**Licensing Candidate Questionnaire**

# Purpose. U.S. Department of Energy (DOE) National Laboratories, including the National Renewable Energy Laboratory (NREL), require a commercialization plan from any entity that seeks to license rights to NREL intellectual property. This Licensing Candidate Questionnaire helps NREL evaluate whether the opportunity fits within the laboratory’s mission, provides information for the laboratory to assess the submitter’s technical and business capabilities to achieve commercial use of the laboratory intellectual property, and to aid NREL in in preparing appropriate terms for potential licensing of the intellectual property. If a business plan or similar document has already been developed and contains the information requested below, then that plan may be submitted with this form.

# No Confidentiality. Although NREL does not intend to publish or disclose any of submitter’s information, any information provided by the submitter may be shared with the DOE.

**No relationship or license between NREL and Submitter.** This Licensing Candidate Questionnaire does not constitute a relationship, a license, or a commitment to license between NREL and the submitter. The intent of the commercialization worksheet is for the submitter to provide information to aid NREL in assessing qualifications of the submitter and viability of a potential license.

# Entity Information:

* 1. Full Company Identity (legal name of company, including type of incorporation):
  2. Company address:
  3. Principal contacts (provide at least 2 names):
  4. U.S. State of Incorporation:
     1. If foreign, provide country:
  5. Headquarters (if different from address provided above)
  6. Parent company, if any (greater than 25% share):
     1. Country of incorporation of the ultimate parent
     2. Controlling interest, %
  7. Total number of employees:
  8. List of existing or planned U.S. manufacturing and R&D locations:
  9. Influence:
     1. Minority foreign interests or other material foreign influences (>25%):
     2. Relevant affiliates, subsidiaries or other third parties that will have access to NREL’s technology:
     3. Other material foreign influences or foreign investors (e.g., institutionally affiliated advisors or board members, etc.):
     4. Name(s) of current or anticipated investors and their locations:
  10. Affiliation:
      1. Are any key personnel affiliated with an entity from a country considered sensitive or of concern by the U.S. Government (e.g., a DOE Foreign Country of Risk: China, Russia, North Korea or Iran)?
      2. Are you aware of any conflict of interest (e.g. key personnel who are current or former NREL employees, contractors, joint appointees, or other similar affiliation)?
         1. If yes, provide detail for each conflict (use separate page, if required)
  11. Encumbrances:
      1. Is your company under any obligation whatsoever to potentially assign, sublicense or transfer rights to your technology to any foreign entity?

# Product Licensing and Sales Information

* 1. What is the NREL intellectual property of interest?
  2. Is additional R&D necessary to further develop or complete the product?
     1. Proposed scope of work:
     2. Estimated cost and duration of R&D project:
  3. What markets will the products incorporating the NREL intellectual property be sold into?
     1. Specific market segment(s) or field(s) of use:
     2. Country or Regions of current markets, or expected growth:
     3. What is the size and growth of each?
     4. Estimated annual **Sales** of the new products for the first five years of the license?
  4. Amount of funds raised to-date:
  5. Where will the prospective licensee **manufacture** licensed products or offer licensed services?
  6. What additional specific commitments can you, as a prospective licensee, provide to increase U.S. economic or technical competitiveness and/or create U.S. jobs?

1. **Submitter understands and attests that:**
   1. Submitter is an authorized representative of the entity submitting this form, and that all information is to submitter’s knowledge is true and correct;
   2. NREL is the sole decision maker on whether to license or transfer its technology and may refuse engagement or license for any reason if NREL believes doing so is in the best interest of the commercialization of the DOE-funded technology in question.

Signed / Dated by an Authorized Representative:

Representative Title:

Entity Name: