capacitance is not zero

Capacitance is proportional to sq. root of ion activity  
( $\sim$  concentration<sup>1/2</sup>)

First semi circle is capacitance of EDL.

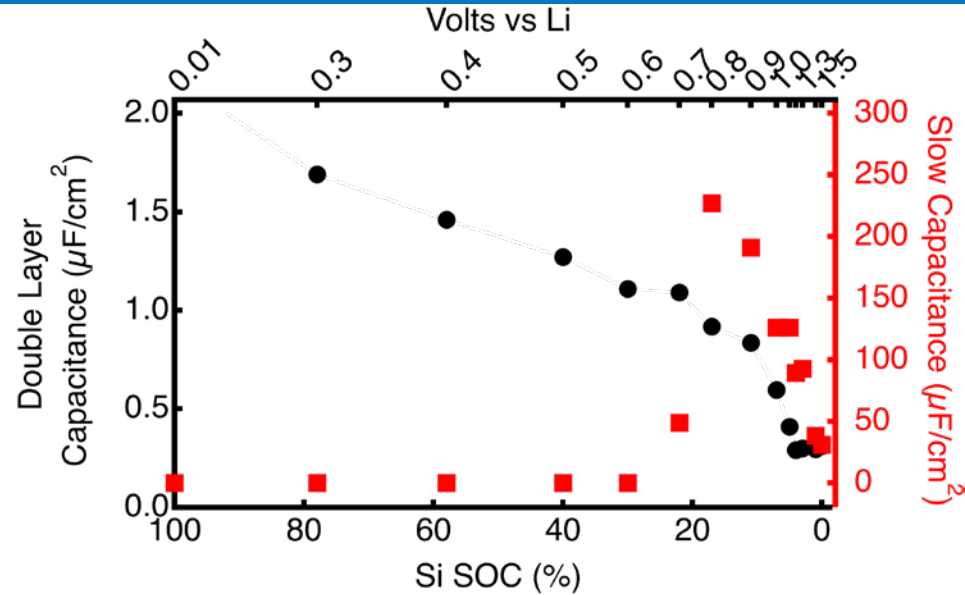
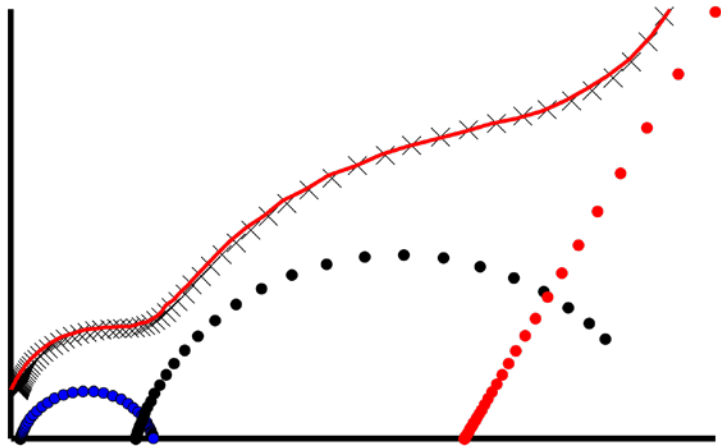


# EDLC and Charge Transfer Resistance



Inverse relationship between  $R_{CT}$  and  $C_{DL}$   
Indicates change in limiting step in charge transfer

# Slow Process Capacitance

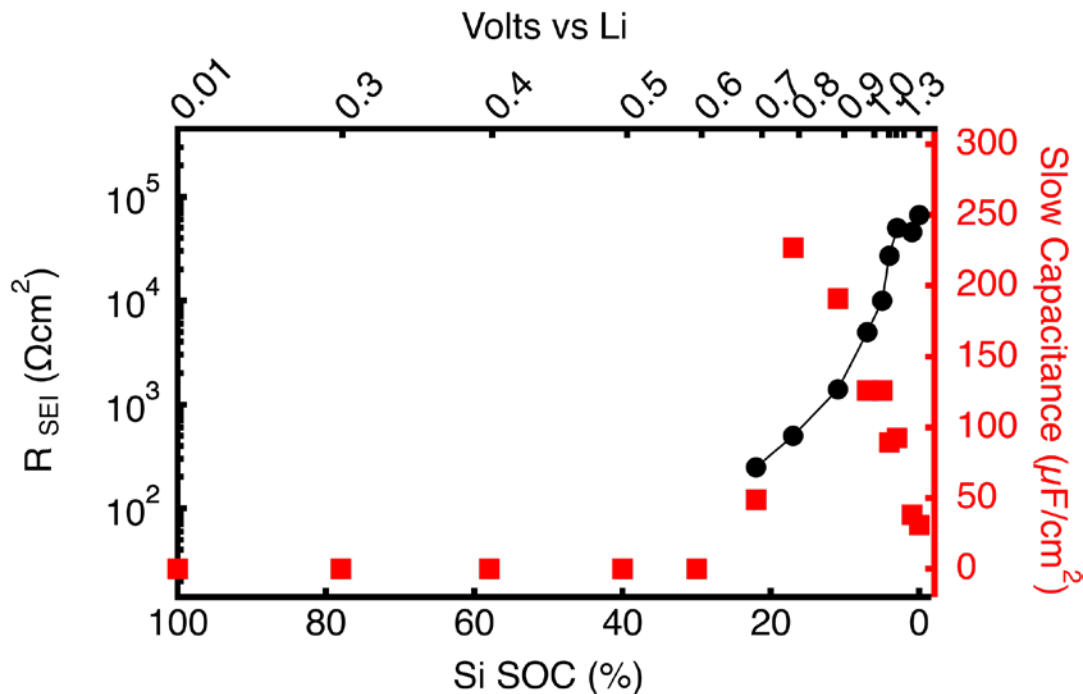


Capacitance of slow process has sharp increase at EDL drop

Slow capacitance is > 100 greater than EDL capacitance

Slow semicircle is likely SEI capacitance and resistance

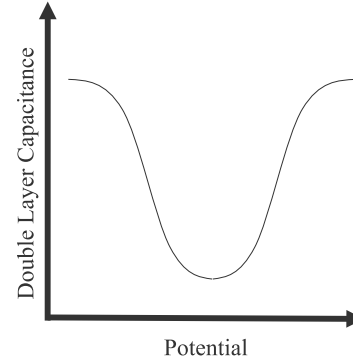
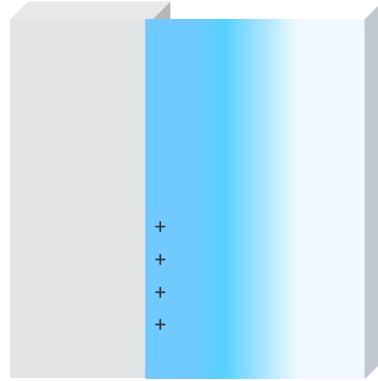
# Resistance of the SEI



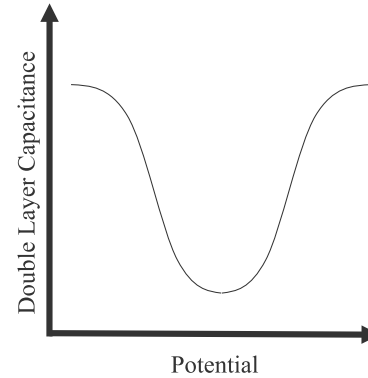
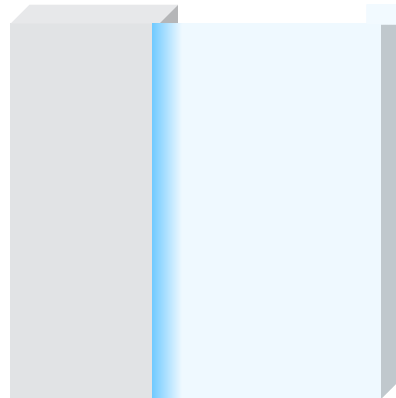
Resistance values keep climbing despite decreasing differential capacitance  
Suggests SEI continues to grow

# SOC-dependent EDLC

$\sim 90\% > \text{SOC} > \sim 20\%$



$\text{SOC} < \sim 20\%$





# Calendar Aging in Symmetric Cells

Si@PEO || Si@PEO

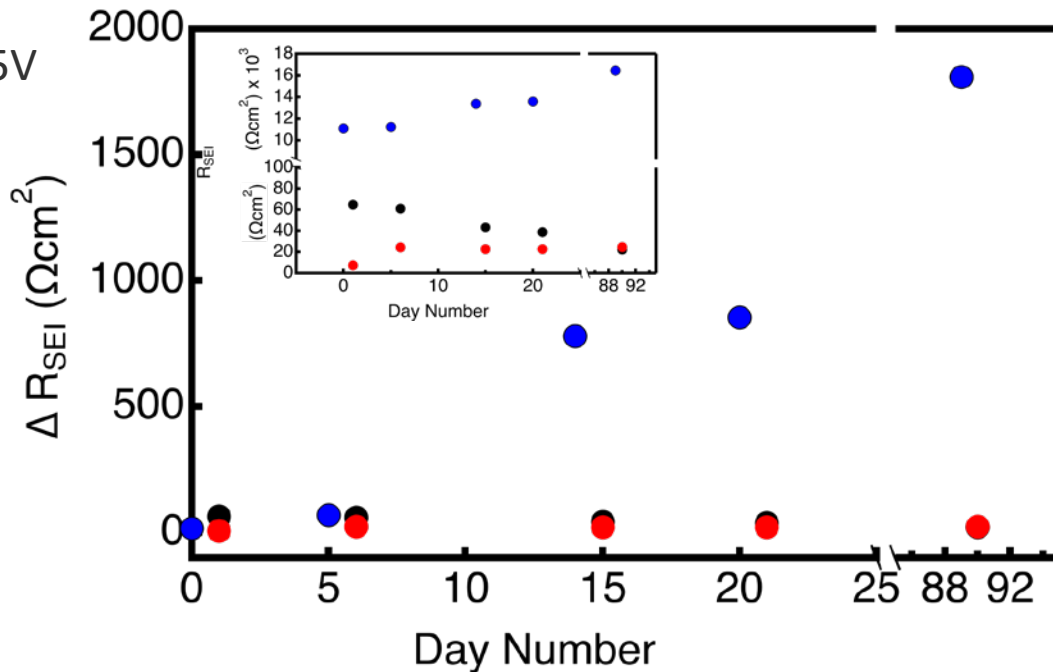
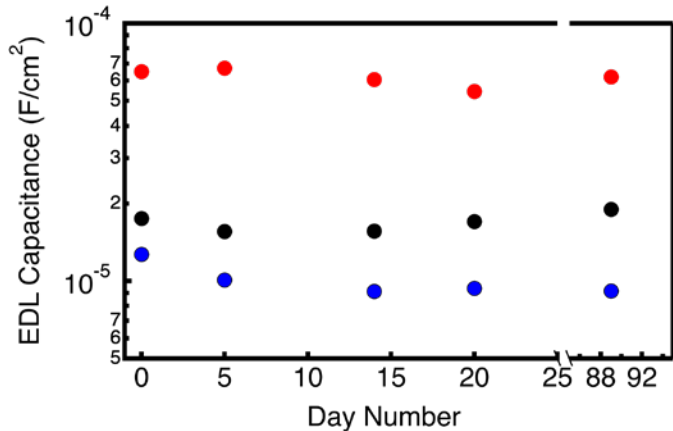
Gen2 electrolyte

