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**Environmental Assessment of Small Wind Systems: Progress Report** 

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ENVIRONMENTAL ASSESSMENT OF SMALL WIND SYSTEMS: PROGRESS REPORT

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### FOREWORD

This progress report is the first formal produet of the Environmental Assessment of Small Wind Energy Conversion Systems (SIVECS) study. It presents an overview of the study's structure, planned activities, and a synopsis of task progress to date.

This report was prepared as part of Task No. 5322 in the Institutional and Environmental Assessment Braneh of the Solar Energy Researeh Institute (SERI). The authors gratefully acknowledge the assistance provided by Fred Perkins of SERI's Systems Analysis Branch, and by the Rocky Flats Wind Systems Group, Boulder, Colorado.

Approved for:

SOLAR ENERGY RESEARCH INSTITUTE

Robert Odland, Chief Institutional and Environmental Assessment Branch



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### **EXHIBIT**



### SECTION I.O

### INTRODUCTION

Until recently, most U.S. Department of Energy (DOE) supported research on the environmental effects of wind energy eonversion systems (WECS) has focused on medium- to large-size machines (rated power of 100 kW or above) that are amenable for use in the utility grid system. This DOE program has been expanded to inelude the environmental effeets of small WECS (SWECS), generally rated at less than 100 kW.

The main objeetive of this SERI study is to identify and quantify, as mueh as possible, potential environmental effeets assoeiated with SWECS. A seeond objeetive is to identify where additional environmental researeh for SWECS is needed (and where it is not needed). Achieving these objeetives will help ensure that SWECS development proeeeds in an environmentally aceeptable manner.

Progress made toward obtaining these task objectives is summarized in this report. Seetion 2.0 presents a description of the overall study strueture. Substantive progress toward assessing the environmental effeets of manufacturing SWECS is summarized in Section 3.0. Initial estimates of air emissions associated with SWECS production are also ineluded. The "environmental effects" classification has been defined rather inclusively for purposes of this study; it eneompasses health and eeologieal effeets, eleetromagnetie interferenee, noise, and aesthetie (visual) aeeeptability. SERI designed a pilot survey of aesthetics whieh has been distributed at the Roeky Flats Wind Test Site. Seetion 4.0 presents a synopsis of past WECS aesthetic research, SERI's aesthetic acceptability survey, and a brief description of expeeted results. A literature review of past WECS environmental researeh is provided in Seetion 5.0. Critieal information gaps in apptying past environmental researeh to SWECS are diseussed, and planned SERI activities to fill information gaps are outlined.

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### SECTION 2.0

### ENVIRONMENTAL ASSESSMENT OF SWECS: STUDY STRUCTURE

A broad interpretation of effeets of SWECS on the environment has been utilized for this study. Environmental effects are defined to include three basic categories: (1) electromagnetie (EM) interferenee and noise impaets, (2) aesthetic (visual) aeeeptability, and (3) health and eeologieal effeets.

Generic maehine designs (see See. 3.0) and end-use applieations were selected for study. SWECS with power ratings of 2 kW and 8 kW were specified for rural residential use, and a SWECS rated at 40 kW was specified for a commerical application. Estimates of EM interferenee and noise levels assoeiated with the 2-, 8-, and 40-kW SWECS designs will be based on literature reviews and eontaets with the Roeky Flats Wind Systems Group, Sandia Laboratories, and others.

At the request of DOE, SERI supported the preparation of a color videocassette describing potential television interference by the 200-kW IVECS on Bloek Island, Rhode Island. Preparation of this film was eompleted in early June 1979; it was shown at the September 1979 Bloek Island town meeting. In addition, the videocassette has proved to be a useful tool fon the Blue Ridge Cooperative management in understanding the eharaeteristies of television interference which may be encountered with WECS in Boone, North Carolina.

A potentially important faetor in depioying SWECS, particularly in populated areas, is visual aeeeptability. SERI is eondueting a nonrandom pilot field study to determine whether visual appearance of SIVECS is a faetor in a potential purehaser's deeision proeess and. if so, whether certain rotor and tower design eonfigurations are more desirable than others. As a prelude to development of the pilot survey, an in-depth review of past WECS aesthetics research was performed and researchers active in the field were eontacted. The aestheties survey and background literature reviews are presented in Sec. 4.0.

The third major environmental category comprises health and ecological effects. A lifecyeie approach to health and eeological effeets identification is being utilized. The lifeeyele is divided into four phases: (l) materials aequisition and proeessing, (2) system production and assembly,  $(3)$  system operation and maintenance, and  $(4)$  system decommission and component disposal or reeyeling.

All phases of the life-cycle will involve occupational and public health plus ecological effeets. For purposes of this study, oeeupational health effeets are defined as primariiy direct, and public health effects are primarily indirect. Ecological effects from SWECS manufaeture and deeommission are defined as indireet, while those assoeiated with operation are direet. Direet heaith effeets of the seleeted SI{ECS designs deployed under the two deployment options are being identified and quantified where possible. The materials required for fabrication of the SWECS designs were determined through tabuiation of data developed under eontraets funded by the Rocky Flats Wind Systems Group (see Sec. 3.0). Following quantifieation of materials requirements, the labor hours neeessary per ton of material mined and processed will be tabulated. Incidenees of illness, aeeident, injury, and death per labor hour required in eaeh material industry will be obtained from published industry statisties (e.g., Dept. of Labor 1978). Direct occupational health risks then will be eaieulated by appiying industry statisties to the materials PR-420 s=ila

requirement tabulations. Determination of labor requirements necessary for operation and maintenanee of SWECS will be based on results of reeent SERI researeh on the quantitative labor aspeets of solar energy technologies (Burns et al. 1979).

Additional direct health effects, and the indireet oeeupational and public life-eyele heatth effeets possible under the seleeted SWECS deployment options, will be identified by examining the emissions and effluents released during manufacture and operation. Emission factors (e.g., pounds of particulates released per ton of steel processed) will be obtained from recent EPA publications and other selected sources (EPA 1977; EPA 1978; Sittig I9?5). The health implieations of major emission eategories will be determined based on synthesis of published researeh (NAS 1977). Also, rotor safety during SWECS operation will be eonsidered.