Formed at C/10 between 0.01 and 1.5V

Delithiated to: 0.01 V, 0.4 V, 1 V

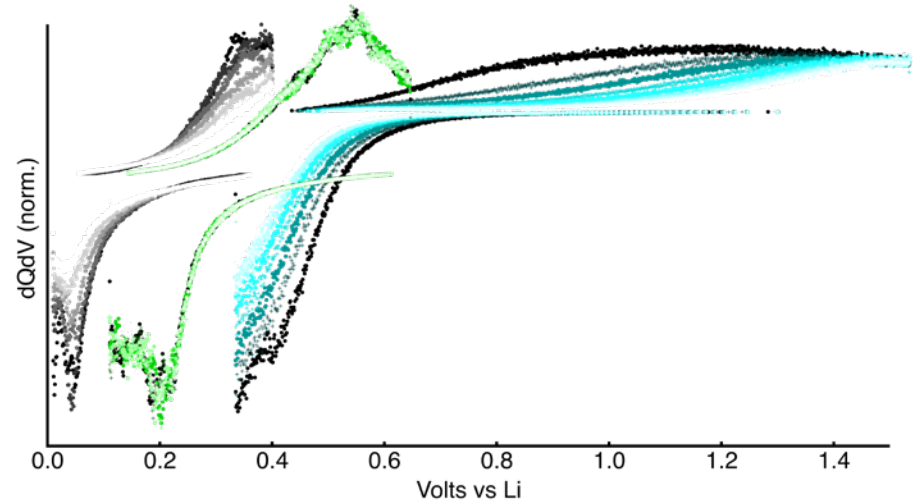
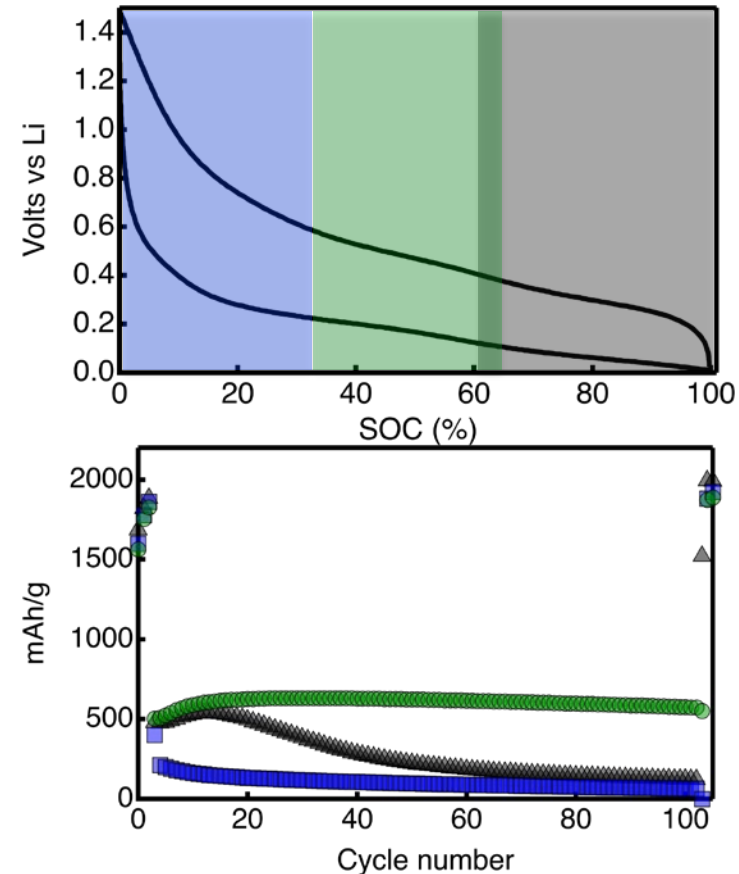
48 hr V-hold @ desired potential

Aged at 45 C at 0V vs  $\text{Li}_x\text{Si}$



Increasing impedance at low SOCs is consistent with PZC-like regime

# Cycle Lifetime (Composite Half cells)

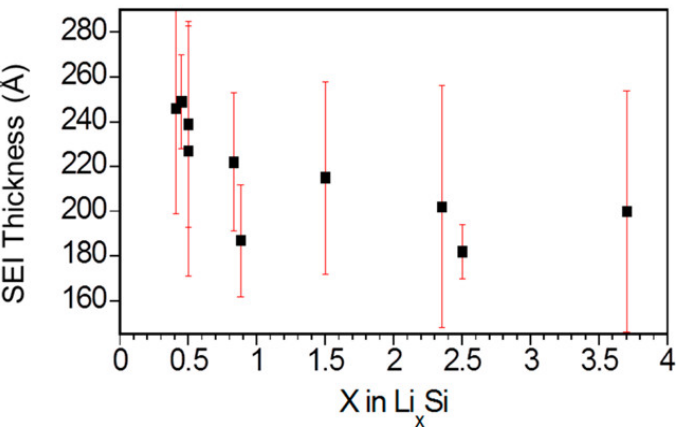


Large impedance gain in High SOC and Low SOC cycle domains

No apparent loss of active material

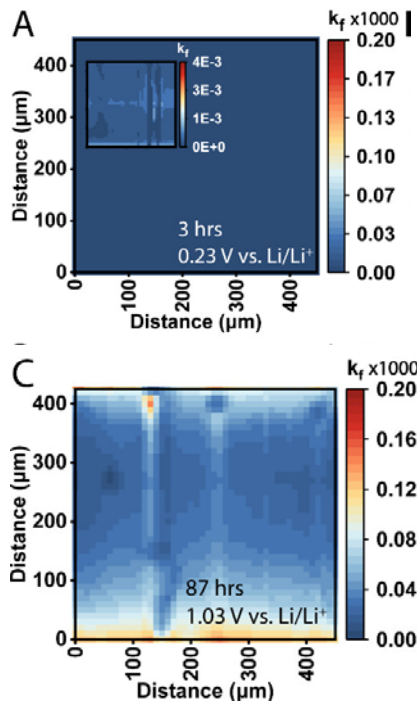
# SOC-Dependent SEI Electrostatic Picture