Noise emitted fiom the operation of the wind turbine and associated equipment will affeet on-site SWECS personnel and, possibly, nearby residents. Data on noise levels will be obtained through literature review and from field-monitoring studies (if data are available) of selected WECS and SWECS that are funded and supervised by SERI's Systems Analysis Branch. The health and eeologieal implieations of SWECS operational noise will be assessed briefly, based on literature reviews and eontaets with noise researeh teams.

Evaluation of ecological effects will cover land use, air and water quality, and impacts on biota. Factors included under land use are quantification of land use for placement of SWECS towers and other attendant facilities; a brief examination of SWECS land use (both amounts and permanenee of land alterations) eompared with other energy options; and land reelamation and land use for waste disposal following SWECS deeommission. Consideration of the air and water quality eategories will inelude both direet and indireet effeets. Estimation of irdirect effeets will be based on the air and water emissions assoeiated with produetion of SWECS units. Water degradation from soil erosion and runoff witl be eonsidered fon the eonstruetion, operation, and deeommission phases. Also to be assessed are the effeets of SWECS land use on loeal plant and animal communi-ties. The extent of these and other effeets will depend on SWECS design, loeation, and size. Potential impacts inelude habitat alteration or destruetion, release of toxie substanees throughout the life-cycle of the system, and effeets of rotor blades.

A neeessary first step in the in-depth examination of SWECS environmental effeets is developing a critical awareness of past research results. A literature review was performed for eaeh of the environmental effects eategories. Results of this effort are summarized in See. 5.0.

### **SECTION 3.0**

### GENERIC SWECS DESIGNS

Tabulation of the materials required for fabrication of a SWECS is a eritieal first step in a life-cyele environmental assessment. Material quantities form the data base upon whieh estimates of direet and indirect health and ecologieal impacts of system manufacture are made.

The power ratings of SWECS cover a rather broad range; i.e., all maehines eonsidered to be small systems are rated at 100 kW or less. Assessment of all machines within this range would probably provide less detailed information and results than in-depth analysis of several reprcsentative SWECS and is beyond the scope of this study. Based on this hypothesis, the task team conducted several late spring 1979 meetings with personnel from SERI's Systems Analysis Branch, contacted individuals in other organizations, and performed a literature review to select SWECS designs and end-use applications. Three machine sizes and two end-use applications were seleeted: 2- and 8-kW SWECS providing electricity to a rural residential application and 40-kW units providing electricity for a small eommereial user.

Specific data on materials required for fabrication of machines of the above sizes were provided by the Roeky Flats Wind Systems Group of Roekwell International. A meeting eoordinated by Irwin Vas of SERI and Dick Williams of Rockwell was held in August 1979 at SERI. Specific data requirements and intended use of data were diseussed. Bill Briggs of Roekwell compiled fabrieation materials data for nine SWECS design options. Table 3-1 displays the data.

Based on these materials amounts, SERI ealeulated the pollutant rcleases assoeiated with mining and proeessing the materials neeesary for fabrieation of each SWECS design. The estimation of SWECS produetion emissions involved three basic steps. First, materials estimates were gathered for eaeh SWECS design (performed by Roekwell personnel). Second, emission factors (e.g., pounds of particulates released per ton of steel processed) were tabulated for industries proeessing the materials required for SWECS fabrication. Two factors were used for each industry: a factor representing emissions from a processing facility that employs no pollution abatement, and a factor representing emissions from a facility equipped with the best available control technology. Third, the emission faetors were applied to the materials estimates eompiled in the first step. Results are shown in Tables 3-2 through 3-4.

Discrete emission estimates will not be used for the health and ecological assessments in the final report. SWECS options shown in Table 3-1 undoubtedly will undergo design changes before they are deployed in large numbers. These design changes probably will affeet the amounts of speeific materials required for maehine manufaeture. Therefore, the environmental analysis of SWECS will utilize a range of pollutant emissions for eaeh power rating category. The ranges will be based on data given in Tables 3-2 through 3-4 and should eneompass emissions from produeing a number of SWECS design options within power rating categories.

Analysis of emissions from SWECS production will be performed during October and November 1979 and will be reported in the final report. Ineidenee of injury, illness, and death fon the materials industries will be tabuiated fiom industry statisties (e.g, Dept. of Labor 1978) and used to estimate direet oceupational health impaets. The labor hours

Copper  $\mathfrak{p}$  $\ddot{=}$  $\ddot{•}$ Concrete 36,530 9,520 9,520 Wood  $\frac{4}{3}$  $\overline{\mathbf{z}}$ **ID/SWECS Unit** Fiberglass<br>Wrapped<br>Plastic  $\boldsymbol{z}$ Ahminum 162 175 Low<br>Alloy<br>Steel  $\overline{a}$   $\overline{a}$   $\overline{a}$ **388**<br>388 270<br>825<br>150 High<br>Grade<br>Steel  $\ddot{=}$  $\ddot{ }$  $\boldsymbol{\mathfrak{s}}$ 24 VDC Output<br>Type 24 VDC 24 VIIC  $AvgxWh$ <br> $atV =$ <br> $14 mph$  l6 mph 8,550 10,300 12,600 3,150 6,900 2,450 12 mph 2 kW, HAWT<br>2 blades, downwind 7,000<br>Rotor/Generator<br>Tower<br>Foundation 4,900 1,700 2 kW<br>3 kW, HAWT<br>3 blades, upwind<br>Rotor/Generator<br>Tower<br>Foundation | KW<br>| KW, VAWT<br>| 3 blades<br>| Rotor/Generator Tower<br>Foundation **Design** 

# TABLE 3-1. SWECS MATERIALS REQUIREMENTS<sup>8</sup>

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TABLE 3-1. SWECS MATERIALS REQUIREMENTS<sup>8</sup> (concluded)

I.egend: HAWT = horizontal axis wind turbine<br>VAWT = vertical axis wind turbine<br>VDC = voltage direct current<br>VDC = voltage direct current<br>VAC = voltage atternating current<br>kWh = kilowatt hours<br>V = wind velocity

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# TABLE 3-2. PRODUCTION EMISSIONS FOR 2-KW SWECS<sup>8</sup>



 $\textsc{b}_{\text{NC}}$  = no pollution control; BACT = best available control technology.

<sup>e</sup>Emission factor for controlled conditions was not available.

 ${\rm d} {\rm N\, eg}$  = negligible, emissions less than 0.1 lb.

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PMC = no pollution control; BACT = best available control technology.

 $\alpha_{\rm{In~16}sin}$  factor for controlled conditions was not available.<br> $\alpha_{\rm{H}}$  = negligible, emissions less than 0.1 lb.

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# TABLE 3-4. PRODUCTION EMISSIONS FOR 40-KW SWECS<sup>3</sup>



 $b_{\text{NC}}$  = no pollution control; BACT = best available control technology.

<sup>o</sup>Emission factor for controlled conditions was not available.

 $d_{\text{Neg}}$  = negligible, emissions less than 0.1 lb.

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necessary for SWECS assembly and maintenance will be extracted from a SERI research project (Burns et al. 1979). Additional evaluations of occupational health impacts will be based on these numbers. The task team also will evaluate the ecological implications of SWECS production emissions. All analyses of health and ecological impacts will include consideration of whether emissions are amenable to control and permanence of emission impacts (e.g., long-term water degradation due to toxic effluents versus the temporary degradation associated with site preparation). Finally, estimates of health and ecological impacts of SWECS production emissions will be combined with operation, maintenance, and disposal impacts to appraise life-cycle impacts.

### SECTION 4.0

### PILOT FIELD STUDY OF AESTHETICS

Small wind energy conversion systems (SWECS) are any machines with a theoretical maximum output of 100 kW or less. Currently, more than 30 manufacturers produce<br>commercially available versions of SWECS (AWEA 1978). Unfortunately, the availability of cheaper utility-grid power and the lack of adequate wind regime data appear to be major barriers to the widespread use of eleetrieity-producing SWECS. Public aeceptance is another potential problem of SWECS deployment.

### 4.1 LITERATURE REVIEW

Public acceptance refers to "the level of positive attitudes some aggregate of people hold toward an idea or judgment" (Coty 1976). Some reeent legal eases (Solar Law Reporter 1:2; 1979) relating to public acceptance involve individuals who proposed eonstruction of SIVECS in residential communities. For example, in Meehanicsburg, Pennsylvania, local ordinances prevented a resident from erecting a SWECS on his property after neighbors eireulated a petition stating the proposed strueture was aesthetieally objeetionable. Another person was denied permission to construct a SWECS in Hanover, New Hampshire, by the Hanover Zoning Board of Adjustment. Neighbors again protested that the windmill would be aesthetically displeasing. These two eases form a basis for the assumption that aestheties could influence public aeeeptanee of SWECS.

Furthermore, a study concerning legal and institutional implications of WECS concludes that windpower might suffer from certain public perceptions, one of which is aesthetic objeetions (George Washington University 1977). Aeeording to a U.S. Department of Interior study, the aesthetic element of design may be important in the widespread use of wind maehines, especially in scenie areas (Iiowell 1979). In an environmental issue assessment of wind energy, it is stated that wind energy conversion systems could represent a signifieant new element in the visual landscape under projeeted deployment goals (EEA 1979). In general, various studies concur that the issue of "visual pollution" of the landseape is possible in windmill siting, and the larger the array of wind maehines, the greater the potential of visual impact (Labuszewski 1977; SAI 1976; Coit 1979; Lindley 1977). Supporting this potential issue, the U.S. Department of Energy (DOE) expressed eoneern over the aestheties of wind maehines. In the Environmental Development Plan for Wind Energy Conversion, DOE states, 'fReseareh is needed to further define publie aesthetie reaetions to wind energy systems and to identify unaeceptable eonfigurations and locations" (DOE 1978c).

Because of a laek of data on this potentially important issue, the Institutional and Environmentd Assessment braneh of SERI has undertaken a pilot study of the aesthetie appearanee of SWECS. This study uses the Roeky Flats Wind Systems Test Center as a site for the sampling of publie opinion to determine visual acceptanee of SWECS and what design configurations, if any, are visually preferred among the commercially available models under study at Roeky Flats.

A detailed literature seareh on four eomputerized data bases was performed to identify past WECS-aesthetics research. A number of references were located, but only one report dealt in depth with WECS visual impacts. The report was authored by Robert Ferber from Survey Research Laboratory who conducted a random sample survey across

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the United States (SRL 1977). One of Ferber's primary objectives was to gather information on public acceptance of various wind machine designs deployed in different environmental settings. Individuals surveyed were asked to give their reactions to color slides of various types of windmills built in different geographical sites. Six different windmill designs were chosen and illustrated in flatlands, rolling hills, or shoreline settings. Three of these six designs were horizontal-axis machines. One was mounted on a steel-truss tower, one was mounted on a columnar tower, and the third was supported on a "Dutch motif" tower. The other three designs were a Giromill, a Darrieus machine, and an antiquated "Dutch" style windmill. Of all the possible combinations of design and location. 12 slides were selected to show to respondents (SRL 1977, Appendix A). Respondents were asked to rate the appearance of the machines according to the following scale: very pleasing, somewhat pleasing, not too pleasing, not at all pleasing, or don't know (SRL 1977, Appendix C, Part 1, p. 8). Table 4-1 shows the percentage of total respondents who gave positive responses (very pleasing or somewhat pleasing) to the various pictures. The range of positive opinion toward the machines indicates that the respondents showed a definite preference for some machine designs over others. On the other hand, there appears to be little difference in preference among locations for any particular machine.



### TABLE 4-1. FAVORABLE RESPONSES TO WIND MACHINE DESIGNS<sup>a</sup>

<sup>a</sup>Source: SRL 1977.

<sup>D</sup>All tower designs were shown with horizontal axis rotors.

<sup>c</sup> Percentage of total respondents who gave a favorable rating to various wind machines.