## Neutron Reflectometry Measurements of SEI thickness

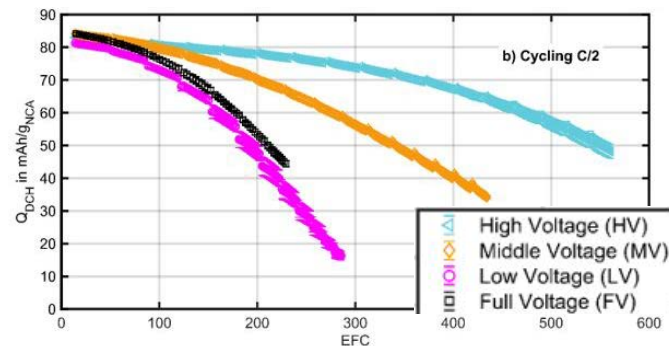


Veith, et al; *JPC* 2019

## Scanning Electrochemical Microscopy Measurements on SEI Passivation



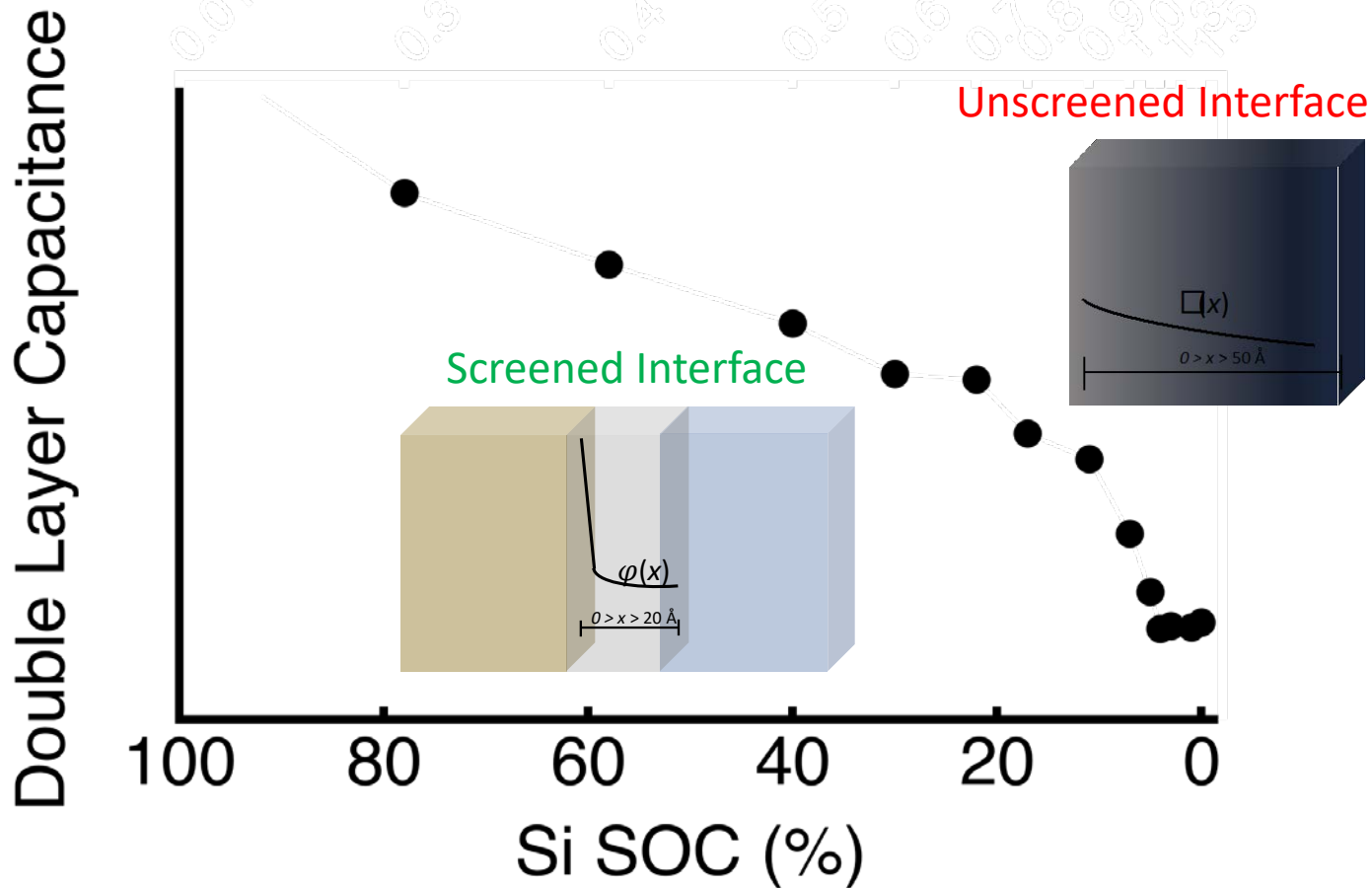
## Battery Cycling



Sven Friedrich, 245<sup>th</sup> ECS  
A02-0240 2024

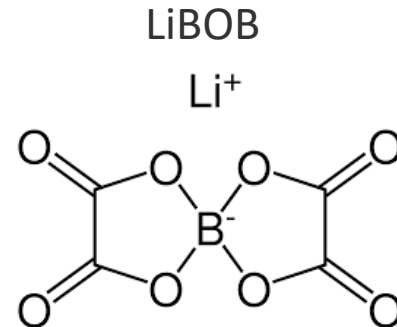
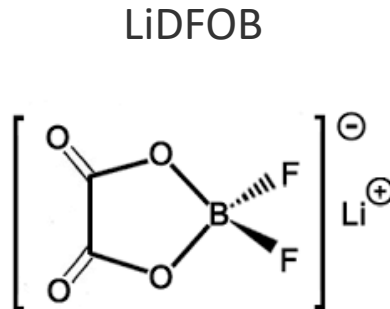
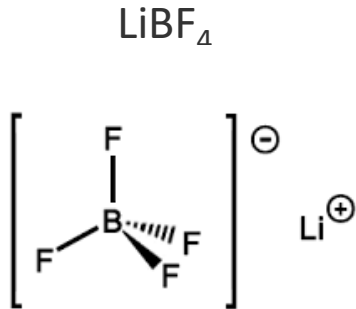
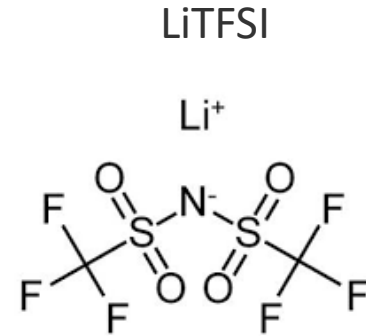
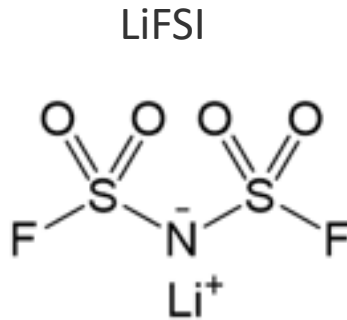
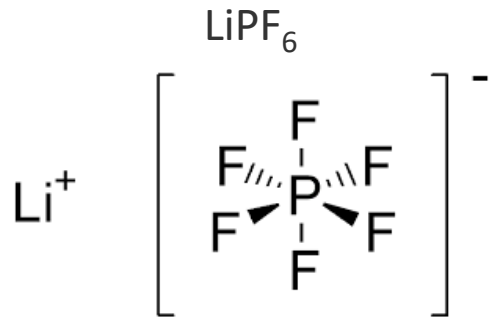
McBrayer, et al; *J. Appl. Mater. Interfaces* 2024

# SOC-Dependent SEI Electrostatic Picture

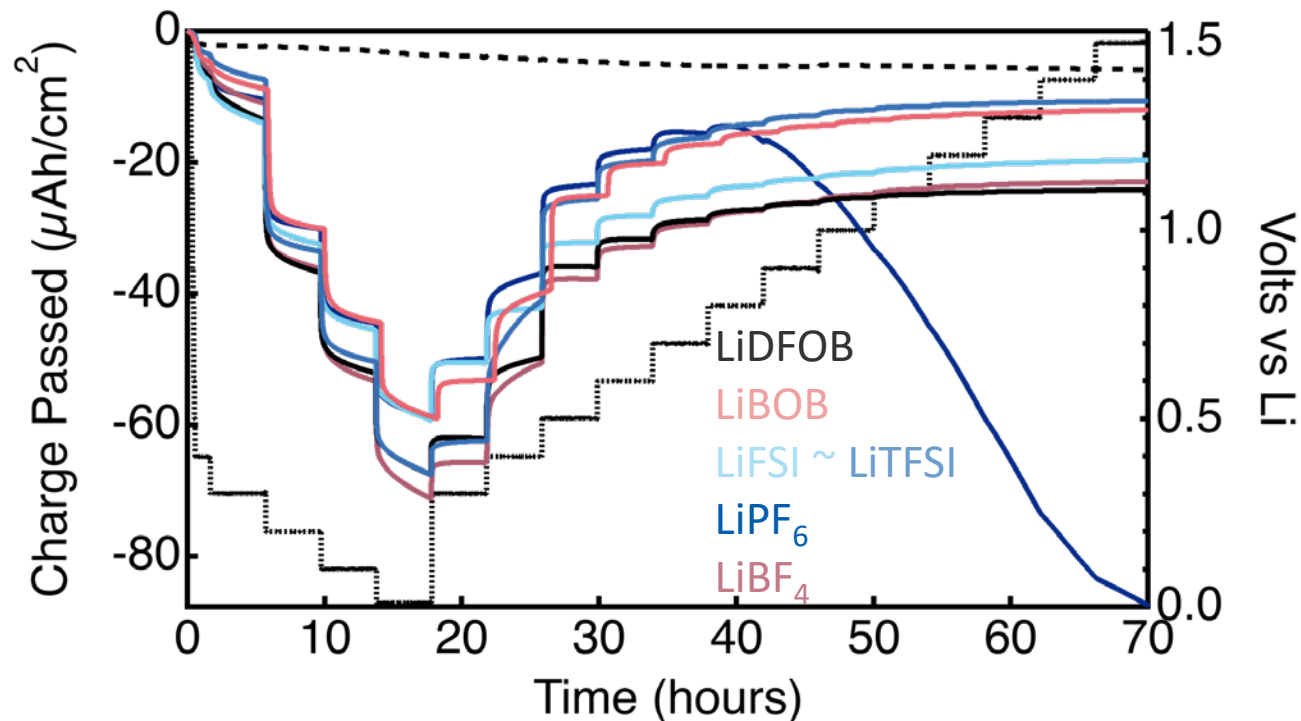


# Anion Variation

1.2M LiX dissolved in 3:7 EC:EMC



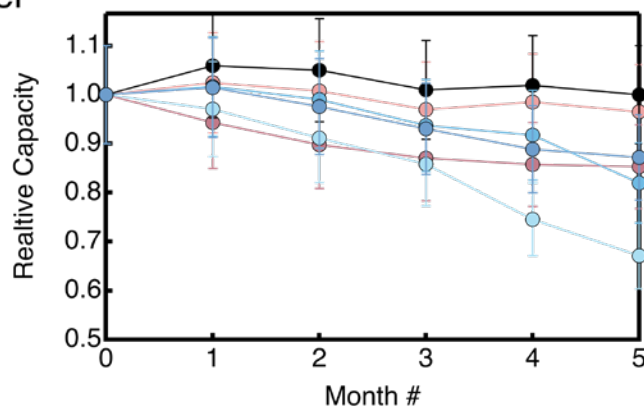
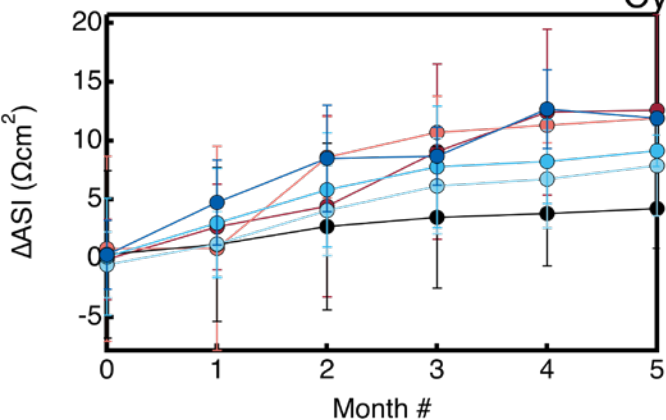
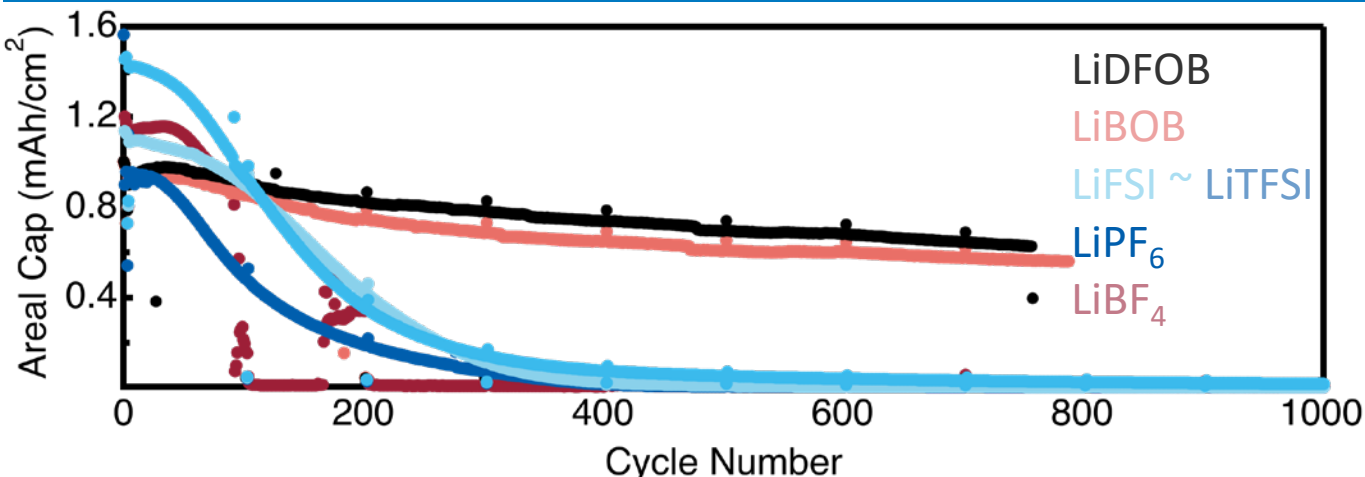
# Charge Accumulation



LiPF<sub>6</sub> coupled with EC shows continuous current at low SOC's  
All other electrolytes are stable at low SOC's

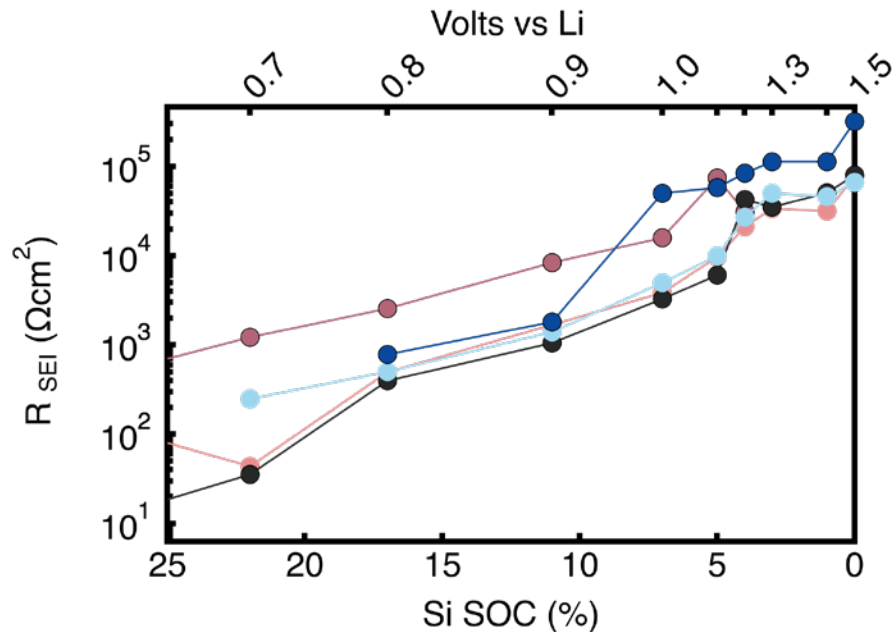
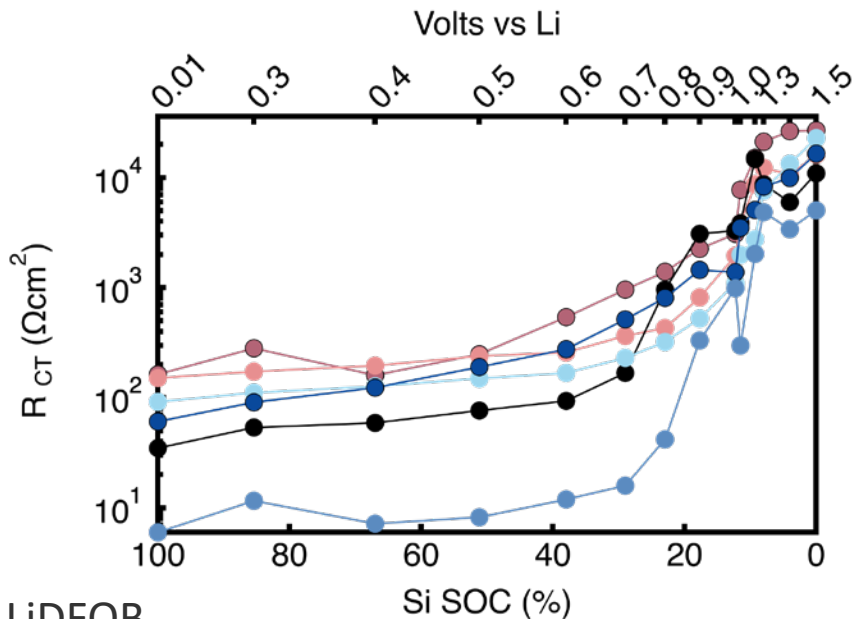
# Cycle/Calendar Lifetime vs LFP (n-limited)