The machines favored in Ferber's study-Dutch style and horizontal axis on a Dutch tower—both incorporate the antiquated Dutch design. Some of these Dutch windmills were designed to provide shelter while grinding grain, hence the large enclosed towers. However, current technology does not incorporate the Dutch design. The other machines pictured in the slides are far more representative of current technology. The horizontalaxis machine and the Darrieus machine, both current technologies, were viewed favorably by a majority of respondents. However, the Giromill was viewed as favorable by less than half of the respondents and as visually less acceptable, compared with the other machines.

There appeared to be little variation in locational preference for any single windmill design. The favorable response variation for different locations was greatest for the Dutch design (84% for rolling hills vs. 89% for shoreline) and negligible for the Darrieus and Giromill designs. This slight variability in locational preference implies that the setting of the windmill has little influence on the overall appearance of the picture.

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However, in a separate question regarding site loeation, one of four respondents objeeted to loeating windmills along a shoreline (SRL 1977, p.67). It shouid be emphasized, however, that the respondents were viewing eolor slides, not aetual maehines.

Ferber realized the eonstraints of using photographs and slides to assess publie aeeeptance and, therefore, surveyed visitors at the Sandy Hook Unit of the Gateway National Recreation Area in New Jersey (SRL 1977, pp. 94-105). During a one-season span, visitors to the area were asked to give their impressions of several windmill pictures. The following season, an aetual wind machine (three-biaded, horizontal-axis, steel-lattice tower) was erected on the site. After viewing the machine, visitors were asked again to respond to pietures showing potential windmill depioyment sites. Before and after eonstruetion of the windmill, the respondents showed virtually no differenee (18% before vs. l7% after) regarding the asetheties of windmills loeated along the shoreline (SRL 1977, p. 99). Respondents also were questioned about their aesthetie reaetion to the windmill  $(SRL 1977, p. 105)$ . A majority  $(65%)$  found the design pleasing or somewhat pleasing. When asked if the windmill would be more pleasing at another location in the area, respondents overwhelmingiy said no (82%). Again, respondent opinion implied no preferenee to location of a windmill. It appeared as if the majority of the population sampled by Ferber would not object to erecting a windmill on any type of terrain. Unfortunately, respondents were not asked if a nearby neighbor's yard or even their own back yard would be a satisfaetory location.

A question eoneerning the loeation of a wind maehine near one's own home was asked of respondents at the Plum Brook Test Site, operated by NASA at the Lewis Researeh Center, Sandusky, Ohio. The windmill design viewed was a 100-kW, horizontal-axis, 2-bladed wind machine on a 100-ft structural steel tower. Ferber conducted a convenience sample of 154 respondents who answered questions about having a windmill near their homes or locating windmills on the shoreline or in a national park. Of these respondents, three of four said they would be willing to have a wind machine near their homes. The sample responded even more favorably (91%) toward locating a WECS along a shoreline. However, about one of four respondents left the shoreline question blank. Such a large number of nonrespondents (25%) indicates some uncertainty when answering the question. Therefore, the favorable opinions toward shoreline WECS should be accepted with some reservation beeause the nonresponses could be interpreted as negative opinions.

The Plum Brook questionnaire also investigated the aesthetie appeal of windmiil design eonfigurations. Respondents were asked to ehoose betrveen a horizontal-axis maehine on a steel-truss tower, a Darrieus maehine, or a horizontal-axis maehine on a eolumnar tower (SRL 1977, Appendix C, Part 4, p. 1). The favored structure was the horizontalaxis windmill on a columnar tower (49%), followed by the Darrieus machine (28%), then the horizontal-axis design on the steel-truss tower  $(23%)$ . There appeared to be an obvious prcferenee toward the horizontal-axis windmill on the eolumnar tower within this study. The possibility of tower design influeneing respondent preferenee is evident from these results.

Unfortunately, the respondents at Plum Brook were not a random sample. In faet, over half of the respondents visited the site because of interests in wind machines (SRL 1977, p. 106). Beeause of these interests, data from the Plum Brook Study eannot be inter preted as the opinion of the general public. The results from Plum Brook are useful when compared with results from Ferber's random sample questionnaire. The possible influenee of tower strueture was illustrated in the Plum Brook study, and to some degree in the random sample. It would appear from these studies that tower design does influenee the acceptability of one windmill over another.

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The horizontal-axis maehine was prefemed visually over the Darrieus maehine by <sup>a</sup> majority of rcspondents in the Plum Brook study and slightly in the random sample studies. However, it must be noted again here that respondents answered when viewing eolor slides of wirdmills rather than aetual machines in field settings.

### 4.2 DEVELOPMENT OF THE SERI SURVEY

The influence of the topographic setting of a windmill was tested in each of Ferber's surveys. Interestingly, respondents in the random sample, the Plum Brook sample, and the Sandy Hook sample showed no major preference for one site over another. In fact, the strongest objeetion toward windmill location was when roughly one person in four responded negatively to a windmill on the shoreline. It appears from these studies that location does not influence the aesthetic appearance of wind maehines, whereas machine design does influence the public's opinion toward WECS.

SERI designed a pilot survey of aestheties\* to determine whether rotor and tower designs signifieantly affeet SWECS visual appeal to a nonrandom group of survey respondents. The Rocky Flats Small Wind Systems Test Center is being used for survey data collection. Rocky Flats conducts regular weekly public tours (and some special tours) of the Test Center. During late summer 1979, about nine SWECS existed at the Test Center. The SWECS are sited on a uniform open plain at the base of the Roeky Mountains. Each SWECS occupies an equal sized, fenced plot. Plots also contain a small steel shed that houses study instruments.

The SWECS deployed at the Test Center represent several rotor and tower design configurations. Designs inelude upwind and downwind horizontal-axis SIVECS with two and three blades; vertical axis SWECS; eolumnar wood, eonercte, and steel towers, including struetural steel truss towers of various designs.

Use of the Rocky Flats SWECS Test Center has both disadvantages and advantages. Respondents to the SERI survey will not be randomly seleeted from the general population; individuals visit Rocky Flats on their own initiative. Thus, the sample will be biased according to individuals' interest in SWECS.