Si@PEO || LFP  
1.2 M salt  
3:7 EC:EMC  
coin cell  
non-prelithiated





# Resistances



LiDFOB

LiBOB

LiFSI ~ LiTFSI

LiPF<sub>6</sub>

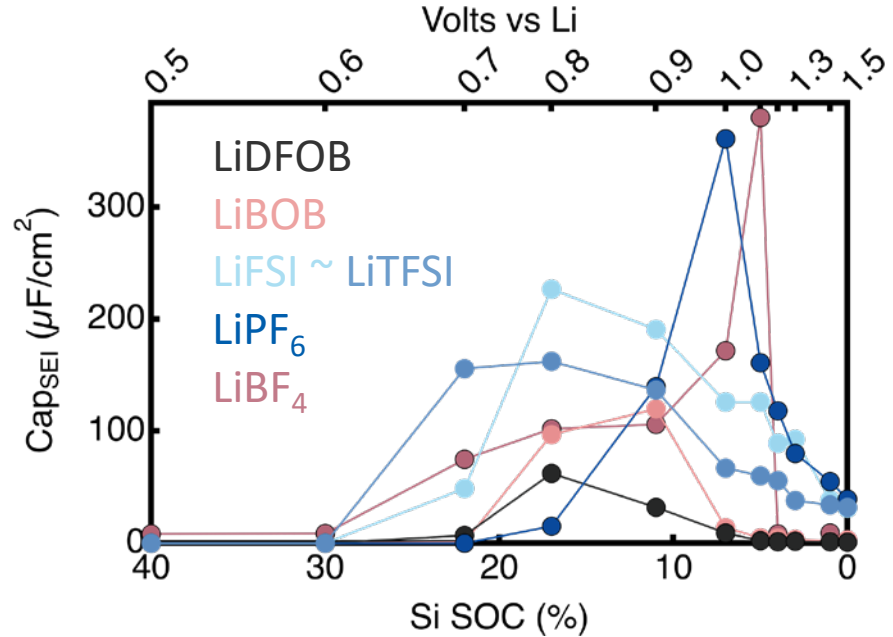
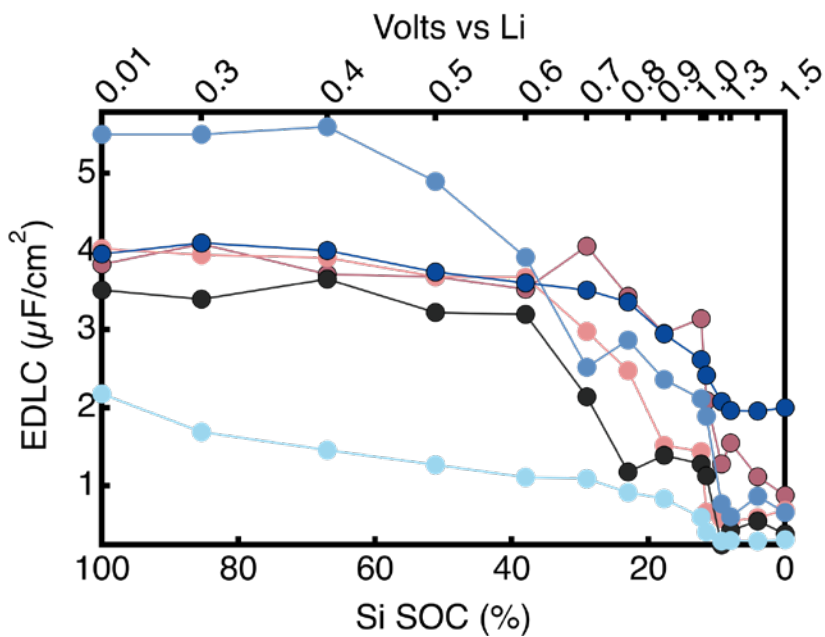
LiBF<sub>4</sub>

$R_{CT}$  and  $R_{SEI}$  follow the same trajectory for all salts

$R_{CT}$  values vary significantly

$R_{SEI}$  are generally the same

# Capacitance and Passivation



Onset for SEI capacitance gain tracks with the drop in EDLC (except LiBF<sub>4</sub>)

→ Expected as PZC is set by electrode *and* electrolyte

Capacitance in SEI roughly follows performance → lower SEI capacitance is signature of more passivated surface

# Conclusions

1. Lithium silicide interface and SEI can be understood through the classical model of electrochemical interfaces
  - EIS enables measurements of relevant properties at a very non-ideal electrochemical interface
2. EDLC measurements reveal that  $\text{Li}_x\text{Si}$  have very dynamic surface electrostatics:
  - At SOC < ~20% the electrode surface resembles a structure analogous to the PZC
  - The PZC regime is least passivated--> fastest impedance gain in calendar life cells
  - The PZC regime may be appropriate for accelerating calendar life measurements and assessing the passivation of the SEI with different electrolytes
3. Measurements of different anions:
  1. The onset of PZC regime depends on anions
  2. Anions play a large role in passivation
  3. The degree of passivation ( $C_{\text{SEI}}$ ) strongly correlates with cycle and calendar life

# Conclusions

1. Cycle life in majority Si anodes is less relevant than calendar life
2. Lithium silicide interface and SEI can be understood through the classical model of electrochemical interfaces
  - EIS enables measurements of fundamental electrochemical interface properties of a highly non-ideal electrochemical interface
3. The interface structure and mass transport properties are strongly dependent on the electrode state of charge

*If your battery has silicon in it, don't store it fully charged or discharged*

# The Silicon Consortium Project

Baris Key	Rachel Korkosz	Ankit Verma	Francois Usseglio-Viretta	Anton Tomich	Jack Vaughey
Sohyun Park	Eric Dufek	Petter Weddle	Donal Finegan	Alison Dunlop	Chris Johnson
Zhengcheng Zhang	Jack Deppe	Bertrand Tremolet de Villers	Max Schulze	Marco Tulio Fonseca Rodrigues	Tyler Sweet
Joseph Kubal	Kevin Gering	Kae Fink	Matt Keyser	Wenquan Lu	Gabe Veith
Devashish Salpekar	Bumjun Park	Ryan Tancin	Pashupati Adhikari	Evelyna Wang	Beth Armstrong
Brian Ingram	Mauresh Savargaonkar	Mike Carroll	Pallavi Sundaram	Fulya Dogan Key	Khryslyn Arano
Steve Trask	Robert Kostecki	Jae Ho Kim	John Farrell	Lily Robertson	Amanda Musgrove
Avi Gargye	Tony Burrell	Zoey Huey	Nina Prakash	Daniel Abraham	Robert Sacci
Zhenzhen Yang	Eric Allcorn	Chun-Sheng Jiang	Trevor Martin	Andrew Jansen	Steven Lam
Glenn Teeter	Megan Diaz	Jasmine Tabatabai	Jackson Pope	Nate Neale	Jie Xiao
Jaclyn Coyle		John Westgard	Erika Hunting	Katie Harrison	Chongmin Wang
Juliane Preimesberger		Qian Huang	Lydia Meyer	Andrew Colclasure	Joseph Quinn
		Josey McBrayer			

# Questions?

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**[www.nrel.gov](http://www.nrel.gov)**

Mike Carroll

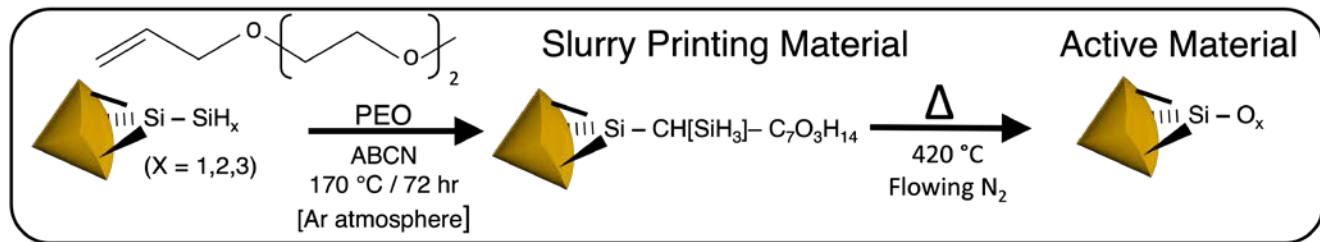
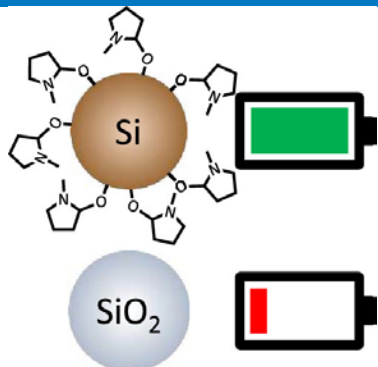
[mike.carroll@nrel.gov](mailto:mike.carroll@nrel.gov)

NREL/PR-5900-90535

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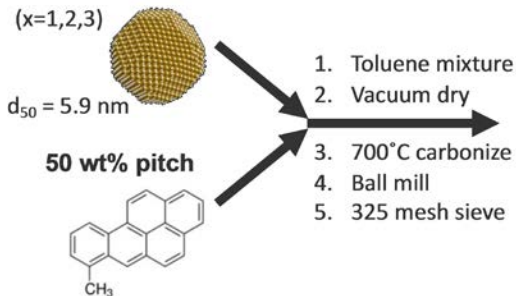
# Controlling the Surface Chemistry of Si Electrodes



Schulze, ... Carroll; *J. Mater. Chem. A* **2023**

Carroll, ... Neale; *ACS Appl. Energy Mater.* **2020**

(a) 50 wt% Si@SiH<sub>x</sub> NP



Schulze, et al.; *Batteries and Supercaps* **2023**

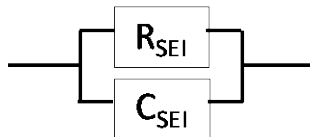


Pach, ... Carroll; *ACS Energy Letters* **2024**

# EIS of a Redox Active Thin Film (SEI) at a Reflective Boundary.

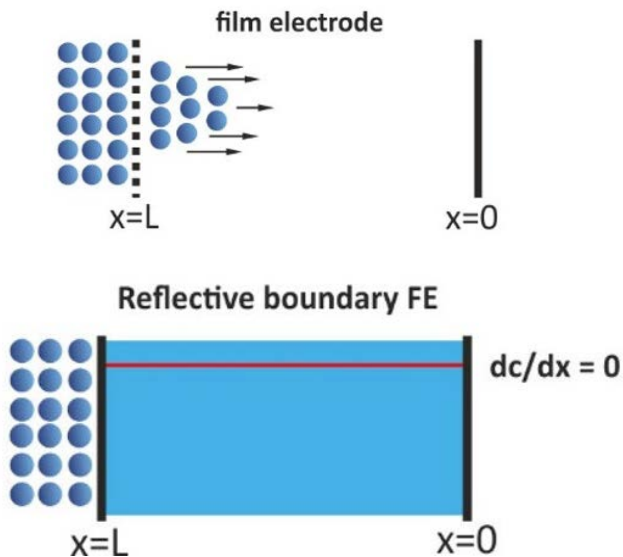
(1) Faradaic  
Redox Chemistry  
 $\text{SEI} + e^- + \text{Li}^+ \rightleftharpoons \text{SEI}(\text{Li})$