Although the eonvenienee sample teehnique is aceeptable to a pilot study, survey results eannot and should not be extrapolated to appiy to the general publie's aesthetic prefer ence for SWECS designs. However, the opinions expressed by the respondents are valid for the sample group and should prove useful in assessing the need for additional, latger studies of the effeet of design eonfiguration on SWECS aesthetie appeal.

Distribution of the SERI pilot survey on aesthetics offers several distinct advantages over past public acceptance studies. First, survey respondents will be viewing actual SWECS, not slides or photographs. As mentioned, Ferber states that use of photographs or slides in soliciting information on visual appeal has disadvantages. Beeause respondents to the SERI survey will be viewing aetual wind maehines, the possibility of misinter preting pietures (for example, SWECS size) is eliminated. Seeondly, all the SIYECS are displayed at the same general site-a uniform, open plain. Thus, the variable of geographic deployment loeation is eontrolled. Finally, visitors to the Rocky Flats Test Center

<sup>\*</sup>Under the supervision of Carl Strojan and Kathryn Lawrenee, the survey was developed by Daniel O'Donnell.

will judge the visual appearance of commercially available SWECS designs, not "Dutch designs" with high nostalgic appeal. A variety of SWECS designs are deployed at the Test Center. Survey results will be reported on a design, not brand-name, basis. Therefore, results should prove useful in designing additional aestheties studies based on random sampling teehniques.

A three-page questionnaire was designed to achieve the objectives of this study (see<br>Exhibit 4-1). Page one, containing questions 1-5, attempts to determine why the Page one, containing questions 1-5, attempts to determine why the respondent visited Rocky Flats, and if the respondent eonsiders the aesthetie appearanee of a SWECS to be an issue when eonsidering a wind maehine for his/her property. These questions were to be answered and collected before the tour. The second page, questions 6 and 7, gathers data concerning respondents' preferences in SWECS design. Also, question 7 mentions aesthetics in a listing of faetors that could be interpreted as advantages or disadvantages of owning a SWECS. Lastly, page three addresses the possibility of a respondent being an adopter of a wind machine, and includes demographies. Demographies are neeessary to determine how the sample eompares with the general publie.

Each question serves a specific purpose and was designed to generate data in areas where available data are limited. Question I queries the respondent as to how s/he heard about the windmills at Rocky Flats. This open-ended question direets the individual to Rocky Flats and wind machines in general. The question was also designed to encourage the respondent to be reeeptive to the remaining portions of the questionnaire.

The seeond question was designed to determine what type of interest, if any, the respondent has in SWECS. Results from this foreed-ehoice question should be useful in determining the bias of the sample toward windmills.

Question 3 was designed to provide data about the potential issue of aesthetics in publie aeeeptance. Speeifieally, the respondent lists what faetors he or she eonsiders important to a home-installed SWECS. Since the question was asked before the tour, responses for this open-ended question should reflect the individual's prior knowledge of wind<br>machines. If aesthetics appears as a factor, the respondent considers the visual If aesthetics appears as a factor, the respondent considers the visual appearance of a SWECS an issue of public acceptance. The absence of aesthetics among responses would seem to indieate that respondents do not eonsider appearanee an issue when deeiding on home installation of a SWECS, or have not eonsidered aesthetics in their decision-making proeess. Questions 4 and 5 ask the respondent to rate the factors listed in question 3. After questions I through 5 are answered, the first page of the questionnaire is colleeted by a SERI representative. Colleetion of page one eliminates the possibiiity of item ehanges by respondents after they learn some potential new issues during the site tour.

The first question on page 2 of the questionnaire (question  $6$ ) represents the source of data on visual preferences of SWECS design. Respondents are asked to give an appearanee rating aeeording to a five-point Likert seale: very attraetive, attraetive, neutral, unattraetive, or very unattraetive. While viewing eaeh maehine, the respondent is asked to rate the appearanee of the working part (naeelle and blade), the tower, and the overall SWECS design. As each maehine is unique, it is imperative to gather data on design struetune relationships and on individual parts of the machines. The segmented rating shows tower preferenees and axis orientational preferenees. Question responses should determine if any design preferenees exist aeross the sample. Question 6 is completed during the tour.

After eaeh maehine is viewed, respondents eomplete question 7, eoneerning possible faetors respondents might eonsider in adopting a SWECS at home. The question is asked



after the tour of the site, when the respondent has been exposed to various SWECS configurations.

The final page of the questionnaire contains two purchase questions  $(8-9)$ , six questions on demographies (i0-15), and one reiteration on factors affeeting purehase of a SWECS. The demographics-residence by state, residence by community, sex, age, education, and income-were included to qualify the sample of visitors to Rocky Flats. Questions 8 and 9 will provide data on whether or not the respondent can be considered an adopter of a SWECS. The answers to these questions eould be signifieant in that the adopter would be a likeiy candidate for dissemination of SWECS relative to the general publie. Therefore, the opinion of the adopter on aesthetics and other issues could be very influential in the publie aceeptance of SWECS. The final question, 16, was included to determine whether exposure to SWECS during the tour influenced respondent opinion relative to the answers given in question 4.

Data eollection at Roeky Flats began 3l August 1979 during a publie tour of the test site. Data will be gathered until approximately 250 individuals have responded to the questionnaire. Analysis and evaluation will be included with the task final report, which will be completed in mid-February 1980. Survey methodology and results will also be published in greater detail in a technical paper.

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### EXHIBIT 4-T

### ROCKY FLATS SMALL WIND SYSTEMS TEST CENTER QUESTIONNAIRE

THE SOLAR ENERGY RESEARCH INSTITUTE IS CONDUCTING A PILOT STUDY OF PUBLIC OPINION ABOUT SMALL WIND ENERGY CONVERSION SYSTEMS. YOUR PUBLIC OPINION ABOUT SMALL WIND ENERGY CONVERSION SYSTEMS. oPINION IS VALUABLE TO THIS RESEARCH, AND THE RESEARCH TEAM WOULD APPRECIATE YOUR PARTICIPATION BY COMPLETING THIS QUESTIONNAIRE; HOW. EVER, RESPONSE IS ENTIRELY OPTIONAL.