Randles' Circuit



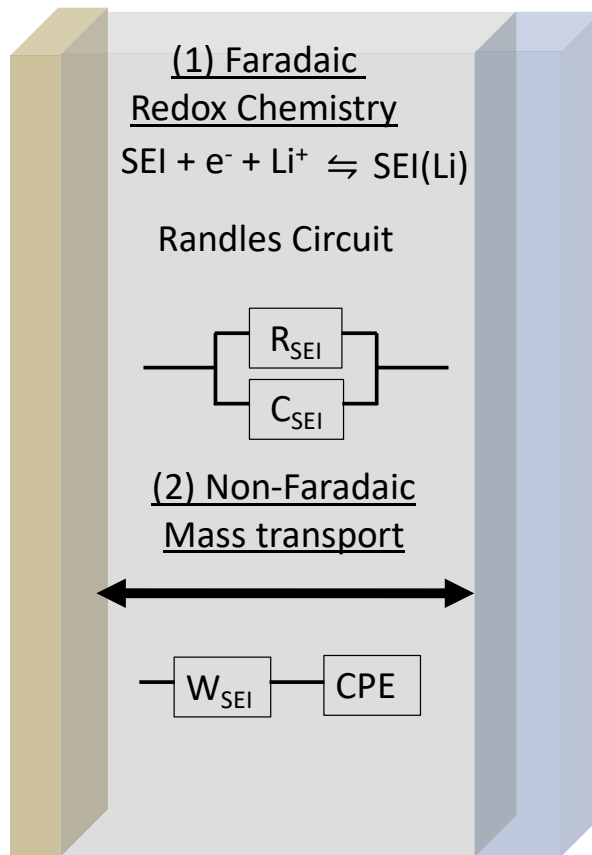


# Mass Transport Through the SEI

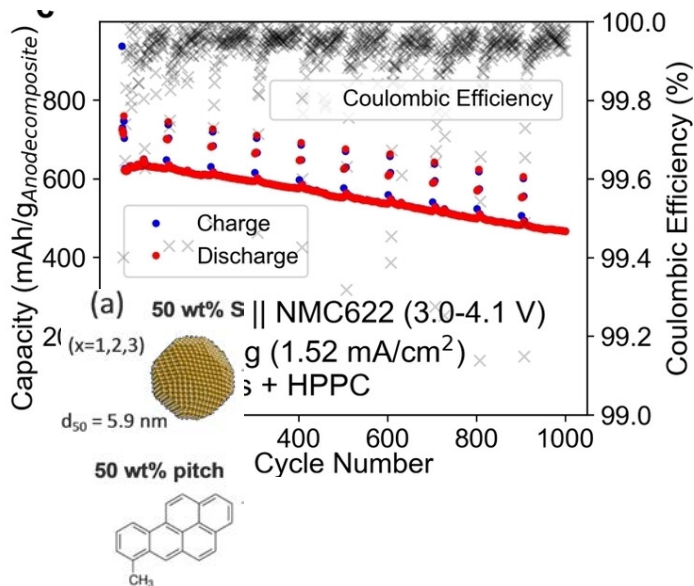


$$Z_t(\omega) = \frac{\coth(B\sqrt{j\omega})}{Y_0\sqrt{j\omega}}$$

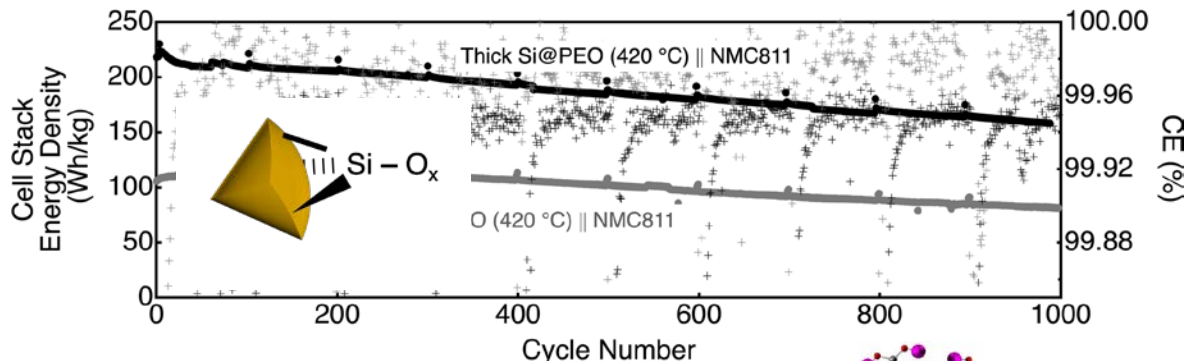
$$\beta = \frac{\text{diffusion layer thickness}}{\text{diffusion coefficient}^{1/2}}$$



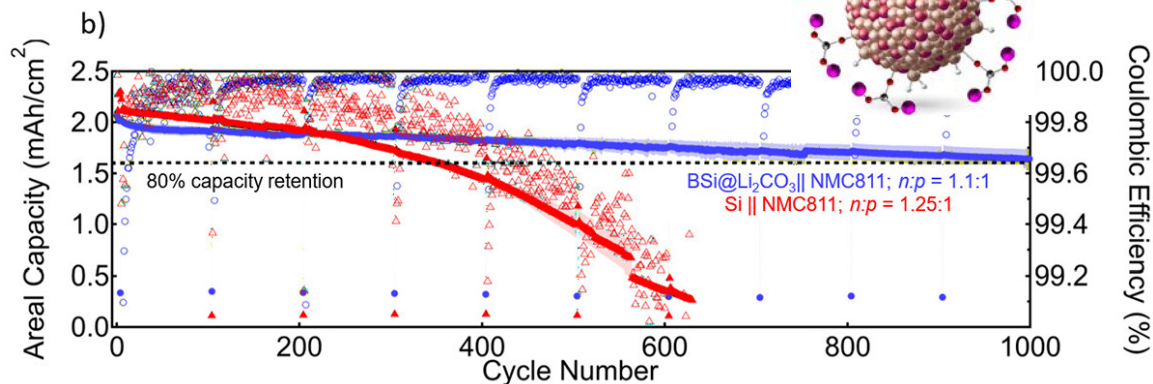
# Cycle Life with Varied Surfaces



Schulze, et al.; *Batteries and Supercaps* **2023**

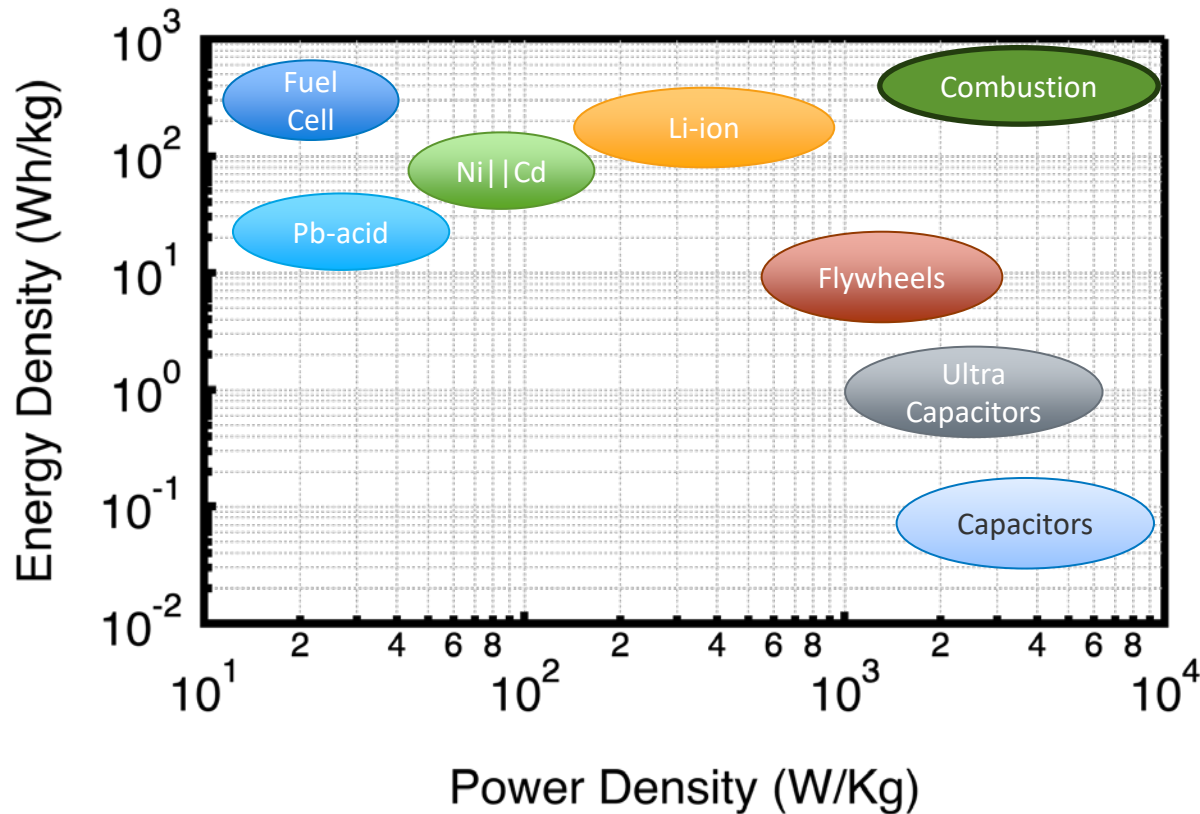


Carroll et al; *J. Mater. Chem. A* **2023**



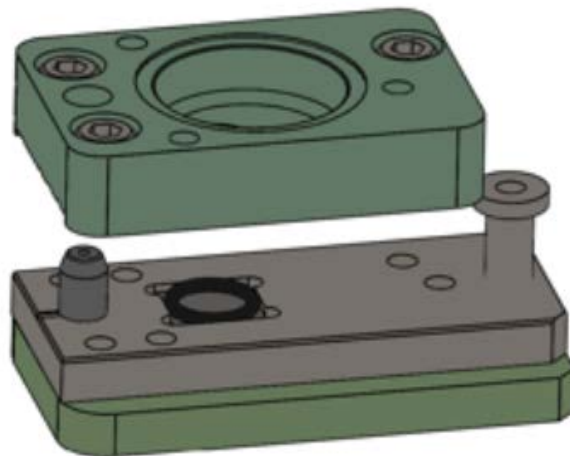
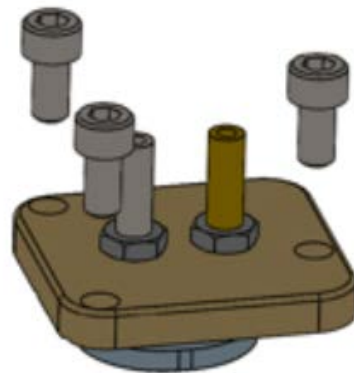
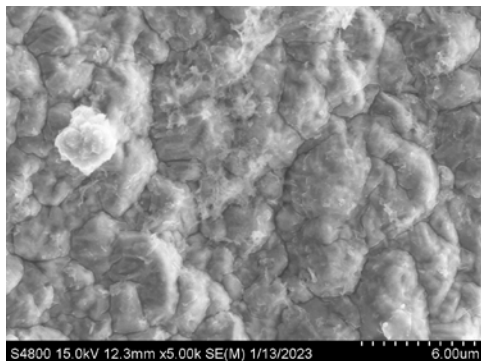
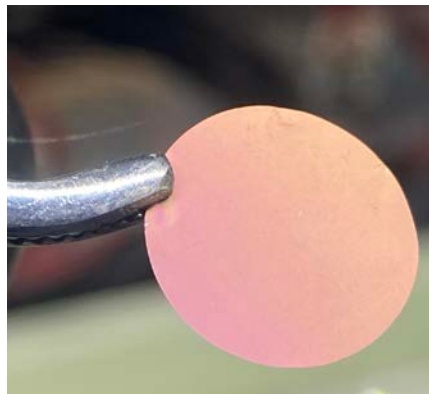
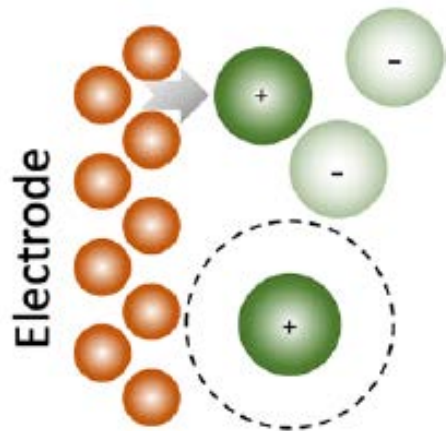
Pach, ... Carroll; *ACS Energy Letters* **2024**

# Energy Storage and Delivery Technology



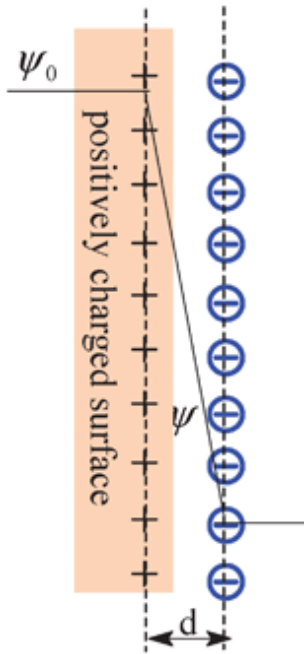
# Thin Film Silicon Electrode

2D Planar electrode

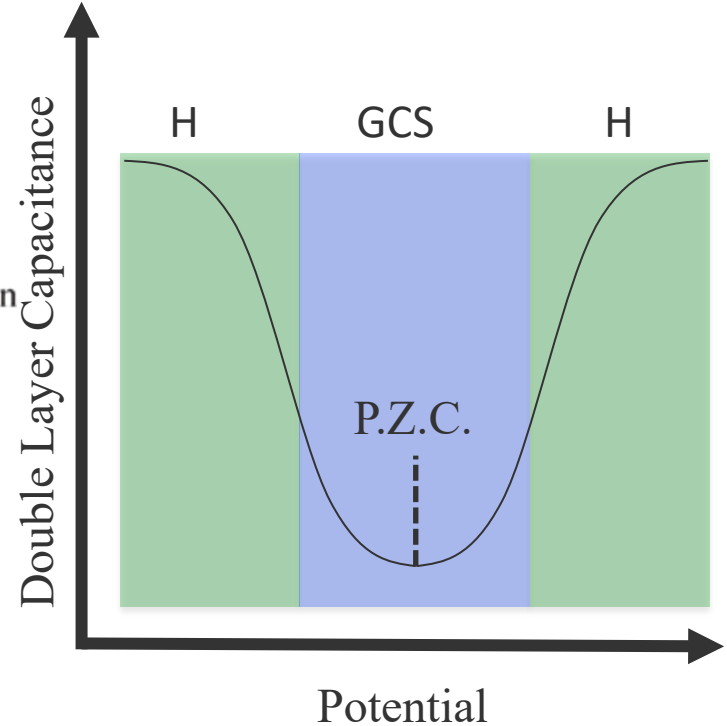
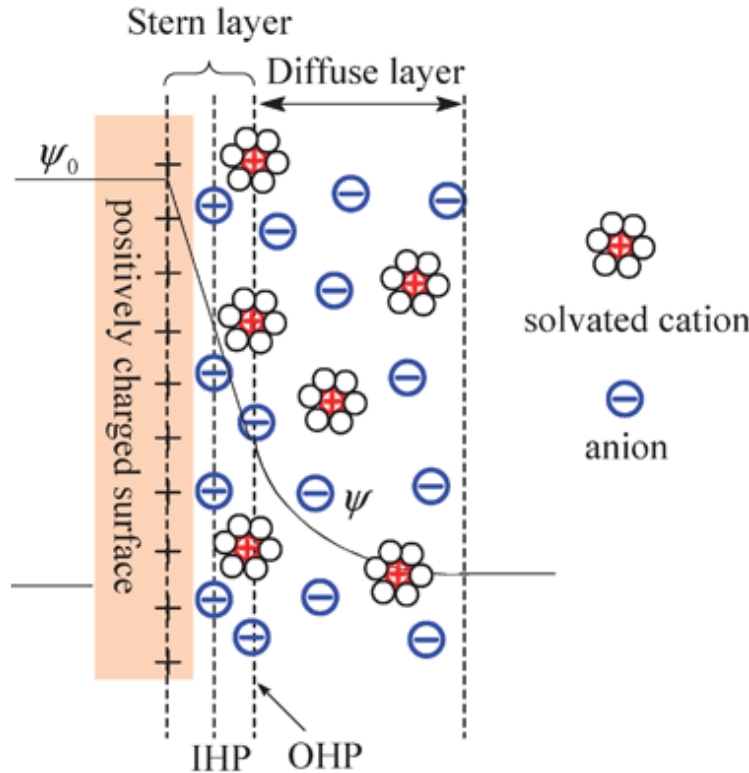


# The Classical Model

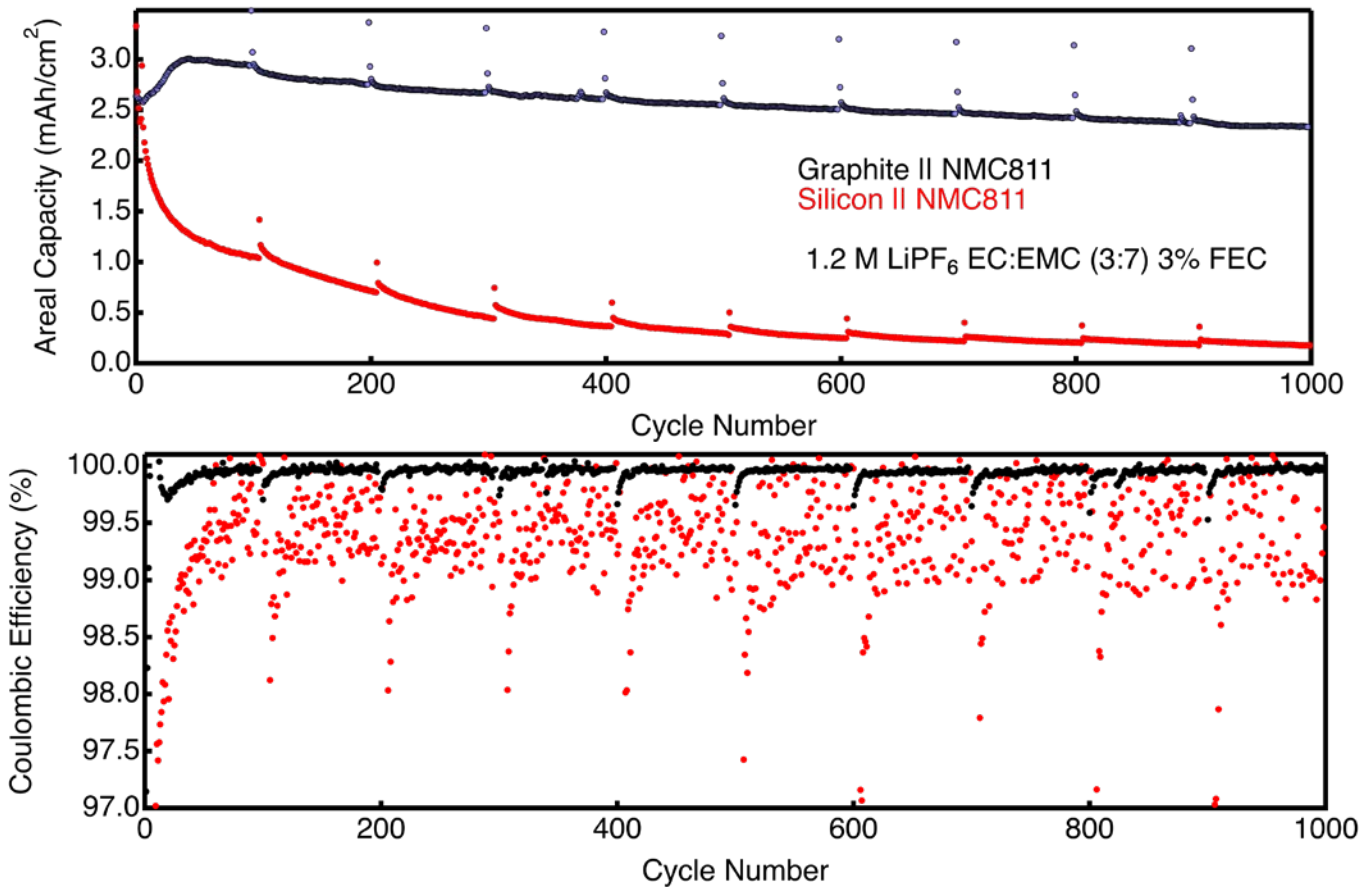
Helmholtz Model



Gouy-Chapman-Stern Model

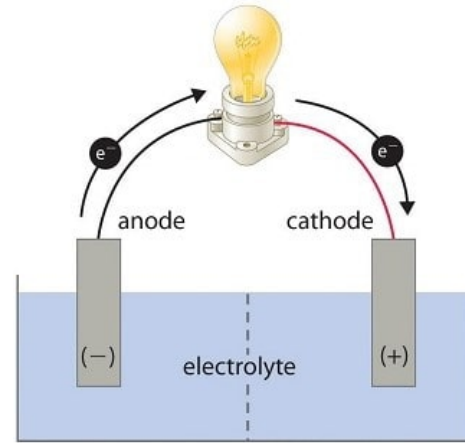
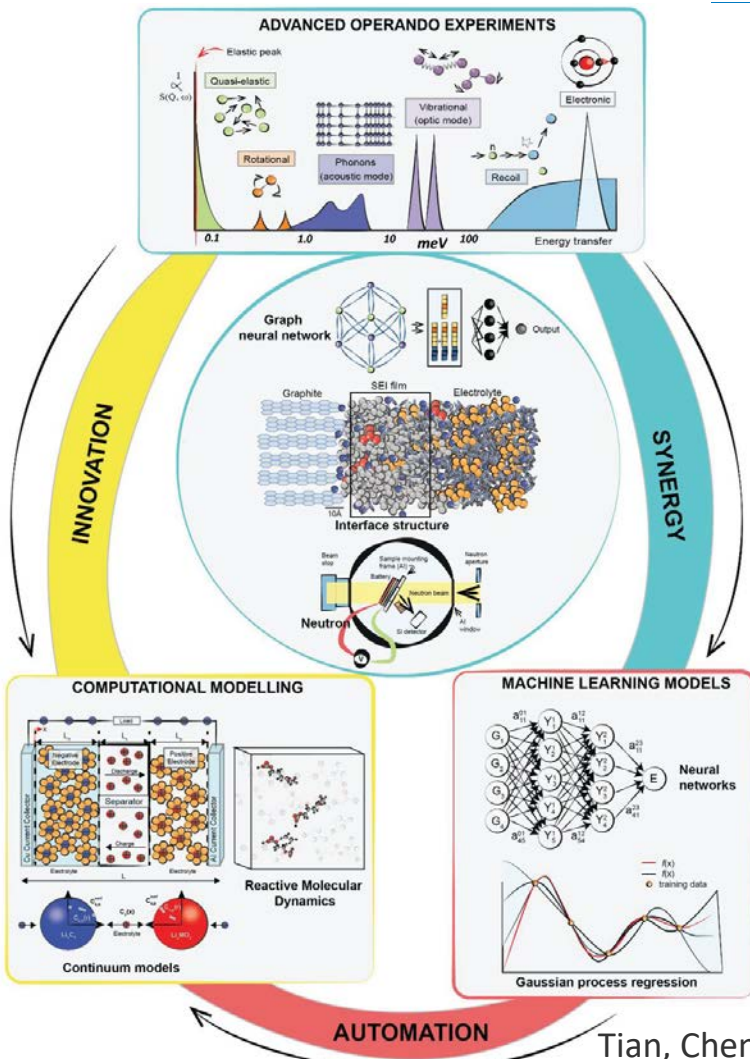