PLEASE ANSWER THE FIRST FIVE QUESTIONS BEFORE YOU BEGIN THE TOUR OF THE ROCKY FLATS SMALL WIND SYSTEMS TEST CENTER.

- l) How did you happen to hear about the wind maehines at the Roeky Flats Small Wind Systems Test Center?
- 2) Why did you come to see these wind machines? (CHECK ALL THAT APPLY)
	- Concern about the energy situation
	- Seeking information about a purchase decision
	- Curiosity and desire to be informed
	- Professional affilation with subjeet area

Other (please speeify)

3) The wind maehines you see at the Rocky Flats Small Wind Systems Test Center are designed for individual residential use. If you were thinking of installing a small wind system at your own home, what faetors would you take into aeeount in making your deeision?

4) Of the factors you mentioned in question 3, please circle the most important one.

<u> 1980 - Jan Barnett, amerikansk politiker (d. 1980)</u>

5) Please box-in the least important one.

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### EXHIBIT 4-1 (continued)

AS THE TOUR PROCEEDS, PLEASE RESPOND TO QUESTION 6 FOR EACH WIND MACHINE WHILE YOU ARE VIEWING IT.

6) Imagine that you are in the proeess of ehoosing a small windmill for your residenee. Thinking now only about the visual appearanee of the wind maehines at Rocky Flats Small Wind Systems Test Center, please irdieate for eaeh one whether it is:





AFTER THE TOUR, PLEASE COMPLETE THE REST OF THE QUESTIONNAIRE.

7) The following faetors have been mentioned as advantages or disadvantages of ownirg a small wird maehine to produee eleetrieity. For eaeh factor, please indicate whether you think that it is an advantage  $(A)$ , neutral  $(N)$ , or a disadvantage (D) of having a small windmill for your home.





THANK YOU FOR YOUR PARTICIPATION IN THIS STUDYI

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### **SECTION 5.0**

### HEALTH AND ECOLOGICAL EFFECTS OF SMALL WIND SYSTEMS: LITERATURE CRITIQUE

Health and ecological issues pertaining to wind systems deserve attention for at least two reasons. First, a recent controversial study (Inhaber 1979) suggested that risk to human health from alternative energy sources (including wind) can be comparable to, or even higher than, that from conventional sources, such as natural gas, oil, coal, and nuclear. Although the Inhaber report has been strongly criticized for faulty assumptions, incorrect use of data, and arithmetical errors (e.g., Herbert et al. 1979; Holdren et al. 1979) the idea of considering life-cycle environmental costs is important. For this reason, it is useful to develop a sufficient data base so that accurate comparisons can be made of the environmental effects of wind systems versus other forms of energy conversion. Secondly, legal statutes, such as the National Environmental Policy Act of 1969 (NEPA) and laws pertaining to worker safety (e.g., NIOSH, OSHA) may be applicable to wind systems. The role of NEPA with respect to wind systems has been reviewed by Phillips (1979), who points out that although wind systems may result in short- or longterm environmental benefits, this does not imply that they are exempt from the provisions of NEPA.

The following section presents a review of previous environmental studies on wind systems and their relevance to our own assessment of small wind systems ( 100 kW). The review is organized according to phases of the machine's life cycle (materials acquisition and processing, system production and assembly, operation and maintenance, and decommissioning). Material for this review was based on the following sources: Black and Veatch (1978), Coty (1976), Davidson et al. (1977), Garate (1977), Howell (1978), Inhaber (1979), James (1978), Kornreich and Kottler (1979), Lubore et al. (1975), Meier and Merson (1978), Phillips (1979), Rogers et al. (1976), Rogers et al. (1977), Sengupta and Senior (1978), Senior et al. (1977), U.S. Department of the Interior (1979), U.S. Department of Energy (1978a), U.S. Department of Energy (1978b), U.S. Department of Energy (1978c), and U.S. ERDA (1977).

### 5.1 MATERIALS ACQUISITION AND PROCESSING

Only a few of the published assessments of wind systems have considered the health and ecological effects associated with the mining and processing of raw materials used in the construction of the towers, blades, and nacelles of wind machines (e.g., Davidson 1977, Meier and Merson 1978, Inhaber 1979). This is somewhat surprising since particulates,  $SO_x$ , NO<sub>x</sub>, CO, and other pollutants emitted during the production of the material components of wind machines probably represent the major adverse environmental effect of the machines during their life cycle. This situation arises because of the nature of the wind energy system life cycle. Although wind machines emit virtually no pollutants during their operation, they require large amounts of materials per unit of energy production, primarily because of the diffuse nature of the wind resource. Furthermore, small wind systems are more materials-intensive than large wind systems per unit of energy (Table  $5-1$ ).



### TABLE 5-1. MATERIALS PER QUAD OF END-USE ENERGY GENERATED BY WIND SYSTEMS<sup>8</sup>

 $(10^3$  tons per quad)

<sup>a</sup>Adapted from Meier and Merson (1978).

<sup>b</sup>Low, moderate, and high wind regimes.

Blades may be fabricated from aluminum, fiberglass, steel, wood, or eombinations of materials. The nacelle may include steel, fiberglass, and copper. Towers may be construeted of steel, conerete reinforced with steel, or wood. Finally, towers are set on a reinforeed eonerete base. Pollutants produeed during the manufaeture of these materials should be eonsidered part of the life-cycle environmental eosts assoeiated with produeing energy from wind systems.

SERI is usirg existing source data to make quantitative estimates of air and water pollutants emitted during the fabrication of the materials required for the generic wind maehines used in our assessment (see Seetion 3.0). Additional souree data are being used to estimate quantitatively the risk to industrial workers who manufacture these materi-<br>als. Finally, emission estimates for wind machines will be compared with current Finally, emission estimates for wind machines will be compared with current industry-wide emissions to determine the inerements of pollutants and health risk attributable to small wind systems at various deployment levels. In both eases these inerements are expected to be very small. For example, preliminary estimates indicate that manufaeture of steel for enough wind maehines (500-, 1,000-, and 1,500-kW capgeity) to yield an installed electric capacity of 1,000 MW would produce 0.3 to 12.9 x 10<sup>6</sup> pounds of partieulates (with pollution control). Assuming this level of deployment were to oeeur by 1985, cumulative particulates from steel production for wind machines are estimated to be, at most, 0.1% of estimated emissions attributable to the production level neeessary to satisfy 1985 steel demand excluding wind machines.

In addition to these seeondary environmental impaets assoeiated with produetion of wind machine eomponents, there may be tertiary impacts if deployment is widespread. Most eonenete and steel produeers are loeated in the Midwest and East. In eontrast, sites where wind regimes make deployment of wind energy systems attraetive are often in the West. Massive deployment of wind systems might cause relocation of some materialsproducing industries to regions closer to use sites (Davidson et al. 1977).

### 5.2 SYSTEM PRODUCTION AND ASSEMBLY

Environmental effeets from wind-maehine produetion tend to oeeur off-site and inelude health, safety, and ecological effeets assoeiated with manufaeturing and transporting the maehine to its destination. Potential effeets from on-site assembly of eomponent parts

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inelude worker aeeidents and potential disruption of local eeosystems from site preparation, road construction, etc. Ecological effects would most likely be minor for individual small wind systems constructed near a home or farm. The nature and magnitude of any effeets would be site-specifie, depending also on the size and design of the wind maehine (e.g., see U.S. Department of Energy 1978a and U.S. Dept. of the Interior 1979). Nevertheless, several general environmental effeets of the produetion and assembly phase can be identified from previous studies.

WECS assessments that have considered the environmental effects that occur during onsite eonstruetion have done so only for large maehines (e.g., Lubore et al. 1975, U.S. DOE i978a, U.S. Dept. of the Interior 1979, Blaek and Veateh 1978). Comparable effeets may oeeur for small maehines (<100 kW), but on a mueh smaller seale. For example, installation of a SWECS at a home or farm generally involves some site preparation, whieh may include grading and earth removal. In most eases, however, this would eause only minor disturbance and modifieation of use of existing lands beeause of the small size of the maehine. Effeets on air quality of vehicular emissions and fugitive dust, and the effeets on water quality of additional runoff or soil erosion, are also likely to be very small on an individual maehine basis or even eumulatively for all small wind maehines. Lubore et al. (1975) estimated eumulative air emissions from transport of eomponents for ten 1.5-MW wind machines and concrete needed for ten 35 ft  $\overline{X}$  35 ft  $\overline{X}$  10 ft bases. Transport was estimated to require 685 truek trips (S0-mile round trip each) with fuel efficieney of 5 mpg. Emissions were estimated as follows:



Cumulative emissions from the transport and assembly of small maehines would be mueh less than even these relatively small amounts. The fortheoming final report should provide quantitative estimates for these effeets based on the experienees of eommereial manufacturers in erecting their machines.

Land requirements have been estimated for large wind machines, but not small ones (e.g., Garate 1977; Coty 1976). Minimum spacing between large machines generally includes 10 to 15 rotor diameters, thus significantly affecting other potential land uses if clusters of machines are built. Average wind speed also significantly affects the number of maehines that ean be plaeed in an area. Extraetable energy is proportional to the eube of the wind speed; therefore, the energy available in a unit area significantly inereases with only a small inerease in average wind speed. Garate (19?7) estimated that in an area with a low wind speed regime (9-12 mph), one square mile could accommodate 1.3 large wind maehines (1500 kW) with blade diameters of 331ft. If the average wind speed were increased to 12-15 mph, 1.9 units with 278-ft rotors could be placed in one square mile. At speeds above 15 mph, units would require 218-ft rotors and 3 units eould be sited in one square mile.

### 5.3 OPERATION AND MAINTENANCE

The operational phase makes up nearly all of the 20- to 30-year iife of a small wind maehine. This phase has reeeived most of the attention in previous assessment studies. Again, however, ali of these assessments and data eolleetions have eoneerned the operational phase of large wind maehines ()100 kW). Several potentially adverse environmental effeets from large machines have been identified, but these may not be problems for residential-type maehines beeause of their mueh smaller size.

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Virtually no air pollutants are emitted during the operational phase of wind energy systems. Indeed, this must be considered one of the greatest environmental benefits of generating eleetrieity from wind. The final report on this study should eontain estimates of the atmospheric emissions that would oeeur if the eleetricity produeed during the operating life of generic wind machines were generated by fossil fuels instead. Likewise, since no fuel is required for wind-generated eleetricity, the seeondary emissions from the mining and refining of eonventional fuels would be eliminated. Effects on downwind air quality from micrometeorological changes caused by placement of the structure and movement of the wind turbine blades were measured at the I00-kW NASA/Lewis wind machine (Rogers et al. 1977). The inherent range of variability of the natural environment was found to be far greater than the very minimal influences to the microelimate of the zone immediately downwind of the maehine. Because of their eonsiderably smaller size, residential wind machines are expeeted to have no measurable effeet on microelimate.

The operational phase of small wind systems also has virtually no environmental effeet on water quality. This is another important environmental benefit of wind-generated electricity. No steam is required to drive turbines, nor is water required for cooling or other consumptive purposes. This is an espeeially attraetive benefit for arid regions. Likewise, no water is required for the mining or refining of fuel. The final report should inelude estimates of the amounts of water saved by generating various amounts of eleetrieity from wind.

Effeets of operating wind systems on plant and animal life have been assessed only for Iarge systems (Kornreieh and Kottler 1979, Rogers et al. 1977, U.S. Department of Energy 1978a, U.S. Dept. of the Interior 1979). These effects tend to be minimal and highly site-specific. Potential collisions between flying creatures and wind-machine blades and towers depend on several factors: (1) solidity of rotor design, (2) airfoil design, (3) number of organisms flying through the sweep area, (4) behavior of organisms within the sweep area; e.g., flight speed, evasive flight patterns, etc.,  $(5)$  weather conditions, and (6) total strueture height. Potential for collision with a wind machine should be extremely small, espeeially when eonsidered in the context of the natural hazards these organisms face during their life spans. An exeeption would be a wind maehine plaeed along a migratory route. Potential for collision with small machines should be significantly lower than for large machines. Field observations and experiments were eondueted at the 100-kW NASA/Lewis machine to assess the potential for collision with birds and inseets. No significant effects were found, but the maehine was operative during only 10 percent of the nighttime hours of two migratory seasons. The environmental effeet of an operating wind machine on land-dwelling animals should also be negligible exeept for the very small amount of habitat displaeed by the tower base and foundation.