# Electrochemical Cycling



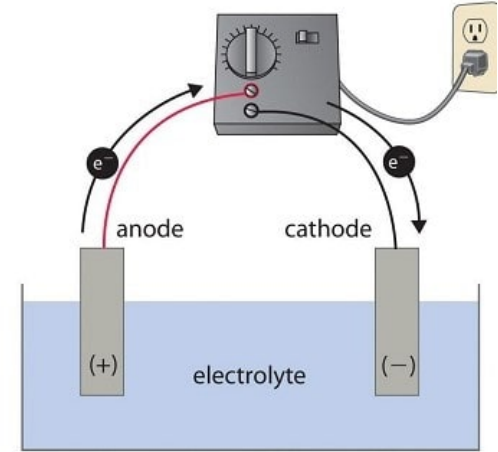


# Understanding Calendar Life



**GALVANIC CELL**

Energy released by spontaneous redox reaction is converted to electrical energy.



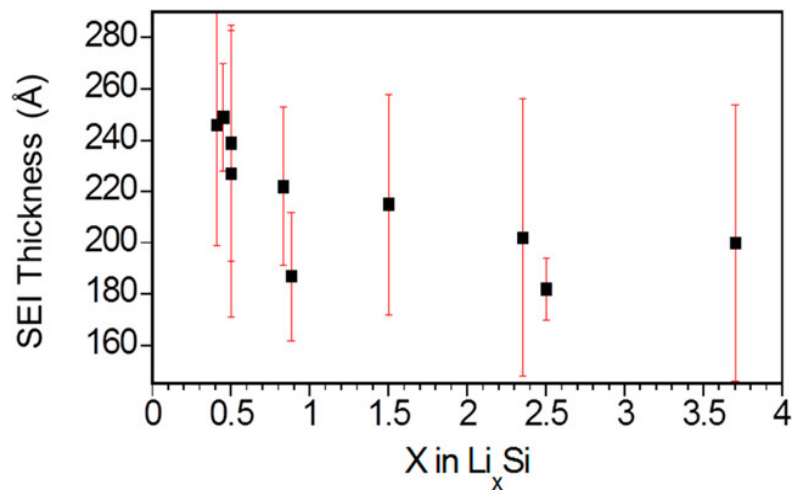
**ELECTROLYTIC CELL**

Electrical energy is used to drive nonspontaneous redox reaction.

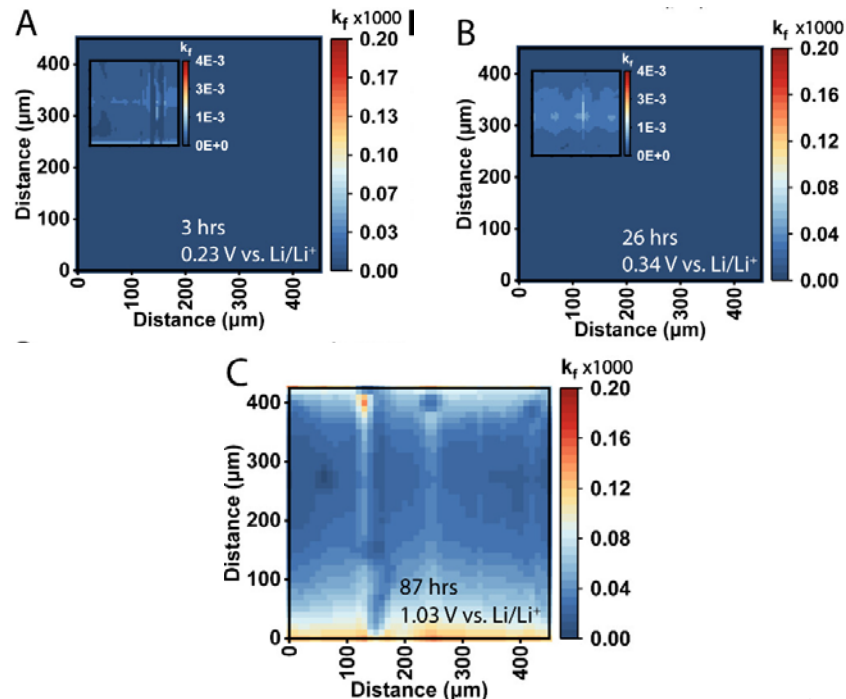
Energy Education, Univ. of Calgary

# Corroborating Observations

## Neutron Reflectometry Measurements of SEI thickness

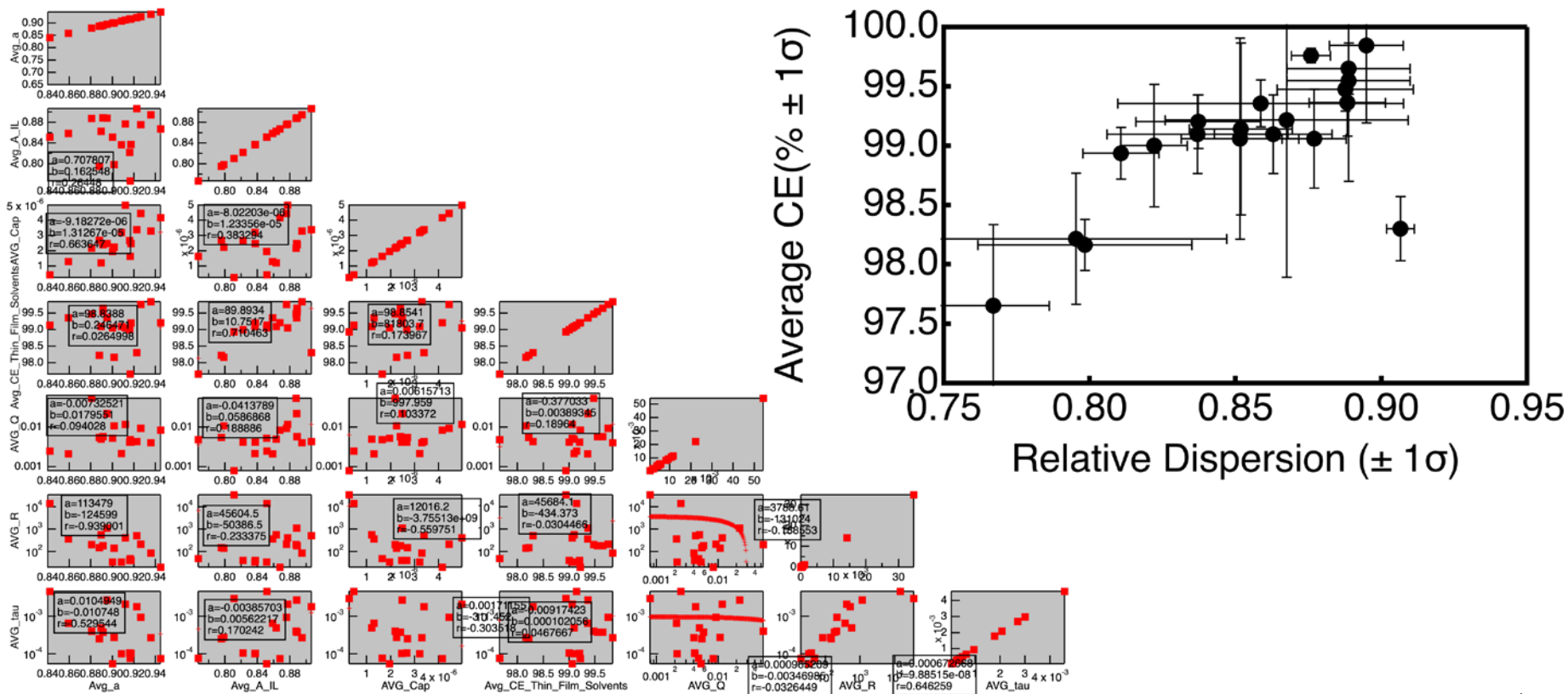


## Scanning Electrochemical Microscopy Measurements on SEI Passivation



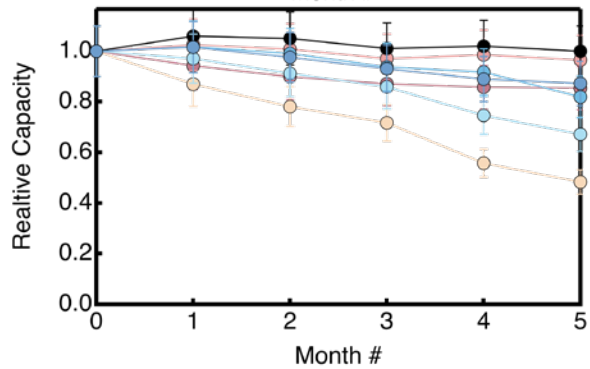
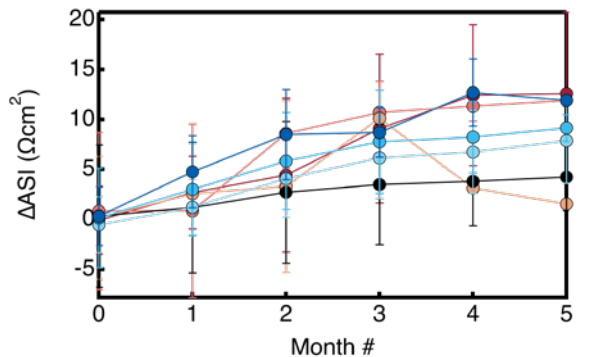


# Predictive Parameters for Cycle and Calendar Life

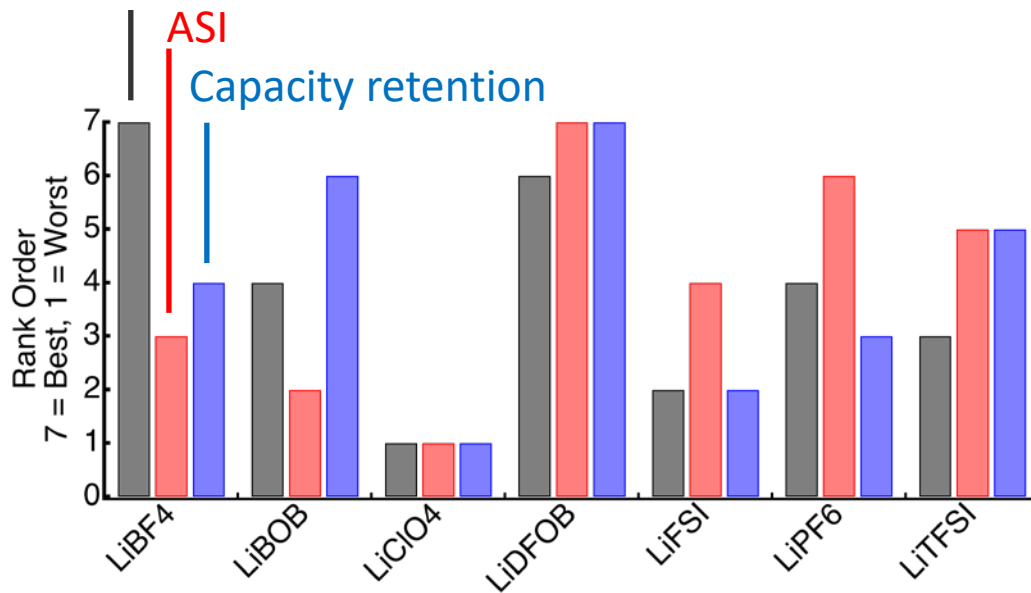


# Calendar aging data in EC:EMC (Tier 2, Li<sup>+</sup> limited)

LiPF<sub>6</sub> : LiTFSI : LiFSI : LiClO<sub>4</sub> :  
LiBOB : LiDFOB : LiBF<sub>4</sub>

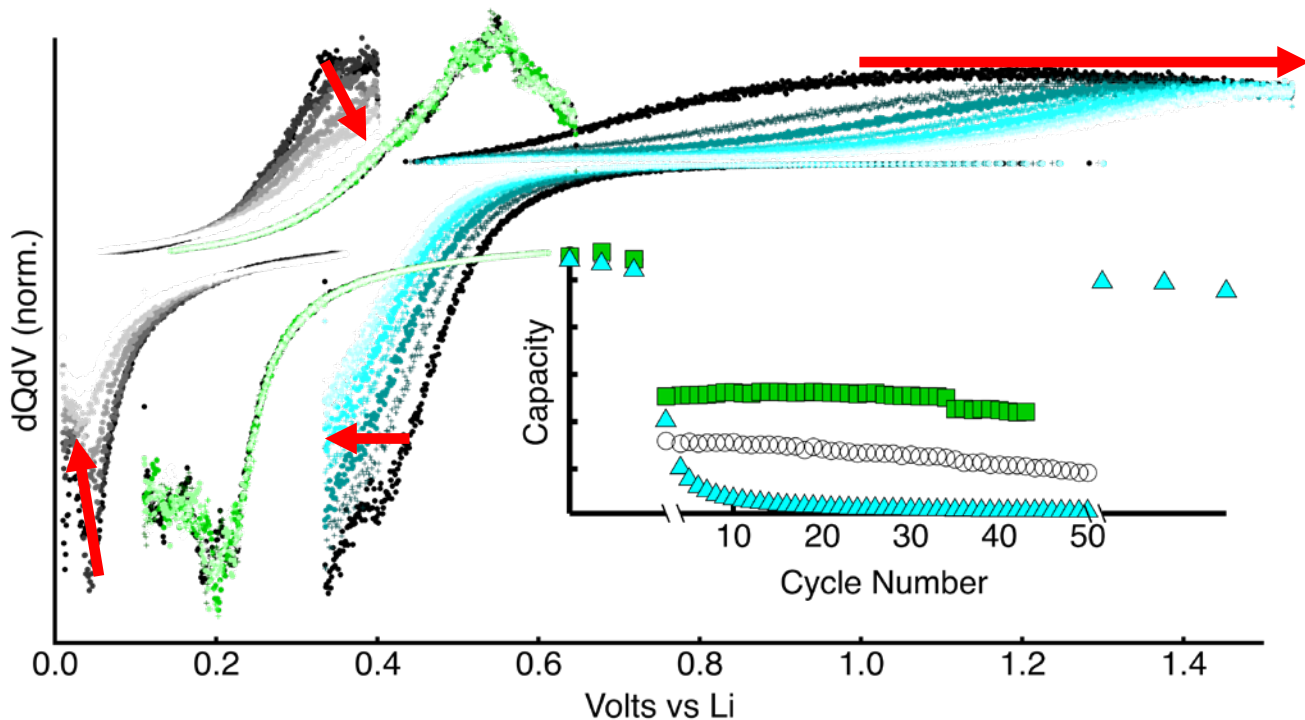


Dispersion



When ranked for ASI gain and capacity retention,  $\alpha$  shows promise as a predictor for calendar-related degradation

# Impact in Real System



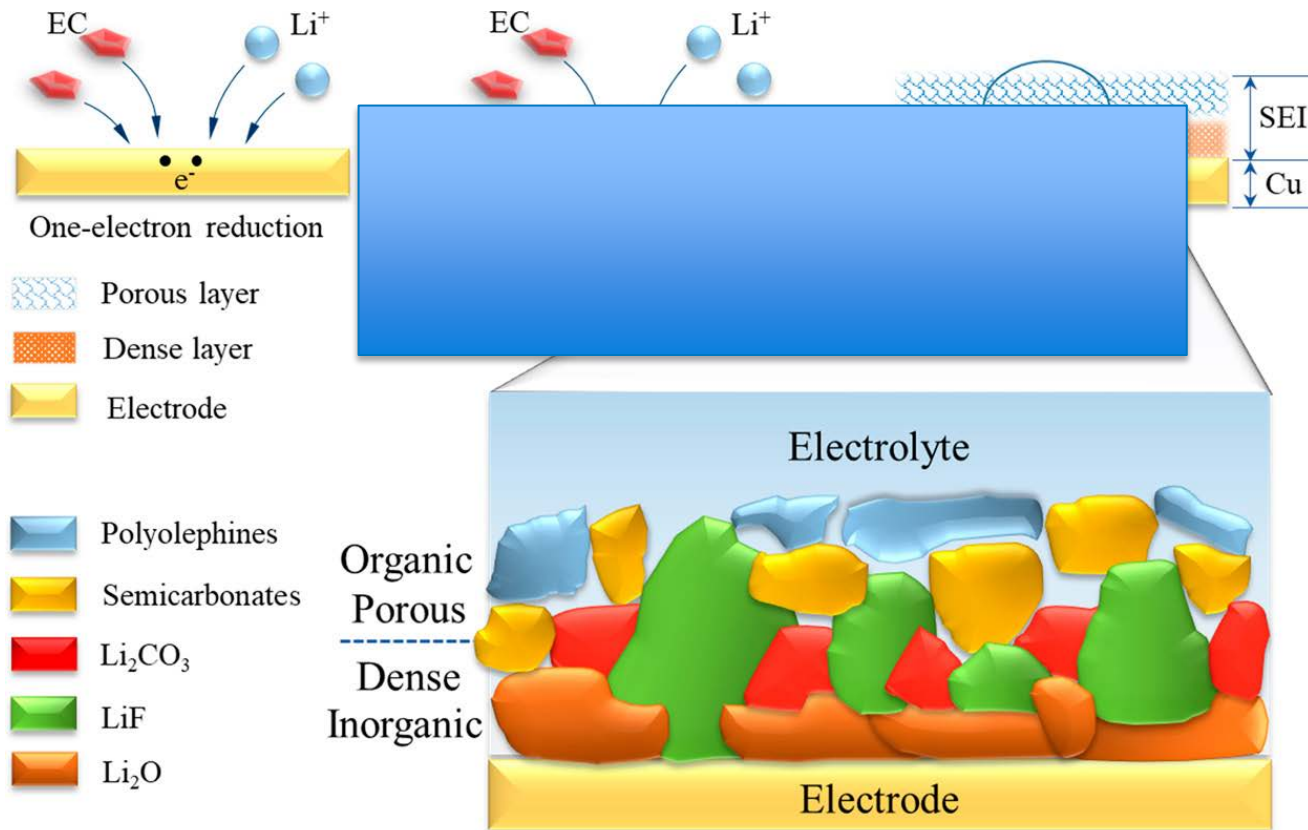
3 half cells formed between  
0.01 – 1.5V

Then cycled in different  
voltage windows

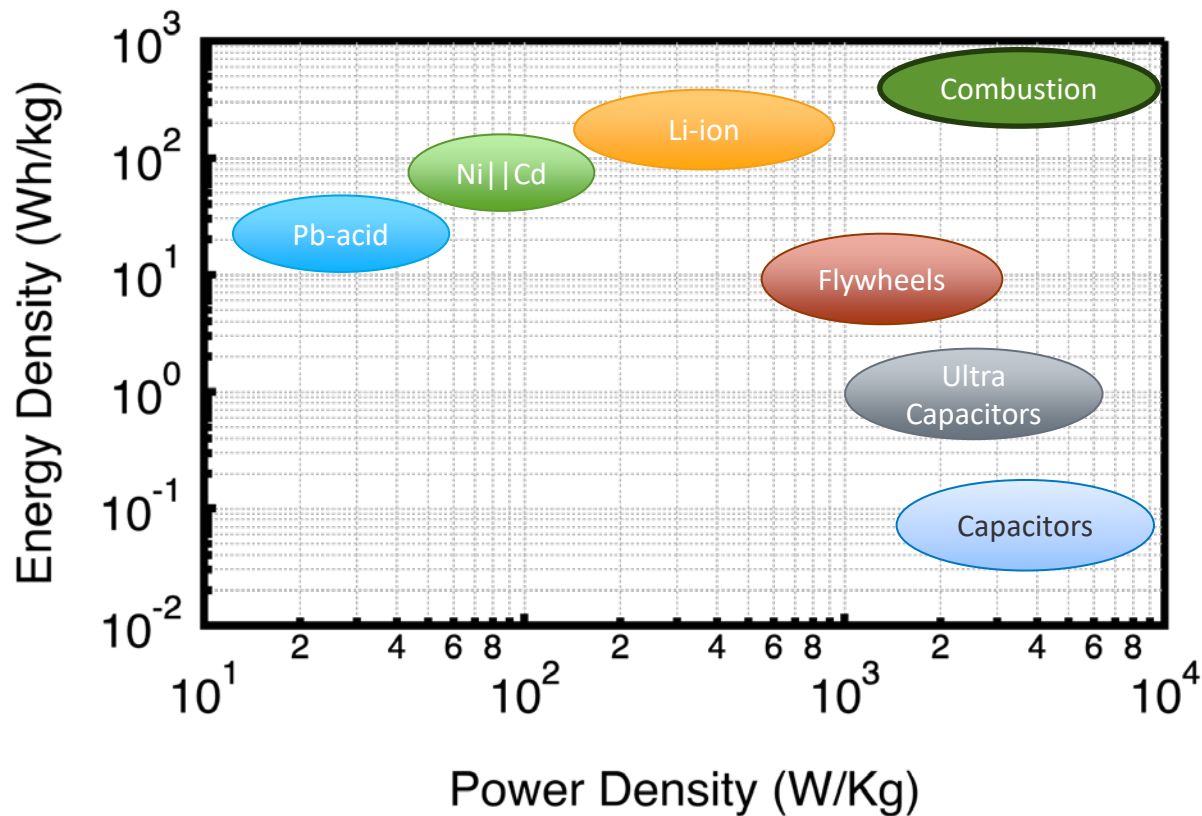
Low voltage electrode shows  
increasing overpotential with  
cycling consistent with  
electrolyte reduction

Recovers all capacity when  
cycled between 0.01 – 1.5V

# SEI on Copper



# Energy Storage and Delivery Technology



# Electrode | Electrolyte Equilibration