Potential noise emissions from wind maehines have elieited some eoneern. These sounds are produeed by normal operation of eomponents in the maehinets naeelle and by the interaetion of the blades with moving air. The only published field measurements which have been made were done at the 100-kW NASA/Lewis maehine and the S-meter Darrieus vertical axis machine at Sandia Laboratories. In the former case, a maximum audible sound level of 64 dB(A) was measured. NASA/Lewis also estimated that, with measured background noise at 52  $dB(A)$ , the sound produced by the wind machine would be indistinguishable from baekground noise at about 800 feet from the machine (Kornreieh and Kottler l9?9). Measurements of infrasound (frequeneies below the lower limit of human hearing) indicated that operation of the machine at full load and 20-mph veloeity would inerease infrasound levels bv no more than 9.5 dB over the level measured at no load and

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10 mph. Sueh an increase would be too small to disturb people or eause physiologieal damage (Rogers et al. 1977). Measurements on the 5-meter Darrieus machine indicated that audible noise from it was indistinguishable from baekground noise at 50 meters from the maehine (Kornreich and Kottler l9?9). These field data suggest that noise levels may not be eause for serious eoneern in the siting of small wind maehines. Verification of this assumption is now being tested at the Roeky Flats Smalt Wind Systems Test Site.

Interference with eleetromagnetie transmissions may oeeur when wave signals strike the rotating blades of a wind machine. The impulse is then reflected or scattered to form a secondary interference signal. The severity of the interference will depend on the size of the blades, their composition, their rotational speed, and the placement of the machine with respect to the signal transmitter and receiver. Theoretical, laboratory, and field studies have been condueted to assess the interferenee of large horizontal-axis wind machines on television and radio broadcasts, air navigation systems, and mierowave communieation systems (Sengupta and Senior 19?8). Interferenee with television broadcasts appears to present the only serious eoncern. Depending on the site-speeifie factors mentioned above, interferenee ean result in a pulsating television pieture, whieh ean be a problem. The higher the transmission frequency (i.e., ehannel number) the greater the interferenee. Nonrefleeting blades, directional antennas, or eable transmission may be required to eliminate the problem. It is currently uncertain whether small wind machines ereate a serious interference problem. Testing of small maehines is currently being conducted by T.B.A. Senior of the University of Michigan.

Safety aspects of wind energy systems have been reviewed (James 1978). Potential hazards result from four prineipal sourees: struetural failure of the tower, blade throw, unauthorized publie entry to the maehine site, and obstruction of air spaee to low-flying aircraft. Tower failure can result from vibrational stress, inadequate base preparation, rotational forees, wind sheer, and violent weather. The hazard zone would be a cireular area with a radius approximately equal to tower height plus one-half rotor diameter. Blade throw ean result from stresses similar to those for tower struetures. Estimated maximum distances of blade throw are 500 ft for a MOD-OA type 200-kW horizontalaxis machine, and  $1/4$  mile (1,320 ft) for a 1,500-kW horizontal-axis machine (ERDA 1977; U.S. DOE 1978e). A blade thrown from the 1,250-kW Smith-Putnam maehine in 1945 traveled a total distance (including ground slide) of 750 ft (James 1978). The fourth hazard souree is of little eonsequence in this study of small wind systems, beeause towers generally are not higher than 40 ft. It is probable, moreover, that potential safety hazards will be approaehed through standards, zoning eodes, and building codes.

Aesthetie coneerns include the visual impaet of the maehine and the noise produeed during its operation. The effeets of noise have been reviewed in this seetion, while visual aspeets were eovered in Seetion 4.0. It is not elear, however, whether the visual impaet of wind machines will be a positive or negative factor in their deployment. Howell (1978) pointed out that large machines may have an aesthetie appeal simply beeause of their size and uniqueness. Smaller machines, in comparison, may have a nostalgic appeal.

### 5.4 DECOMMISSION

Wind systems may be expected to have a life span of 20-30 years. During this time many components may have to be repaired or replaeed. These activities would vary eonsiderably from maehine to maehine, so it is difficult to estimate the amounts of solid wastes generated from such activities without further data.



Finai decommission will normally involve two activities: removal of the maehine itself and revegetation of disturbed areas. Removal of the machine may involve the use of heavy construction equipment, but total requirements for this phase of the life cycle should not exeeed those of the eonstruction phase. Emissions from vehicular exhausts and fugitive dust should be minor and eomparable to or less than those in the eonstruction phase. Likewise, noise problems should be minor and temporary. Effeets on water quality should also be minor if proper eonstruetion proeedures are utilized. Lubore et al. (1975) estimated total water requirements to disassemble a windfarm of 7-10 1,500-kW units at 2 acre-feet for revegetation and 9 aere-feet for workers and dust control. The amount of water eonsumed during deeommission of a residential machine should be negligible.

Solid wastes resulting from site deeommission would eonsist primarily of rubble: broken eonerete, tower eomponents, and other scrap metal. Lubore et al. (19?5) estimated that decommission of a windfarm  $(7-10)$  1,500-kW units) would require 0.4 acres of sanitary landfill if no materials were recycled. Many of the metallic components, however, would probably be reeyeled, thereby reducing landfill requirements. Disposal of remaining materials should present no environmental problems, sinee no toxic eomponents are involved.

Decommission activities should have very small effects on biota. These effeets should be similar to those oceurring during the eonstruetion phase, sinee plant and animal iife will probabiy have adapted to and colonized all possible areas around the tower. Similar eolonization will likelv oeeur after removal of the tower and base.

### **SECTION 6.0**

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